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*Guatemala*

GUATEMALA

MID-TERM SELF-ASSESSMENT REPORT  
NATIONAL OPEN GOVERNMENT ACTION PLAN  
2016-2018

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Guatemala, September 29th 2017



**LIST OF PUBLIC ENTITIES OF THE EXECUTIVE BRANCH, CONGRESS OF THE REPUBLIC,  
AUTONOMOUS AND DECENTRALIZED ENTITIES AND CIVIL SOCIETY ORGANIZATIONS  
DIRECTLY RESPONSIBLE FOR THE 22 COMMITMENTS IN THE  
NATIONAL OPEN GOVERNMENT ACTION PLAN 2016-2018**

The Open Government Initiative in Guatemala was coordinated by Zaira Mejía, Open Government Point of Contact and a technical team appointed by the President of the Republic.

COMMITMENT	LEAD IMPLEMENTING AGENCY
<b>1</b>	National Institute for Public Administration - INAP
<b>2</b>	Ministry of Education –Mineduc, and University of San Carlos de Guatemala -USAC
<b>3</b>	Civil society organizations: Acción Ciudadana Guatecambia
<b>4</b>	Congress of the Republic - CR
<b>5</b>	Secretariat for Science and Technology - Senacyt Ministry of the Interior through the Fourth Vice Ministry of Technology - Mingob
<b>6</b>	Secretariat for Science and Technology - Senacyt
<b>7</b>	Secretariat for Science and Technology - Senacyt, and the National Institute for Public Administration - INAP
<b>8</b>	Secretariat for Science and Technology - Senacyt, and the National Association of Municipalities - ANAM
<b>9</b>	Secretariat for Science and Technology - Senacyt, and the National Institute for Public Administration - INAP
<b>10</b>	Superintendence of Telecommunications - SIT
<b>11</b>	National Institute for Municipal Development – INFOM, and the National Institute for Public Administration - INAP
<b>12</b>	Congress of the Republic - CR
<b>13</b>	Presidential Secretariat for Social Communication –SCSPR, and civil society organization Guatecívica
<b>14</b>	Comptroller General’s Office - CGC
<b>15</b>	National Coordinator for Disaster Reduction - Conred
<b>16</b>	Coordinator: Ministry of Public Finance - Minfin Presidential Planning and Programming Secretariat - Segeplan Comptroller General’s Office - CGC Congress of the Republic - CR
<b>17, 18, 19</b>	Ministry of Public Finance - MINFIN
<b>20</b>	Superintendence of Tax Administration - SAT
<b>21</b>	Ministry of Public Health and Social Assistance - MSPAS
<b>22</b>	Ministry of Education - MIneduc



**MID-TERM SELF-ASSESSMENT REPORT**  
**NATIONAL OPEN GOVERNMENT ACTION PLAN**  
**2016-2018**

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## 1) INTRODUCTION

Guatemala joined the Open Government Partnership (OGP) on July 27th of 2011 and ratified its membership in 2012. The Guatemalan government has implemented three biannual Open Government Action Plans to date, and is in the process of implementing the Third National Open Government Action Plan 2016-2018, which consists of 22 commitments and 92 goals, with 19 responsible entities. The Open Government Technical Roundtable approved this plan on August 11, 2016, created using the specific Open Government methodology under the principles of Transparency, Participation and Collaboration.

The Guatemala Open Government initiative is coordinated by the Guatemalan Open Government Point of Contact, Zaira Mejía, appointed by the Presidency of the Republic, in accordance with the guidelines for adherence to the Alliance for Open Government AGA-OGP, which establish that the Government of each member country must designate a Point of Contact that will follow up on the compliance with all points of the initiative. With the participation of 70 entities, including public entities, organizations and representatives of the civil society and observers from the Open Government Technical Roundtable, restored as of April 2016, the initiative has become a multisectorial forum with the participation of State agencies, public and autonomous entities, civil society organizations and observers. These entities meet once a month to measure the progress made in fulfillment of OGP commitments, and to propose mechanisms, good practice and innovative Open Government activities for Guatemala.

The Open Government initiative in Guatemala —Alliance for Open Government in Guatemala— constitutes the first axis of the Priority of Open and Effective Public Management, which contributes to transparency and zero tolerance for corruption stated in the policy. Additionally, the Open Government initiative is considered a preventive anti-corruption mechanism, according to the United Nations Convention against Corruption and the Inter-American Convention against Corruption, in addition to complying with the standards contained in the Open Government Declaration that Guatemala is part of.

This is the first time that Guatemala has been able to design a National Open Government Action Plan that complies with all the AGA standards. The methodology, consultation process and Smart method provided by the OGP and proposed by the Point of Contact was enriched with ideas from participating delegates and institutions, and later approved by the Guatemalan Open Government Technical Roundtable.

The National Open Government Action Plan 2016-2018 was created to build on the collective determination, and the institutional commitment, for the need for transparency



and accountability throughout the country, to unify all efforts of public entities and civil society organizations that make up the Open Government Technical Roundtable, with support from the highest level government officials and through the work of multi-actor technical working groups.

In this sense, the Open Government Initiative becomes an appropriate mechanism for the prevention of corruption and for the transformation of the public administration, a technical and institutional space for discussion and dialogue with representatives of public institutions, civil society organizations and observers, to build and comply with the 22 commitments that form part of the National Open Government Action Plan 2016-2018 that consists of 5 essential themes: a) Access to Public Information and Institutional Archives; b) Technological Innovation; 3) Citizen Participation; 4) Accountability; and 5) Fiscal Transparency.

The Open Government initiative has become a priority for the Presidency, and forms part of the Government's Central Policy on "Zero Tolerance to Corruption", as an important technical and institutional mechanism for the prevention of corruption. The government seeks to provide results to citizens and also to co-implement the United Nations Convention against Corruption, and to work towards fulfilling the Sustainable Development Goals.

Fifteen working groups were established during the implementation of this plan. These groups monitor and validate progress and compliance with commitments, together as members of public entities and the civil society.

Likewise, all institutions directly responsible for Open Government commitments have included an Open Government icon in their institutional web portals, to trace their progress through verification of each commitment and goal and to link back to the Open Government portal ([www.gobiernoabierto.gob.gt](http://www.gobiernoabierto.gob.gt)), where all information pertaining to the initiative can be viewed and downloaded. This information includes each of the 22 commitments, the means of verification, plans and work schedules; and additional information such as the three Action Plans created to date, the list of institutions that are part of the Open Government Technical Roundtable, the meetings held, the meeting agendas and memory aids; among other information that may interest citizens.

The Point of Contact coordinates the Open Government Alliance and its 70 participating institutions, including representatives of the three branches of State (Executive, Legislative and Judicial), autonomous and decentralized public institutions, Comptroller General of Accounts, Superintendence of Tax Administration, Human Rights Ombudsman's Office, the National Institute of Municipal Development, the National Registry of Persons, the University of San Carlos de Guatemala; as well as 20 civil society organizations; observer



entities such as USAID, OAS, Counterpart International through the Civic Participation Project, Carter Center, Public Prosecutor’s Office; and the investigator for the independent revision mechanism (DOSES). The Judicial Branch participates as an observer in the Open Government Initiative.

A public call was made as of April 2016 and is currently open to participation of interested civil society organizations, members of private sector, academia and public entities, to join and participate in the Guatemala Open Government Initiative. For interested parties, the email address [ogp.guate@gmail.com](mailto:ogp.guate@gmail.com) was made available, as well as the telephone number (+502) 5931-0062, where they are provided with information on how to join the initiative.

It is important to highlight that the process of co-creation and implementation of the Plan has had the support of international cooperation. This support, especially that of several USAID-funded projects, especially the Civic Participation Project implemented by Counterpart International, has contributed to the fulfillment of the commitments and activities throughout the process towards strengthening Open Government in Guatemala. The Organization of American States and the Carter Center in Guatemala, as a promoter of the working group on Access to Public Information, have also joined the process as international donors.

**2) PROCESS OF THE NATIONAL ACTION PLAN**

As soon as the Open Government Point of Contact was appointed in April of 2016, she immediately began to call for participation in the initiative, initially in a working group with civil society organizations that had previously been involved with Open Government initiatives; and subsequently a public call was made and invitations were sent to the different institutions of the Executive Branch that had previously participated in the initiative, as well as other autonomous and decentralized public entities and civil society organizations. The call was to participate in a voluntary, participatory, collaborative and transparent process towards Open Government in Guatemala.

The first four meetings of the National Open Government Technical Roundtable were held in the meeting room of the Office of the General Secretariat in Guatemala of the Organization of American States (OAS), with their support.

The goal was to move forward in the recovery of the Open Government Initiative in Guatemala, and the methodology of the consultation process and co-creation of the plan was structured on methodological guidelines and practices of the OGP, as well as internal needs of the country. The open and proactive participation of citizens in the process and the limited resources available were also taken into account.



The Third National Action Plan also includes some goals from the Second National Open Government Action Plan 2016-2018, to give the plan continuity. The commitments made were reviewed and a report was drafted to underline which of the commitments in the plan were important to continue. Additionally, it was established that there was duplication of goals in the course of the 48 commitments included in the Second Plan, and this was corrected in the Third National Action Plan.

Co-creation methodology: On Monday, May 12 of 2016, the Open Government Technical Roundtable approved the National Open Government Action Plan 2016-2018. Before specifying dates for the methodology, the Point Of Contact consulted the OGP Support Unit on deadlines for presentation of the plan for Guatemala. The process of consultation and co-creation would take four months more than expected, and the Point of Contact wanted to make sure that this delay would not cause the country to forfeit its participation in the process. The OGP Support Unit indicated that they could not grant an extension in the delivery of Action Plans, however, due to the short time available, and so that Guatemala would not generate a public notice of noncompliance, the deadline was approved for August 31, 2016. However, the plan was approved and sent to the OGP on August 11, 2017. All information related to the methodology, activities and consultation process was published on the open government website.

The methodology for the National Open Government Action Plan 2016-2018 was designed to draw from five central themes:

- 1. Access to Public Information and Institutional Archives**
- 2. Technological Innovation**
- 3. Citizen Participation**
- 4. Accountability**
- 5. Fiscal Transparency**

The first stage, termed “I am Open Government”, aimed to make known the concept of open government among citizens and public entities through a campaign that made public the methodology and central themes proposed for the plan and invited citizens to state their opinion and participate in space for citizen consultation through the Open Government website [www.gobiernoabierto.gob.gt](http://www.gobiernoabierto.gob.gt). This in turn generated statistics and provided valuable inputs regarding citizen opinions that were used by the technical roundtable to draft initiatives and commitments.

Meetings were also held during this first stage with representatives of State Ministries, Secretariats, State Organisms, members of the Civil Society sector and the Academy among others, to raise awareness about open government and the drafting process for the Third National Open Government Action Plan 2016-2018, to collect input about programs pertinent to the subject and to establish points of contact with various institutions. During



the raising awareness stage, the support from the Organization of American States office in Guatemala was extremely helpful, facilitating a space for the OGP point of contact to meet with stakeholders about the drafting of the action plan.

**The second stage**, termed “Consultation Forums for Citizen Opinions about the Five Central Themes of the National Action Plan” allowed the team to define strategic goals based on citizen opinion inputs from various geographical regions throughout the country, through an open, collaborative and inclusive method in three departments: Guatemala, Quetzaltenango and Alta Verapaz. An Open Government Forum was carried out in Guatemala City as well, organized by the Organization of American States and the Guatemalan Government, with participation of representatives from different sectors of Guatemalan society.

Two thematic round tables were organized as part of the **third stage** within an open, collaborative and inclusive framework, to draft open government objectives and commitments for August of 2016 to June of 2018 and use the SMART Method criteria to set goals, objectives, deadlines and responsibilities. Technical delegates and high authorities of public entities participated in the process, as well as civil society organizations and other interested stakeholders.

And during the **fourth stage**, the Open Government Technical Roundtable evaluated commitments proposed during the consultation process. A discussion between technical representatives and leaders of different sectors led to the evaluation of initiatives, their compliance to the SMART Method, their viability, and their degree of relevance. This stage led to their inclusion in the Third National Open Government Action Plan 2016-2018.

Additional meetings of the Open Government Technical Roundtable were necessary to edit and refine the 22 initiatives included in the plan, and to assure their compliance to Open Government principles of transparency, collaboration and participation. The goals were set to conform to the following criteria: Specific, Measurable, Attainable, Relevant and Timely, as per the SMART goal-setting method.

A consensus was reached between technical delegates, representatives of different sectors, stakeholders and members of the Open Government Technical Roundtable, which led to a high-level roundtable attended by the President and Vice President of the Republic, the President of the Judicial Body (Organismo Judicial), the diplomatic community, high authorities to be held accountable for the 22 commitments, institutional points of contact and delegates of the technical roundtable, during which goals and initiatives included in the National Open Government Action Plan were validated and approved.

The methodology approved on May 12 of 2016 by the Open Government Technical Roundtable is comprised of nine major stages. An extraordinary technical meeting was later added to stage 6, as well as two extraordinary technical meetings during stage 7 to refine





and systematize commitments proposed during the consultation phase. Also added to the methodology were five technical work roundtable meetings to edit and improve wording, unify goals and adapt open government principles to the plan.

OGP points of contact from Guatemala, El Salvador and Honduras participated in the Sub-Regional Meeting of the Northern Triangle of Central America, which took place in San Salvador, El Salvador from July 19 to 21 of 2016, as well as representatives of civil society organizations from the three countries. During this meeting, Guatemala agreed to send the OGP Support Unit the document for revision and possible recommendations before final validation and approval of the National Open Government Action Plan 2016-2018, to be sent by the point of contact on the appointed date.

A) PARTICIPATION AND CO-CREATION THROUGHOUT THE OGP CYCLE

Throughout the development process for the three National Open Government Action Plans, participation has been especially noticeable during the process of co-creation for the Third National Open Government Action Plan 2016-2018. The first Plan (2012) was created with no participation from citizens and/or civil society. The second plan had participation from three civil society organizations and entities from the Executive branch of government during the drafting of the plan.

The goal was for the Third National Open Government Action Plan to be drafted through a transparent, participatory and collaborative process with participation from all public institutions, representatives of civil society and international cooperation. Spaces were provided for citizen participation, especially during the Open Consultation Forums in four departments countrywide. In addition, the process for recovery of the initiative increased participation from 12 institutions to 70 diverse and representative entities.

After 88 proposals for commitments, members of the roundtable reached a consensus on 22 commitments divided into five work themes. These commitments are currently being followed up on and audited by civil society organizations that participate in Open Government.

B) PARTICIPATION AND CO-CREATION DURING THE DEVELOPMENT OF THE PLAN

The participatory process for drafting the plan was open and new actors were invited to participate alongside those stakeholders that had previously participated in the Open Government initiative. Civil society organizations, Ministries and Secretariats, the Congress



of the Republic of Guatemala, autonomous and decentralized entities such as the Comptroller General of Accounts, the University of San Carlos de Guatemala, the Superintendence of Tax Administration, the National Registry of Persons and the Human Rights Ombudsman’s Office; as well as the National Institute of Public Administration, the Secretariat of Science and Technology, the Ministry of the Interior, the National Institute of Municipal Development, and the National Association of Municipalities, among other institutions that have joined the Open Government Technical Roundtable.

There are currently 70 entities that make up the Open Government Technical Roundtable. They are also collaborating in the compliance and accompaniment of the 22 commitments of the National Open Government Action Plan 2016-2018. The co-creation methodology and process is described in the Action Plan, as well as the way in which more civil society organizations joined the process. Today, they are part of an alliance that coordinates issues on Open Government, Transparency, Accountability, Citizen Participation and many other related issues (<http://gobiernoabierto.gob.gt/tercer-plan/>).

All participating institutions, including civil society organizations and USAID through the Civic Participation Project, supported the co-creation process to the extent of their possibilities, in both logistic and financial aspects.

**SCHEDULE OF ACTIVITIES AND METHODOLOGY FOR THE DRAFTING OF THE NATIONAL OPEN GOVERNMENT ACTION PLAN 2016-2018 (May 17 to August 11, 2016)**

No.	ACTIVITY	DATE	PARTICIPANTS	RESULT
1.	Public call for participation in consultation process (press conference).	May 17	President of the Republic of Guatemala, civil society, interested stakeholders	Marks the beginning and validates process
2.	Creation of a space for citizen opinion on Open Government website, promotion of “I am Open Government” campaign on websites and social media of interested stakeholders	May 17 to June 14	General public	Generation of inputs for drafting of commitments and initiatives, statistics of public opinion



3.	Open Government Forum (OAS) held in the National Palace of Culture	May 19	Diverse stakeholders	Awareness raising and generation of inputs for drafting of National Open Government Action Plan
4.	Forums for open citizen consultation	June 7 to 13	Civil society, government, interested stakeholders, general public	Awareness raising and generation of inputs for drafting of National Open Government Action Plan
	Forum 1: Centro Cultural Universitario, Guatemala City.	June 7	Civil society, government, interested stakeholders, general public	Awareness raising and generation of inputs for drafting of National Open Government Action Plan
	Forum 2: Centro Universitario de Occidente, Quetzaltenango, Quetzaltenango.	June 9	Civil society, government, interested stakeholders, general public	Awareness raising and generation of inputs for drafting of National Open Government Action Plan
	Forum 3: Centro Universitario Nor Oriente, Cobán, Alta Verapaz	June 13	Civil society, government, interested stakeholders, general public	Awareness raising and generation of inputs for drafting of National Open Government Action Plan
5.	Technical Roundtable Meetings (2 rounds)	June 15 to July 7	Government, civil society,	Discussion and drafting of commitments for



			interested stakeholders	proposal in technical roundtable
	Fiscal Transparency Technical Meeting held in Ministry of Public Finances	June 15 and 27	Diverse stakeholders	Proposal of commitments
	Access to Public Information Meeting held in Human Rights Ombudsman's Office	June 17 and 29	Diverse stakeholders	Proposal of commitments
	Citizen Participation Meeting held in Casa Larrazábal, Congress of the Republic	June 20 and July 4	Diverse stakeholders	Proposal of commitments
	Accountability Meeting held in General Comptroller's Office (Contraloría General de Cuentas)	June 22 and July 5	Diverse stakeholders	Proposal of commitments
	Technological Innovation Meeting held in Presidential Science and Technology Secretariat (SENACYT)	June 24 and July 7	Diverse stakeholders	Proposal of commitments
<b>6.</b>	Meeting of Technical Roundtable and Open Government Workshop for discussion on viability and impact of commitments generated by thematic roundtables	July 13	Members of the Open Government Technical Roundtable and observers	First draft with unified commitments accepted by Open Government Technical Roundtable
<b>6.1</b>	Extraordinary Meeting of the Open Government Technical Roundtable to edit and improve wording of commitments proposed under the plan	July 15	Members of the Open Government Technical Roundtable and observers	Systematization and unification of goals and unification of different commitments presented



<b>7.</b>	Meeting of the Open Government Technical Roundtable to present first version of National Open Government Action Plan 2016-2018	July 18	Members of the Open Government Technical Roundtable and observers	Approval of first draft of action plan for 2016-2018
<b>7.1.</b>	Emergency Technical Roundtable Meeting for systematization of commitment proposals	July 22	Members of the Open Government Technical Roundtable and observers	Systematization, unification and refining of proposals for commitments
<b>7.2</b>	Working groups following OGP recommendation to refine the writing, systematization of goals and incorporation of OGP principles.	July 26 to 29	Working groups for the five work themes and those directly responsible for proposed commitments	Systematization, restructuring and unification of goals in relation to the number of commitments
<b>7.3</b>	Extraordinary Technical Roundtable for final review of proposed commitments and for technical validation of existing commitments in the National Open Government Action Plan 2016-2018	August 5	Members of the Open Government Technical Roundtable and observers	Technical validation of the National Open Government Action Plan 2016-2018
<b>8.</b>	Open Government Technical Roundtable for the approval and validation of the final version of the National Open Government Action Plan 2016-2018	August 11	Members of the Open Government Technical Roundtable and observers	National Open Government Action Plan 2016-2018
<b>9.</b>	Final presentation of National Open Government Action Plan 2016-2018	August 12 to 14	Open Government Point of Contact for Guatemala	Official presentation of plan to OGP

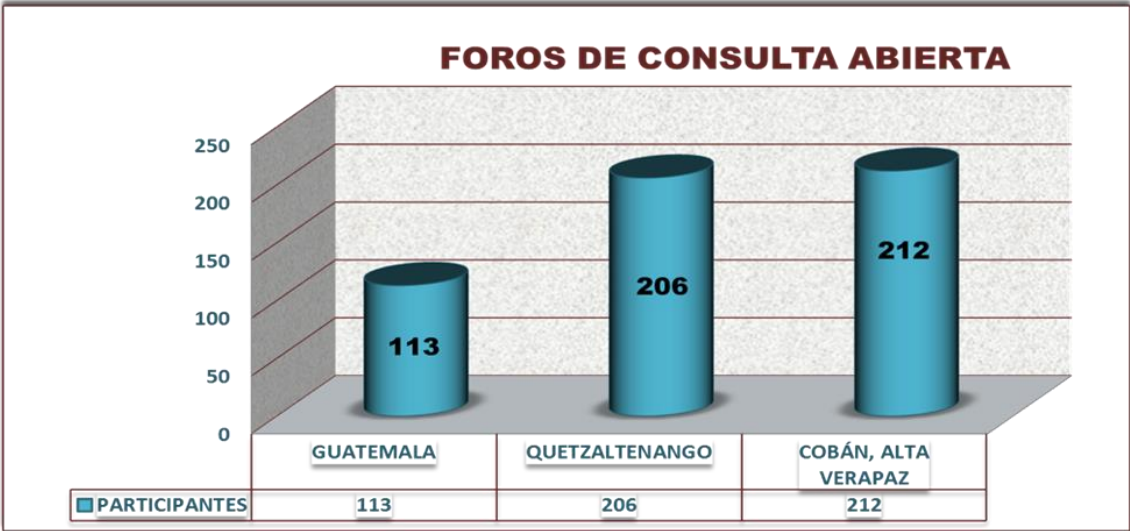
On May 25 of 2016, the institutions that currently formed the Open Government Technical Roundtable were convened to discuss the methodology and activity schedule for



Guatemala, Quetzaltenango and Alta Verapaz consultations: civil society organizations, the Executive Branch, the Congress of the Republic, the General Comptroller’s Office, San Carlos University of Guatemala, the National Registry of Persons (RENAP) and the Superintendence of Tax Administration (SAT).

Interest and collaboration showed by all public institutions and civil society organizations towards achieving the best results possible was at that time very valuable, especially with the limitations and time constraints of all. It is important to recognize the support the San Carlos University of Guatemala, that donated facilities, helped with logistics and provided local facilitators for the three regional forums, as well as the collaboration and support received during the open consultation forums, thematic roundtables and technical open government meetings from the United States Agency for International Development (USAID) through Counterpart International, organization that executes a project for strengthening of civil society organizations.

An open call for hiring of facilitators was necessary for regional forums. Ten facilitators were selected and divided by the five central work themes. Each topic also had a government facilitator and a representative of the civil society sector, trained on June 6 of 2016 and certified the Institute of Public Administration (INAP). Facilitators were later in charge of holding discussions with citizens and collecting input and citizen opinions for drafting of commitments during thematic roundtables.



It was established in the methodology for the action plan that two rounds of thematic roundtables would be held, based on the five central work themes. Citizen opinions were collected and systematized after each open consultation forum, and civil society organization presented advances in commitments made in the second plan for each thematic area. This generated a baseline for commitments proposed for the National Open Government Action Plan 2016-2018.

The Open Government Point of Contact explained how each commitment should be structured, based on the SMART method and the template provided by the OGP. Later, during the second round of thematic working groups, commitment proposals were presented by representatives of public institutions, civil society organizations and citizens interested in contributing ideas for central work themes. Thematic roundtables were carried out with support from the following institutions:

### LOCATION OF THEMATIC ROUNDTABLES

THEMATIC ROUNDTABLES	HOST INSTITUTION
1. Access to Public Information and Institutional Archives	Human Rights Ombudsman's Office
2. Citizen Participation	Congress of the Republic
3. Accountability	General Comptroller's Office
4. Technological Innovation	Presidential Science and Technology Secretariat
5. Fiscal Transparency	Ministry of Public Finances

The National Open Government Action Plan 2016-2018 includes the following 22 commitments and their work themes:



No.	WORK THEME	AMOUNT OF COMMITMENTS PROPOSED
1.	ACCESS TO PUBLIC INFORMATION AND INSTITUTIONAL ARCHIVES	4
2.	TECHNOLOGICAL INNOVATION	6
3.	CITIZEN PARTICIPATION	3
4.	ACCOUNTABILITY	2
5.	FISCAL TRANSPARENCY	7
<b>Total.....</b>		<b>22</b>

Table prepared by OGP Point of Contact based on proposals systematized.

On August 11, during the meeting of the High Level Open Government Technical Roundtable, the National Open Government Action Plan 2016-2018 was validated and approved in the Hall of Flags in the National Palace of Culture, in the presence of high-level government officials responsible for each of the 22 commitments, the President of Guatemala, the President of the Judicial Body, and a representative of the Congress of the Republic. All information on the co-creation process is published in the action plan and can be found in detail online, at [www.gobiernoabierto.gob.gt](http://www.gobiernoabierto.gob.gt).

### C) PARTICIPATION, CO-CREATION, IMPLEMENTATION, MONITORING AND DRAFTING OF NATIONAL OPEN GOVERNMENT ACTION PLAN

The process of compliance with the Third National Open Government Action Plan 2016-2018 began immediately upon its approval. Fifteen working groups were formed in the first meeting of the roundtable, and all members were able to register to participate in monitoring compliance with the 22 commitments by clicking on a link. The methodology used is based on those directly responsible for each commitment convening their technical working group, and sharing and validating the process with all members, together with the representatives of public entities, civil society organizations and observers who accompany the process.

**The 15 working groups were formed as follows:**

GRUPO No.	COMPROMISO No.	INSTITUCIÓN RESPONSABLE DE CONVOCATORIA	COORDINADOR DE GRUPO
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1	1	NATIONAL INSTITUTE FOR PUBLIC ADMINISTRATION	Ing. Luis Abiche Chief Information Officer
2	2	MINISTRY OF EDUCATION AND UNIVERSITY OF SAN CARLOS DE GUATEMALA	Ing. Mario Cerna and Licda. Nidia de Vega Chief Information Officer and Vice Ministry Adviser
3	3	ACCIÓN CIUDADANA and GUATECAMBIA	Gabriela Ayerdi Project Coordinator
4	4	CONGRESS OF THE REPUBLIC	Licda. Lilian Sierra Director of the Public Information Unit
5	5, 6, 7, 8, 9	SECRETARIAT FOR SCIENCE AND TECHNOLOGY AND THE MINISTRY OF GOVERNANCE	Ing. Edgar Sabán Information Director
6	10	SUPERINTENDENT OF COMMUNICATIONS	Ing. Harold Cancinos COO
7	11	NATIONAL INSTITUTE FOR MUNICIPAL DEVELOPMENT, NATIONAL ASSOCIATION OF MUNICIPALITIES	Licda. Irene Ortiz Communications Expert
8	12	CONGRESS OF THE REPUBLIC	Licda. Lilian Sierra Director of the Public Information Unit
9	13	PRESIDENTIAL SECRETARIAT FOR SOCIAL COMMUNICATION - GUATECIVICA	Lic. Allan Martínez Office Adviser
10	14	GENERAL COMPTROLLER'S OFFICE	Licda. Lucrecia Lima Director of Cooperation
11	15	NATIONAL COORDINATOR FOR DISASTER REDUCTION (CONRED)	Licda. Carlota Córdón Director of Institutional Planning and Development
12	16, 17, 18, 19	MINISTRY OF PUBLIC FINANCES	Lic. Carlos Mendoza, Director of Fiscal Evaluation Lic. José Antonio Menéndez and Licda. Silvia Márquez, advisers
13	20	SUPERINTENDENCE OF TAX ADMINISTRATION	Carlos Bolaños Supervisor -Planning
14	21	MINISTRY OF PUBLIC HEALTH AND SOCIAL ASSISTANCE	Doctor Eliu Mazariegos Responsible for the First Level of Health Care
15	22	MINISTRY OF EDUCATION	Ing. Mario Cerna Chief Information Officer, and Licda. Nidia de Vega, Vice Ministry Advisor

## Photo Gallery of Working Group Meetings



**GROUP 1**  
NATIONAL INSTITUTE FOR PUBLIC ADMINISTRATION



**GROUP 2**  
MINISTRY OF EDUCATION – UNIVERSITY OF SAN CARLOS DE  
GUATEMALA



**GROUP 3**  
ACCIÓN CIUDADANA - GUATECAMBIA



**GROUP 4**  
CONGRESS OF THE REPUBLIC



**GROUP 5**  
PRESIDENTIAL SCIENCE AND TECHNOLOGY SECRETARIAT –  
MINISTRY OF GOVERNANCE



**GROUP 6**  
SUPERINTENDENCE OF TELECOMMUNICATIONS



**GROUP 7**  
NATIONAL INSTITUTE FOR MUNICIPAL DEVELOPMENT –  
NATIONAL ASSOCIATION OF MUNICIPALITIES



**GROUP 8**  
CONGRESS OF THE REPUBLIC



**GROUP 9**  
DIRECTION OF SOCIAL COMMUNICATION - GUATECIVICA



**GROUP 10**  
GENERAL COMPTROLLER'S OFFICE



**GROUP 11**  
NATIONAL COORDINATOR FOR DISASTER REDUCTION



**GROUP 12**  
MINISTRY OF PUBLIC FINANCE, CONGRESS OF THE REPUBLIC,  
SEGEPLAN, GENERAL COMPTROLLER'S OFFICE



**GROUP 13**  
SUPERINTENDENCE OF TAX ADMINISTRATION  
(Only one meeting was held, to the request of the PoC)



**GROUP 14**  
MINISTRY OF PUBLIC HEALTH AND SOCIAL ASSISTANCE



**GROUP 15**  
MINISTRY OF EDUCATION



Technical Roundtables on Open Government have also been held on a monthly basis, and high-level meetings have been planned to include the highest-ranking members of the institutions directly responsible for each commitment. The website [www.gobiernoabierto.gob.gt](http://www.gobiernoabierto.gob.gt) has published a calendar of meetings, participants, agendas, memory aids and presentations for each monthly meeting, usually held in the Hall of Flags of the National Palace of Culture but moved to the Banquet Hall after the Hall of Flags was damaged in a minor earthquake.

Monthly meetings of the technical team and the Point of Contact with Civil Society Organizations and Observers were also held. These meetings are held with the institutional support of the Ministry of Governance and the Ministry of Public Finance.

Both the Open Government Technical Roundtable and the technical meetings with Civil Society Organizations have had the financial support of the USAID Civic Participation Project, implemented by Counterpart International. Details of both are as follows:

**OPEN GOVERNMENT TECHNICAL ROUNDTABLE MEETINGS HELD DURING THE DRAFTING PROCESS FOR THE NATIONAL OPEN GOVERNMENT ACTION PLAN 2016-2018**

MEETING DATES	LINK TO AGENDA
AUGUST 11, 2016	<a href="https://goo.gl/jXTuJs">https://goo.gl/jXTuJs</a>
SEPTEMBER 13, 2016	<a href="https://goo.gl/87uFfB">https://goo.gl/87uFfB</a>
OCTOBER 10, 2016	<a href="https://goo.gl/bpKn7z">https://goo.gl/bpKn7z</a>
NOVEMBER 11, 2016	<a href="https://goo.gl/L3kCTe">https://goo.gl/L3kCTe</a>
DECEMBER 15, 2016	<a href="https://goo.gl/hyGSxR">https://goo.gl/hyGSxR</a>
JANUARY 26, 2017	<a href="https://goo.gl/6zQcpV">https://goo.gl/6zQcpV</a>
FEBRUARY 23, 2017	<a href="https://goo.gl/4Naeu7">https://goo.gl/4Naeu7</a>
MARCH 23, 2017	<a href="https://goo.gl/X4ZsC2">https://goo.gl/X4ZsC2</a>
APRIL 20, 2017	<a href="https://goo.gl/ZJGmwH">https://goo.gl/ZJGmwH</a>
MAY 31, 2017	<a href="https://goo.gl/in8E4R">https://goo.gl/in8E4R</a>
JUNE 29, 2017	<a href="https://goo.gl/9yN4DP">https://goo.gl/9yN4DP</a>
JULY 27, 2017	<a href="https://goo.gl/zVfVva">https://goo.gl/zVfVva</a>
AUGUST 24, 2017	<a href="https://goo.gl/aBu7jH">https://goo.gl/aBu7jH</a>
SEPTEMBER 28, 2017	<a href="https://drive.google.com/file/d/0B_4ZUVqGd5yLN2IMWm5ONDZCSUU/view">https://drive.google.com/file/d/0B_4ZUVqGd5yLN2IMWm5ONDZCSUU/view</a>

**TECHNICAL MEETINGS OF POINT OF CONTACT, CIVIL SOCIETY ORGANIZATIONS AND OBSERVERS**

FECHA	LUGAR	HORA	
Monday, January 23, 2017	Minor Hall on the 3rd Floor of the Ministry of Governance	9:00 a.m.	Held
Friday, February 17, 2017	Minor Hall on the 3rd Floor of the Ministry of Governance	9:00 a.m.	Held
Friday, March 17, 2017 (Meeting was reprogrammed for March 20, 2017)	Major Hall on the 8th Floor of the Ministry of Governance	9:00 a.m.	Held



Friday, April 14, 2017 (Meeting was reprogrammed for April 6, 2017)	Major Hall on the 8th Floor of the Ministry of Governance	9:00 a.m.	Held
Friday, May 19, 2017 (Meeting was reprogrammed for May 25 of 2017)	Major Hall on the 8th Floor of the Ministry of Governance	9:00 a.m.	Held
Friday, June 16, 2017 (Meeting was reprogrammed for June 22, 2017)	Major Hall on the 8th Floor of the Ministry of Governance	9:00 a.m.	Held
Friday, July 21, 2017	Major Hall on the 8th Floor of the Ministry of Governance	9:00 a.m.	Held
Thursday, August 17, 2017	Major Hall on the 8th Floor of the Ministry of Governance	9:00 a.m.	Held
Friday, September 22, 2017	Major Hall on the 8th Floor of the Ministry of Governance	9:00 a.m.	Held

To date, the Open Government Technical Roundtable is composed of 70 different entities, including members of state organisms, autonomous and decentralized public institutions, civil society organizations and observers:

### **AUTONOMOUS AND DECENTRALIZED PUBLIC INSTITUTIONS**

1. National Association of Municipalities – ANAM –
2. Presidential Commission on Discrimination and Racism against Indigenous Peoples - CODISRA -
3. Congress of the Republic
4. National Council of Protected Areas - CONAP -
5. General Comptroller’s Office - CGC -
6. National Coordinator for Disaster Reduction - CONRED -
7. Commission for Urban Development and Local Competitiveness- DUCLIC -
8. Office for the Defense of Indigenous Women - DEMI -
9. National Institute for Municipal Development- INFOM -
10. National Institute for Public Administration - INAP -
11. Ministry of Agriculture, Livestock and Food- MAGA -
12. Ministry of the Environment and Natural Resources - MARN -
13. Ministry of Communications, Infrastructure and Housing - CIV -
14. Ministry of Culture and Sports - MICUDE -
15. Ministry of National Defense- MINDEF -
16. Ministry of Social Development - MIDES -
17. Ministry of Economy - MINECO -
18. Ministry of Education - MINEDUC -
19. Ministry of Energy and Mines - MEM -
20. Ministry of Public Finance - MINFIN -
21. Ministry of Governance (Ministry of the Interior) - MINGOB -
22. Ministry of Foreign Affairs - MINREX -
23. Ministry of Public Health and Social Assistance - MSPAS -
24. Ministry of Labor - MINTRAB -
25. National Civil Service Office - ONSEC -
26. National Registry of Persons - RENAP -



27.	Secretariat of Administrative Affairs and Security of the Presidency - SAAS -
28.	Presidential Secretariat for Social Communication - SCSPR -
29.	Presidential Secretariat Against Sexual Violence, Exploitation and Trafficking - SVET -
30.	Presidential Secretariat for Executive Coordination - SCEP -
31.	Secretariat of Social Works of the Spouse of the President - SOSEP -
32.	Presidential Planning and Programming Secretariat - SEGEPLAN -
33.	General Secretariat of the Presidency - SGP -
34.	Presidential Science and Technology Secretariat - SENACYT -
35.	Presidential Secretariat for Food Security and Nutrition - SESAN -
36.	Peace Secretariat -SEPAZ-
37.	Private Secretariat of the Presidency - SPP -
38.	Superintendence of Tax Administration- SAT -
39.	Superintendence of Telecommunications - SIT -
40.	University of San Carlos de Guatemala - USAC –

### CIVIL SOCIETY ORGANIZATIONS

1.	<b>Acción Ciudadana (Citizen Action) –AC-</b>
2.	Guatemalan Association of Construction Contractors - AGCC – Guatemala Chamber of Construction
3.	Association for the Study and Promotion of Security in Democracy -SEDEM-
4.	Pro Nación Citizen Association -ACPN-
5.	Guatemalan Association of Budget Investigators - AGIP -
6.	International Center for Human Rights Research -CIIDH-
7.	National Center of Information and Investigation on Development and Disasters -CENACIDE-
8.	National Center for Economic Research - CIEN
9.	Center for Investigation and Projects for Development and Peace - CEIDEPAZ -
10.	Guatecambia
11.	Construction Sector Transparency Initiative - CoST GUATEMALA -
12.	Guatecívica
13.	Central American Institute for Fiscal Studies -ICEFI-
14.	Central American Institute for Political Studies - INCEP -
15.	International Relations and Peace Research Institute - IRIPAZ
16.	National Observatory for Indigenous Peoples
17.	Red Ciudadana (Citizen Network)
18.	Women’s Democracy Network -WDN-
19.	Solutions and Strategies for Overall Development in Guatemala –SEDIG-
20.	TransparenteGT

### OBSERVERS

1.	United States Agency for International Development - USAID -
2.	Counterpart International – Civic Participation Project



3. Public Prosecutor's Office - MP -
4. Judiciary Branch -OJ -
5. Organization of American States- OEA -
6. The Carter Center
7. Human Rights Ombudsman's Office - PDH -

### **INDEPENDENT REVIEW MECHANISM**

- 1 Association for Development, Organization, Services and Cultural Studies -DOSES -

## **PHOTO GALLERY OF TECHNICAL ROUNDTABLE MEETINGS WITH POINT OF CONTACT, CIVIL SOCIETY ORGANIZATIONS AND OBSERVERS**







## PHOTO GALLERY OF OPEN GOVERNMENT TECHNICAL ROUNDTABLE MEETINGS GUATEMALA

**Open Government Technical Roundtable  
August 2016  
Approval of National Open Government  
Action Plan**



**Open Government Technical  
Roundtable  
April 2017**





## Open Government Technical Roundtable September 2017

### 3) RECOMMENDATIONS OF THE INDEPENDENT REVIEW MECHANISM

The information that is currently available is the half-term report presented by the Independent Review Mechanism (IRM). The IRM has indicated that the final National Open Government Action Plan is still under review, and the criteria that will be taken into account as recommendations of the IRM correspond to the mid-term evaluation of the second Action Plan.

According to the evaluation of the Independent Review Mechanism, the comparative results of the first and second National Open Government Action Plans are the following:

CLASSIFICATION	FIRST PLAN	SECOND PLAN	REGIONAL AVERAGE
<b>LEVEL OF COMPLETION (Substantial or complete)</b>	14%	63%	53%
<b>AMBITION (Potential or transformative)</b>	7%	6%	12%
<b>RELEVANCE (to OGP values)</b>	50%	77%	86%
<b>STELLAR (new criteria)</b>	1	1	

The recommendations of the Independent Review Mechanism based on the mid-term assessment were the following:

- a) Focus the Action Plan towards less, but more ambitious, reforms within the framework of national priorities.



- b) Create a space for collaboration between the Government and Civil Society Organizations, to promote Open Government.
- c) Ensure compliance with transparency, participation and collaboration standards during the process of co-creation and implementation of the National Open Government Action Plan.
- d) Promote a culture of citizen reporting and of filling complaints among State employees.
- e) Encourage and maintain spaces for open participation and collaboration throughout the process of co-creation and implementation of the National Open Government Action Plan, so that more civil society organizations, public entities and observers join the process.
- f) Promote the use of means of verification and indicators that prove compliance with commitments included in the National Open Government Action Plan.

#### **4) IMPLEMENTATION OF THE 22 COMMITMENTS OF THE NATIONAL OPEN GOVERNMENT ACTION PLAN**

Below are the results indicated by each of the entities directly responsible for the 22 commitments, based on the Mid-Term Self-Assessment Template in the National Open Government Action Plan 2016-2018 (self-evaluation process carried out from July 2017 to September 2017).



## Mid-Term Self-Assessment

### Commitment 1 TRAINING IN ACCESS TO PUBLIC INFORMATION AND INSTITUTIONAL ARCHIVES

Start and end date: June 30, 2016 – June 30, 2018

Responsible party	National Institute of Public Administration (INAP)
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#### Description of Commitment

What is the problem that the commitment addresses?  
(Status quo or problem to be solved)

Lack of information on the part of persons and public service employees about access to public information as a human right and about the importance of the good management of institutional archives

What is the commitment?  
(Main goal)

Improve the capacity of public officials to promote a culture of transparency in regards to access to public information and institutional archives

How will it help solve the problem?  
(Ambition)

Full knowledge of the Access to Public Information Law (LAIP) and its content by public servants

Why is it relevant to OGP values?  
(Relevance)

Strengthen the capacities of public officials on access to public information and institutional archives, both in Spanish and majority indigenous languages and develop formats for persons with disabilities, to guarantee the rights protected by the Access to Public to Information Law and contribute to increased transparency and accountability

Additional information


This commitment is related to the Zero Tolerance to Corruption Axis of the Government's General Policy.

Level of compliance	Not started	Initiated	Substantial	Full compliance
				

Description of results: Work groups have been created to agree upon different activities and training programs. The entire training plan has been drafted in Spanish; the methodology for persons with Auditory and Visual Disabilities is currently being drafted, as well as a methodology in four Mayan languages. Workshops have already begun for organizations that have requested it, as well as a series of courses, some of them virtual.

Next steps: The round of workshops for civil society in general and the round of inclusive training activities will begin in the following days.

#### Status of milestones/goals

	Start date	End date	Not started	Initiated	Substantial	Complete
<p><b>Goal 1</b></p> <p>Create a permanent training program on access to public information and institutional archives in Spanish, majority indigenous languages and for persons with disabilities.</p> <p><b>Specific activities:</b> Three follow-up roundtables were held with the people registered for this commitment. The program for the course on Law of Access to Public Information was revised, and the start date was confirmed.</p> <p><b>Means of verification:</b> <a href="https://avancesgobainap.wixsite.com/inap">https://avancesgobainap.wixsite.com/inap</a></p>	Jan-17	Jun-17				




<b>Goal 2</b>	Implement a specific training program for the subject.							
	<p><b>Specific activities:</b></p> <p>The first certification on the Law of Access to Public Information was developed (May 30 to August 1), where 64 people signed up and 48 were approved. In situ workshops were also held in 9 different public institutions: Sesan, Inap, Minex, Mingob (2), Mineco (2), Mindef and Senacyt, about topics such as Open Government, Law of Public Access to Information, Fiscal Transparency, Citizen Participation, Open Data. Means of verification: <a href="https://avancesgobainap.wixsite.com/inap">https://avancesgobainap.wixsite.com/inap</a></p>	Jul-17	Jun-18					
<b>Contact Information</b>								
Responsible party		National Institute of Public Administration (INAP)						
Name of responsible person from implementing agency		Licenciado Marco Tulio Cajas López						
Title, Department		Manager						
Email and phone		mcajas@inap.gob.gt / 24198181						
Other actors involved	Government, Ministries, public office	Institutions that take part in the Open Government Technical Roundtable, Entities bound to comply with the Access to Public Information Act, Human Rights Ombudsman's Office (PDH)						
	CSOs, private sector, multilaterals, working groups	Civil society organizations participating in Open Government and other interested organizations, international cooperation agencies						
<b>Additional Information</b>								
Goal 1 was not completed in time due to the fact that the budget for the consultancy was not available. However, support was obtained for this consultancy through a Letter of Understanding signed with the USAID Civic Participation Project implemented by Counterpart International.								

<b>Mid-Term Self-Assessment</b>	
<b>Commitment 2 TRAINING TO EDUCATIONAL PERSONNEL IN ACCESS TO PUBLIC INFORMATION AND INSTITUTIONAL ARCHIVES</b>	
Start and end date: June 30, 2016 – June 30, 2018	
Responsible party	Coordinator of Primary-Secondary Education: Ministry of Education Coordinator of Superior Education: University of San Carlos de Guatemala
<b>Description of Commitment</b>	
What is the problem that the commitment addresses? (Status quo or problem to be solved)	Lack of information about access to public information as a human right and lack of knowledge about application of the Access to Public Information Law.




What is the commitment? (Main goal)	Promote the education of students and faculty on access to public information, in order to foster a culture of transparency.
How will it help solve the problem? (Ambition)	Full knowledge of the Access to Public Information Law and public awareness of its content.
Why is it relevant to OGP values? (Relevance)	Strengthen culture of transparency and accountability by including educational materials on the right of access to public information in major indigenous languages and templates for persons with disabilities.
Additional information	Within the framework of the Strategic Education Plan 2016-2020, the Ministry of Education promotes the Strategy for the Improvement of Educational Quality and has considered, as one of the interventions for the strategy, ensuring the quality of the Initial Teacher Training (FID) and Continuing Education teachers in service (budgeted in line 011) through the Academic Program of Professional Development for Teachers (PADEP). See: <a href="http://www.mineduc.gob.gt/estrategias_mineduc/">http://www.mineduc.gob.gt/estrategias_mineduc/</a> .

Level of compliance	Not started	Initiated	Substantial	Full compliance
				

Description of results	<p><b>For Goal 1 results, include information on the Law on Access to Public Information (LAIP) in teacher training and refresher programs.</b> In the sixth cohort of the Academic Program of Professional Development for Teachers (PADEP/D) there are <b>6,157</b> participating teachers (<b>1,304 Pre-Primary Teachers and 4,853 Intercultural Primary Teachers and Bilingual Intercultural Teachers</b>). The content of the LAIP is being taught in the second semester of 2017 in the Education Administration and Legislation course. Fundazúcar reports that in 2017, 10,180 teachers from official Pre-Primary and Primary schools were trained in the departments of Santa Rosa, Escuintla, Suchitepéquez and Retalhuleu, with the participation of Pre-Primary and Sixth Grade Teachers. In the conclusions, the report highlights that participation in LAIP-related classes was positive and that teachers felt motivated, as they were given strategies to transfer this knowledge to students and parents (see report in Means of Verification).</p> <p><b>Activities developed:</b> i) Identification of teacher training programs in which the subject of the LAIP will be addressed; ii) joint review with the University of San Carlos on the inclusion of the subject in training programs, its implementation and coordination with technical teams from the Ministerio de Educación and EFPEM-USAC; iii) Presentation to the Mineduc authorities, the technical PADEP/D roundtable and the High Level Mineduc-USAC commission; iv) establishment of the mechanism to integrate LAIP topics into refresher programs for in-service teachers given by institutions such as Fundazúcar and Fundación Novella.</p>
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Next steps	<p>A) Follow up on inclusion of LAIP in the second semester of the PADEP/D cohort. A pretest on the knowledge of LAIP among participating teachers is planned; B) Evaluation reports on the inclusion of the LAIP in the different programs were requested; C) Continue work with Fundazúcar and Fundación Novella to include LAIP in teacher refresher programs.</p>
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Status of milestones/goals		Start Date	End Date	Not Started	Initiated	Substantial	Full
<b>Goal 1</b>	<p><b>To include materials on the right to access public information in all teacher-training programs developed by the Ministry of Education.</b></p> <p><b>Activities developed:</b> i) An institutional and interinstitutional technical working group was formed; ii) the teacher training programs necessary to address LAIP issues was identified: Initial Teacher Training –FID- preparatory and specialization stage, in Continuing Education the professionalization courses –PADEP/D- and the refresher programs for teachers in service; iii) a joint review was carried out to define the mechanism to be used in the inclusion of LAIP in Training Programs, in coordination with Mineduc/EFPEM-Usac teams, and curricula were reviewed for the respective programs; iv) In December of 2016, the Training School for Secondary Education Teachers –EFPEM- and the Faculty of Humanities, both of the Usac, were formally requested to include the LAIP in the curricula of their training programs; v) In May of 2017, the EFPEM informed that the topic would be included in the Educational Administration</p>	Sep-16	Jun-18				



	and Legislation course during the second semester for the sixth cohort of the PADEP/D; vi) In December of 2016, institutions such as Fundazúcar and Fundación Novella were requested to include the contents of the LAIO in the teacher trainings beginning in May of 2017.  <b>Means of verification:</b>  <a href="http://www.mineduc.gob.gt/compromisos/?p=avances.asp">http://www.mineduc.gob.gt/compromisos/?p=avances.asp</a>						
<b>Goal 2</b>	To include materials on the right to access public information in curriculum of studies in universities.  Note from PoC: It was requested that the University of San Carlos de Guatemala, institution responsible for Goal 2 of Commitment 2, include the information on progress and activities with staff. However, when this report was due to be presented, the Usac had still not presented the information requested. <b>**To avoid affecting the general evaluation of this Plan, as well as that of the Usac's involvement, the Open Government Technical Roundtable resolved, on 29/9/2017, to incorporate the latest actualization in the lower part of this template, with means of verification (see below).</b>						

Contact Information	
Lead implementing agency	Coordinator of Primary-Secondary Education: Ministry of Education Coordinator of Superior Education: University of San Carlos de Guatemala
Name of responsible person from implementing agency	Doctor José Inocente Moreno Cámara Doctor Carlos Guillermo Alvarado Cerezo
Title, Department	Vice Minister of Design and Verification of Educational Quality Dean of the University
Email and Phone	jmoreno@mineduc.gob.gt / 24189672 rector@usac.edu.gt / 24119595
Other actors involved	Government, Ministries, Departments, Agencies
	Civil Society, Academic Sector, Private Initiative, work groups and multilaterals Universities, civil society organizations participating in Open Government and other interested stakeholders

Additional Information	
Work on this Commitment followed the three pillars of Open Government:	
<p><b>i) Transparency:</b> Progress made in publishing the progress of the different activities and means of verification in the Mineduc and Open Government portals, so that they can be freely consulted.</p> <p><b>ii) Collaboration:</b> These goals are an example of joint and collaborative inter-institutional work. Different units of the Mineduc participated and coordinated with different schools and faculties of the University of San Carlos to incorporate the LAIP into teacher training programs. The collaboration from the different foundations that teach refresher courses and complied with requirements must also be emphasized.</p> <p><b>iii) Participation:</b> Planning, development and follow-up of activities and content were presented in meetings of the Open Government Technical Roundtable. Progress was shown, as were the challenges faced in achieving the commitment. Participation of management and technical staff of Mineduc and Usac was important in fulfilling the commitment, as well as the participation of members of civil society organizations.</p>	

**\*\* Information contained in the link to Commitment 2 means of verification**

<b>Commitment 2</b>
<b>NATIONAL OPEN GOVERNMENT ACTION PLAN 2016-2018</b>
<b>2. TRAINING TO EDUCATIONAL PERSONNEL IN ACCESS TO INSTITUTIONAL ARCHIVES</b>





No.	DESCRIPTION OF GOAL	COMPLIANCE DATES		MEDIO DE VERIFICACION	RESPONSIBLE ENTITY	LEVEL OF COMPLIANCE *			
		START DATE	END DATE			NOT INITIATED	INITIATED	SUBSTANTIAL	COMPLETE
1	Include in all teacher-training courses on the right of access to public information developed by the Mineduc.	Sept.-16	Jun.-18	<a href="http://www.mineduc.gob.gt/compro-misos/?p=avances.asp">http://www.mineduc.gob.gt/compro-misos/?p=avances.asp</a>	Mineduc				
2	Include contents related to the culture of transparency and access to public information in university curricula.	Sept.-16	Jun.-18	<a href="http://www2.usac.edu.gt/cip/docs/Version-resumida-del-Plan-20162018.pdf">http://www2.usac.edu.gt/cip/docs/Version-resumida-del-Plan-20162018.pdf</a> <a href="http://www2.usac.edu.gt/cip/docs/Scan-214.pdf">http://www2.usac.edu.gt/cip/docs/Scan-214.pdf</a> <a href="http://www2.usac.edu.gt/cip/docs/Scan-215.pdf">http://www2.usac.edu.gt/cip/docs/Scan-215.pdf</a> <a href="http://www2.usac.edu.gt/cip/docs/Scan-216.pdf">http://www2.usac.edu.gt/cip/docs/Scan-216.pdf</a> <a href="http://www2.usac.edu.gt/cip/docs/Politica-de-Transparencia-Final.pdf">http://www2.usac.edu.gt/cip/docs/Politica-de-Transparencia-Final.pdf</a>	USAC				

Mid-Term Self-Assessment	
<b>Commitment 3</b> EVALUATION OF GOOD PRACTICES IN ACCESS TO PUBLIC INFORMATION	
Start and end date: June 30, 2016 – June 30, 2018	
Responsible party	Coordinator: Acción Ciudadana (Transparency International) Sub coordinator: Guatecambia
Description of Commitment	
What is the problem that the commitment addresses? (Status quo or problem to be solved)	Lack of mechanisms to recognize those entities bound to comply with the Access to Public Information Law that encourage, promote and achieve effective compliance with this Act.
What is the commitment? (Main goal)	Recognize entities bound to comply with the Access to Public Information Law, that meet quality standards and generate good practices of access to public information.





How will it help solve the problem? (Ambition)	Change the perception of public institutions towards compliance with the Access to Public Information Law, to improve efficiency of public services.							
Why is it relevant to OGP values? (Relevance)	Promote the public recognition of the entities bound to comply with the Access to Public Information Law, to decrease public perception of opacity and promote the continuous improvement of these entities, promoting transparency and accountability.							
Additional information								
Level of compliance	Not started	Initiated	Substantial	Full compliance				
								
Description of results	<p>At the time of the self-assessments, two milestones or goals were completed, one was initiated and one was not yet started. We believe that our level of compliance is Initiated, because the most important goal of this commitment is still in the implementation stage.</p> <p>One of the most important steps towards the fulfillment of this commitment is the creation of a working group and a workshop with Public Information Units and Civil Society Organizations, to obtain inputs for the drafting of the methodology.</p> <p>The committee in charge of evaluating good practices was also formed with international experts (Moisés Sánchez, Executive Secretary of the Regional Alliance for Free Expression and Access to Information; Emilene Martínez, Civil Society Regional Coordinator for Latin America for the Open Government Alliance; Silvio Gramajo, Expert Consultant in Access to Public Information).</p> <p><b>Means of verification:</b>  <a href="https://drive.google.com/file/d/0B23n1LmX5J5OQ01WeGprUTi2dIU/view?usp=sharing">https://drive.google.com/file/d/0B23n1LmX5J5OQ01WeGprUTi2dIU/view?usp=sharing</a></p>							
Next steps	Launch of the Good Practices in Access to Information contest, registration of participants, implementation of evaluation methodology and awarding prizes to winners.							
<b>Status of milestones/goals</b>			Start Date	End Date	Not Started	Initiated	Substantial	Full
<b>Goal 1</b>	Define the methodology for evaluation and rating of entities bound to comply with the Law of Access to Public Information.		Sep 6	Dec 16				
	<p><b>Specific activities:</b></p> <ul style="list-style-type: none"> <li>- A working group was formed with participants from the Ministry of Governance, Segeplan, the Ministry of Defense, the Women's Democracy Network, Acción Ciudadana and Guatecambia.</li> <li>- Meetings were held for co-creation of evaluation criteria and qualification of subjects and award categories. Three meetings were held with working group, as well as one workshop with 15 participants.</li> <li>- The methodology for evaluation, qualification and participation was created.</li> <li>- The methodology was published.</li> </ul> <p><b>Means of verification:</b>  <a href="https://drive.google.com/file/d/0B23n1LmX5J5ObW1ZaVhRX3d4MIE/view?usp=sharing">https://drive.google.com/file/d/0B23n1LmX5J5ObW1ZaVhRX3d4MIE/view?usp=sharing</a></p>							



<b>Goal 2</b>	<p>Create a roundtable for assessment of good practices.</p> <p><b>Specific activities:</b></p> <ul style="list-style-type: none"> <li>- Terms of Reference were created for experts to form the Committee for Evaluation of Good Practices of Access to Information.</li> <li>- Terms of Reference were sent out to internationally well-known experts in the field.</li> <li>- Three people were selected to make up the Committee, taking into account their availability and willingness to evaluate good practices ad honorem.</li> </ul> <p><b>Means of verification:</b></p> <p><a href="https://drive.google.com/file/d/0B23n1LmX5J5ONXdpTU9fQUxmQ0k/view?usp=sharing">https://drive.google.com/file/d/0B23n1LmX5J5ONXdpTU9fQUxmQ0k/view?usp=sharing</a></p> <p><a href="https://drive.google.com/file/d/0B23n1LmX5J5OS2JGU2dES3FaOEU/view?usp=sharing">https://drive.google.com/file/d/0B23n1LmX5J5OS2JGU2dES3FaOEU/view?usp=sharing</a></p>	Jan 17	Feb 17					
<b>Goal 3</b>	<p>Implement the methodology and rating of entities bound to comply with the Access to Public Information Law, based on the identification of best practices.</p> <p><b>Specific activities:</b></p> <ul style="list-style-type: none"> <li>- Prepare the communication strategy for launching contest.</li> </ul> <p><b>Means of verification:</b></p> <p><a href="https://drive.google.com/file/d/0B23n1LmX5J5OUGNocnZ4UWQ5LWM/view?usp=sharing">https://drive.google.com/file/d/0B23n1LmX5J5OUGNocnZ4UWQ5LWM/view?usp=sharing</a></p>	Jan 17	Dec17					
<b>Goal 4</b>	Hold public awards ceremony and publicize results.	Mar18	Apr 18	<b>On time</b>				

#### Contact Information

Lead Implementing Agency	Coordinator: Acción Ciudadana Sub coordinator: Guatecambia	
Name of Responsible Person from Implementing Agency	Lic. Manfredo Marroquín Lic. Ángel Ramírez	
Title, Department	President of the Board Director	
Email and Phone	manfredomarroquin@accionciudadana.org.gt / 2388 3400 aramirez@congresotransparente.org.gt / 55179893	
Other Actors Involved	Public entities, Government agencies, Ministries	Entities bound to comply with the Access to Public Information Law, Human Rights Ombudsman's Office (PDH)
	Civil society organization, private initiative, associations, multilateral work groups	Civil society organizations participating in Open Government initiatives, the National Association of Municipalities (ANAM), other interested parties and international cooperation agencies



#### Additional Information

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#### Mid-Term Self-Assessment

<b>Commitment 4</b>	ESTABLISH A MULTISECTOR ROUNDTABLE TO DISCUSS, DEVELOP AND PRESENT A BILL TO STRENGTHEN THE RIGHT TO ACCESS PUBLIC INFORMATION AND INSTITUTIONAL ARCHIVES, AS WELL AS A REGULATING INSTITUTION
Start and end date: June 30, 2016 - June 30, 2018	
Responsible Party	Congress of the Republic/Human Rights Commission




Description of Commitment							
What is the problem that the commitment addresses? (Status quo or problem to be solved)	Access to public information is not strengthened enough to ensure prompt consultation and maximum publicity.						
What is the commitment? (Main goal)	Strengthen the human right of access to public information and promote a system of institutional archives.						
How will it help solve the problem? (Ambition)	Generate proposals that help ensure promptness in consultations and maximum transparency in public information, promoting transparency in public administration.						
Why is it relevant to OGP values? (Relevance)	Promote mechanisms that strengthen access to public information and institutional archives through a multi-sectorial technical roundtable based on collaboration and participation.						
Additional information	<p>Include additional information about the commitment (optional), for example:</p> <ul style="list-style-type: none"> <li>-Commitment budget</li> <li>-Links with other government programs</li> <li>-Links with the National Development Plan or with sectorial plans</li> <li>-Links with Sustainable Development Goals</li> </ul> <p>Note: Use the information of your plan. If there was a change, write it here.</p>						
Level of compliance	Not started		Initiated		Substantial		Full compliance
							
Description of results	As a result of the multi sectorial Technical Roundtable and the discussion of the best way to strengthen the human right of access to public information and institutional archives, the regulating institution presented draft legislation before the Congress of the Republic with the number 5210, to be studied on November 29 of 2016. Congress decided to transfer the project to the Commission for Legislation and Constitutional Points; they received it on December 1 of 2016 and have not yet issued the corresponding conclusion.						
Next steps	In spite of the commitment made to present a bill of access to public information, the committee will follow up on the draft legislation until they receive an answer from the Commission for Legislation and Constitutional Points in Congress, and congressman Ronald Arango will promote the discussion of the proposed bill and its subsequent approval.						
<b>Status of milestones/goals</b>							
		Start Date	End Date	Not Started	Initiated	Substantial	Full
<b>GOAL 1</b>	<p>Establishment and maintenance of an inter-institutional technical innovation and technology roundtable to discuss, create and present a draft legislation to strengthen the right of access to public information and institutional archives.</p> <p>Specific activities:</p> <p>1- A work meeting was held with Civil Society Organizations that participate in the Open Government Technical Roundtable and the Human Rights Commission to prepare a work plan that would allow for the fulfillment of the commitment acquired.</p> <p>2- To follow up on the previously established work plan, civil society institutions and organizations were invited to participate in the multi sector Technical Roundtable to discuss how to strengthen the right of access to public information and institutional files.</p> <p>3- Two dates were set for presentation of proposals. Proposals were discussed with speakers depending of what type of institution was from (public or civil society).</p>	Aug-2016	Feb-2017				







4- The legal initiative to modify Decree 57-2008 of the Congress of the Republic, "Law of Access to Public Information", was presented before congress. It was assigned the registration number 5210.							
Means of verification:							
1. <a href="http://old.congreso.gob.gt/Docs/comp_4/Plan%20de%20trabajo_compromiso%20No%204_19oct2016.pdf">http://old.congreso.gob.gt/Docs/comp_4/Plan%20de%20trabajo_compromiso%20No%204_19oct2016.pdf</a>							
2. <a href="http://old.congreso.gob.gt/Docs/comp_4/Comunicado%20de%20Prensa.pdf">http://old.congreso.gob.gt/Docs/comp_4/Comunicado%20de%20Prensa.pdf</a>							
3. <a href="http://www.congreso.gob.gt/noticias.php?id=8103">http://www.congreso.gob.gt/noticias.php?id=8103</a>							
4. <a href="http://www.congreso.gob.gt/noticias.php?id=8103">http://www.congreso.gob.gt/noticias.php?id=8103</a>							
5. <a href="http://old.congreso.gob.gt/archivos/iniciativas/registro5210.pdf">http://old.congreso.gob.gt/archivos/iniciativas/registro5210.pdf</a>							
Contact Information							
Lead Implementing Agency				Congress of the Republic/Human Rights Commission			
Name of responsible person from implementing agency				Congressman Ronald Arango			
Title, Department				President of the Human Rights Commission of Congress			
Email and Phone				ronaldarango@hotmail.com / 22447878			
Other actors involved	Public entity, state organism			Open Government Technical Roundtable, entities bound to comply with the Access to Public Information Law that wish to participate, PDH			
	Civil society, private initiative, work groups, multilaterals			Civil society organizations that participate in Open Government and other organizations that wish to participate			
Additional Information							

Mid-Term Self-Assessment	
<b>Commitment 5</b> CREATE AND IMPLEMENT AN OPEN DATA WEB PORTAL	
Start and end date: June 30, 2016 - June 30, 2018	
Responsible Party	Coordinator: SENACYT Sub coordinator: Vice Ministry of Technology of the Ministry of Governance
Description of Commitment	
What is the problem that the commitment addresses? (Status quo or problem to be solved)	There is currently no single web portal with integrated information and open data, and there is no National Open Data Policy in the country.  Public information contained in official web portals is not in a format that can be used by citizens, civil society organizations or academic investigation, for social auditing purposes. All published information must comply with the principles of the International Data Charter, to promote transparency, accountability and socio-economic development.
What is the commitment? (Main goal)	To have a single website that allows for publication of data in open formats, and a National Open Data Policy.  To co-create the National Open Data Policy, to institutionalize the opening of access to data through a legal instrument.



<p>How will it help solve the problem? (Ambition)</p>	<p>Create an institutional culture to create, publish and update information in open data formats, implement the Open Data web portal and provide citizens easy access to public information that allows them to reuse and re-distribute.</p> <p>This policy will establish the guidelines so that Ministries, Secretariats and other decentralized and autonomous entities are obliged to publish information in open formats to guarantee the right of access to public information. This would begin to generate awareness and democratic participation among citizens, so that they actively demand transparency, accountability and a reduction in corruption.</p>			
<p>Why is it relevant to OGP values? (Relevance)</p>	<p>Improve trust and citizen participation to allow democratic access to public information, greater transparency and accountability and promote active communication with citizens.</p> <p>It complies with the three OGP principles: Transparency, Participation and Collaboration. The first, because transparency is being promoted in public administration. The second and third, because the process has been co-created by civil society organizations, government, research centers and other active participants who have all made proposals that were taken into account for the policy. The draft will be published so that anyone interested can contribute to or comment on the process and the content, and these comments and contributions will be taken into account when integrating the final proposal.</p>			
<p>Additional information</p>	<p>A pilot plan with five government institutions was defined for the implementation and use of the single open data portal. This portal also has a direct relationship with the National Open Data Policy.</p> <p>The National Open Data Policy is aligned with the Sustainable Development Goals, the 2030 United Nations Agenda, the K'atun 2032 Plan and the government's general policy for 2016-2020.</p> <p>Funding for the National Open Data Policy is being provided by international donors and the Ministry of Governance (Ministry of the Interior).</p> <p>The original work plan was modified and adjusted to the dynamics and the process for co-creation and approval, and also include recommendations made by the Organization of American States.</p>			
<p>Level of compliance</p>	<p>Not started</p>	<p>Initiated</p> <p style="text-align: center;"></p>	<p>Substantial</p>	<p>Full compliance</p>
<p>Description of results</p>	<p>Implementation of the single open data portal has led to the development of a series of activities that will lead to establishing the bases of its development. The most important results to date are: the definition of a work plan for implementation of the single open data portal, a diagnosis of open data platforms, the definition of the open data structure from the portal, testing of open tools source and definition of the pilot plan.</p> <p>More than 100 people participated in the Technical Roundtables and other meetings for co-creation of the National Open Data Policy, and more than 60 people had the opportunity to discuss the formulation of the policy and the effects this would have on its implementation. The efforts of several actors to increase citizen participation in social auditing and accountability processes were remarkable, and there was even participation of media such as Ojo Con Mi Pisto.</p>			
<p>Next steps</p>	<p>Establish agreements with the institutions that are developing the pilot plan and create a guide for opening data.</p>			



Status of milestones/goals		Start Date	End Date	Not Started	Initiated	Substantial	Full
<b>Goal 1</b>	<p>Define the plan for creation and implementation of website.</p> <p><b>Activities:</b> A series of meetings were held to put together the work plan and the schedule of activities.</p> <p><b>Means of verification:</b> <a href="http://miit.gobiernoabierto.gob.gt/index.php/compromisos/5/metasc5#m1">http://miit.gobiernoabierto.gob.gt/index.php/compromisos/5/metasc5#m1</a></p>	Aug-16	Nov-16				
<b>Goal 2</b>	<p>Establish in a participatory and collaborative manner the rules and procedures for structural and technical organization and classification or information. Establish periodicity of publications.</p> <p><b>Activities:</b> A theoretical investigation of the different open data platforms was developed to define the structure of open data and carry out a diagnosis of open data platforms used by leading countries in the field.</p> <p><b>Means of verification:</b> <a href="http://miit.gobiernoabierto.gob.gt/index.php/compromisos/5/metasc5#m2">http://miit.gobiernoabierto.gob.gt/index.php/compromisos/5/metasc5#m2</a></p>	Aug-16	Nov-16				
<b>Goal 3</b>	<p>Create and implement Open Data website.</p> <p><b>Results:</b> Diagnosis of open data platforms. Criteria for pilot plan selection. Preliminary definition of pilot plan. Installation of CKAN. Definition of pilot plan.</p> <p><b>Means of verification:</b> <a href="http://miit.gobiernoabierto.gob.gt/index.php/compromisos/5/metasc5#m3">http://miit.gobiernoabierto.gob.gt/index.php/compromisos/5/metasc5#m3</a></p>	Nov-2016	Dec-2018				
<b>Goal 4</b>	Train institutions involved in the pilot project.	Jan-2018	Mar-2018	<b>On time</b>			
<b>Goal 5</b>	Consolidate, publish and monitor information on Open Data website.	Jan-2018	Jun-2018	<b>On time</b>			
<b>Goal 6</b>	Official launch of Open Data website.	Jun-2018	Jun-2018	<b>On time</b>			
<b>Goal 7</b>	<p>Create and approve National Open Data Policy.</p> <p><b>Activities:</b> 01. Preparation of Work Plan for the creation and approval of the National Open Data Policy. 02. Investigate and collect information on agreements or treaties on the use of open data, as well as experiences from other countries. 03. Drafting of the Strategic and Operative Plan for the formulation of the National Open Data Policy proposal. 04. Discussion of the theoretical-conceptual, methodological and administrative elements to be considered for the creation of the National Open Data Policy, in accordance with the Segeplan Formulation Guide January 2017-February 2017. 05. Presentation of advances to the Inter institutional Roundtable for Technological Innovation, May 2017. 06. Specific guidelines for formulation of the National Open Data Plan by the Minister of the Interior. 07. Presentation of advances to the Internistitutional Roundtable for Technological Innovation, May 2017.</p>	Aug-16	Jun-18				



	08. Organization of Dialogue Roundtables “Co-Creation of the National Open Data Policy”, June 2017. 09. Realization of Dialogue Roundtables “Co-Creation of the National Open Data Policy, July 2017. 10. Compilation of the proposals presented in Dialogue Roundtables. Incorporation of viable proposals to the initial formulation of the National Open Data Policy, August 2017.  <b>Means of verification:</b> <a href="http://mingob.gob.gt/gobierno-abierto/">http://mingob.gob.gt/gobierno-abierto/</a>						
Contact Information							
Lead implementing agency		Vice Ministry of Technology and Communication of the Ministry of the Interior					
Name of responsible person from implementing agency		Ing. Gabriel Juárez					
Title, Department		Vice Minister of Technology and Education					
Email and Phone		gjuarez@mingob.gob.gt / 24138888					
Other actors involved	Public entities and state organisms	Executive Branch, Human Rights Ombudsman’s Office, Senacyt, Segeplan, autonomous entities, Technological Innovation Roundtable					
	Civil society, private initiative, multilateral work groups	Civil society organizations, media, centers for investigation, academia and other interested stakeholders					
Additional Information							
<p>Initially, the co-creation and approval of the National Open Data Policy was scheduled to be completed in June of 2018. The team is making an effort to complete it 6 months before, on December 31 of 2017, so that it can be implemented with the Ministry of Finance and the Ministry of Education from January to June of 2018. International cooperation has provided funding, especially the Organization of American States (OAS) and the USAID Civic Participation Project implemented by Counterpart International. This funding has been key in carrying out activities such as Thematic Roundtables for co-creation of the policy with civil society organizations, research centers, academia and the media. Implementation of the policy is planned in the Fourth National Open Government Action Plan, so that the state of Guatemala has the objectives, goals and indicators necessary to be included in the international Open Data Barometer. The National Open Data Policy is part of the natural evolution of the right of access to public information, which is why it will at all times be subject to the provisions of the Human Rights Ombudsman’s Office.</p>							

Mid-Term Self-Assessment	
<b>Commitment 6</b> TECHNOLOGICAL SUPPORT FOR OPEN GOVERNMENT WORK THEMES THROUGH THE ESTABLISHMENT OF AN INTER INSTITUTIONAL TECHNICAL ROUNDTABLE FOR TECHNOLOGICAL INNOVATION	
Start and end date: June 30, 2016 - June 30, 2018	
Responsible Party	Presidential Science and Technology Secretariat –Senacyt-
Description of Commitment	
What is the problem that the commitment addresses? (Status quo or problem to be solved)	There are currently isolated efforts in implementation of the technological element of the National Open Government Action Plan.
What is the commitment? (Main goal)	Establish an interagency coordination method for technological support in fulfilling the commitments for the National Open Government Action Plan 2016-2018.
How will it help solve the problem? (Ambition)	Optimize technological capacities and resources for implementation of National Open Government Action Plan 2016-2018.




Why is it relevant to OGP values? (Relevance)		Unify efforts of institutions involved in fulfilling the commitments of the National Open Government Action Plan 2016-2018.					
Additional information		Civil society organizations were integrated into the planning process so that the criteria included in this table function effectively.					
Level of compliance	Not started	Initiated	Substantial	Full compliance			
			●				
Description of results	As a commitment oriented towards coordination, management and support for the development of technological innovation, an inter institutional roundtable for technological innovation was created (MIIT), meetings were held and an official web portal was created.						
Next steps	Next steps include follow up, control and monitoring of compliance with technological innovation commitments and the development of instruments to measure compliance.						
<b>Status of milestones/goals</b>		Start Date	End Date	Not Started	Initiated	Substantial	Full
<b>Goal 1</b>	<p>Establishment and maintenance of an inter-institutional technical innovation and technology roundtable.</p> <p><b>Description of activities:</b> The Inter institutional Roundtable on Technical Innovation was officially created in the meeting held on August 24, 2016. More follow-up and coordination meetings have since been held, to fulfill the objective of the Roundtable.</p> <p><b>Means of verification:</b> <a href="http://miit.gobiernoabierto.gob.gt/index.php/compromisos/6/metasc6#m1">http://miit.gobiernoabierto.gob.gt/index.php/compromisos/6/metasc6#m1</a></p>	Aug-16	Jun-18			●	
<b>Goal 2</b>	<p>Creation, development, monitoring and tracking of technological support for National Open Government Action Plan 2016-2018.</p> <p><b>Description of activities:</b> As part of the monitoring process and follow up of work plans defined for each commitment, an official website was created for the Technological Innovation Roundtable, developed by Senacyt. Periodic meetings are held in the different institution headquarters.</p> <p><b>Means of verification:</b> <a href="http://miit.gobiernoabierto.gob.gt/index.php/compromisos/6/metasc6#m2">http://miit.gobiernoabierto.gob.gt/index.php/compromisos/6/metasc6#m2</a></p>	Aug-16	Apr-18			●	
<b>Goal 3</b>	Evaluation of results of technological accompaniment of National Open Government Action Plan 2016-2018.	May-18	Jun-18	<b>On time</b>			
<b>Contact Information</b>							
Lead implementing agency		Presidential Science and Technology Secretariat –Senacyt-					
Name of responsible person from implementing agency		Dr. Oscar Manuel Cobar Pinto					
Title, Department		Presidential Secretary of Science and Technology					
Email and Phone		ocobar@concyt.gob.gt / 23172600					
Other actors involved	Government Ministries, Department/Agency	Executive Branch and other interested entities					





Civil society organizations, private initiative, multilateral work groups	Civil society organizations participating in Open Government and other interested stakeholders Universities and other stakeholders interested in participating
<b>Additional Information</b>	
The webpage developed for the Technological Innovation Roundtable works to control and monitor the advances in the technological innovation commitments and to communicate the various efforts that are made, and can be accessed from: <a href="http://miit.gobiernoabierto.gob.gt">http://miit.gobiernoabierto.gob.gt</a> .	
For more information on the details of activities carried out within the framework of this commitment, you can visit: <a href="http://miit.gobiernoabierto.gob.gt/index.php/compromisos/6/blog-comp-6">http://miit.gobiernoabierto.gob.gt/index.php/compromisos/6/blog-comp-6</a> .	



Mid-Term Self-Assessment				
<b>Commitment 7</b> DESIGN AND IMPLEMENTATION OF AN ONLINE DIRECTORY OF PUBLIC SERVICES				
Start and end date: June 30, 2016 - June 30, 2018				
Responsible Party	Presidential Science and Technology Secretariat - SENACYT - National Institute of Public Administration - INAP -			
Description of Commitment				
What is the problem that the commitment addresses? (Status quo or problem to be solved)	The amount of government agency websites with different acronyms that make location of public services and information more difficult.			
What is the commitment? (Main goal)	Centralize information and public directory services provided by public administration in a single website.			
How will it help solve the problem? (Ambition)	Centralize information about public services through an online directory that provides citizens with information quickly and conveniently.			
Why is it relevant to OGP values? (Relevance)	The online directory of public services will strengthen citizen participation by making information available about products, procedures and programs, providing better services to citizens with friendly and efficient procedures.			
Additional information	The open source use strategy was defined for the development of an online public services directory, or the reutilization of a platform developed by a governmental institution. A pilot plan was defined, that includes five institutions that will publish procedures for the services they will provide, both online and personally. This commitment will be a guide for documenting the services provided by the institutions.			
Level of compliance	Not started	Initiated	Substantial	Full compliance
				
Description of results	The Mineco and Senacyt cooperated to obtain a platform that will be made available for other institutions. An agreement will be signed between Senacyt and Mineco to formalize the transfer of technologies. The platform was standardized for technical documentation, user documentation and documentation of procedures.			
Next steps	Agreements will be signed with institutions that form part of the pilot plan, and tools will be developed for documentation of both online and offline services.			






Status of milestones/goals		Start Date	End Date	Not Started	Initiated	Substantial	Full
<b>Goal 1</b>	<p><b>Goal</b> Recover and update online public services and update level of automation.</p> <p><b>Description of activities:</b> A written request was made to Copret, to obtain information about their web system used for the National Catalog of Public Services. They responded with the technical requirements requested. The same request was made to the National Catalog of Public Services, but no response was received.</p> <p><b>Means of verification:</b> <a href="http://miit.gobiernoabierto.gob.gt/index.php/compromisos/7/metasc7#m1">http://miit.gobiernoabierto.gob.gt/index.php/compromisos/7/metasc7#m1</a></p>	Oct-16	Mar-17				
<b>Goal 2</b>	<p>Describe and classify online public services in a collaborative and participatory manner.</p> <p>Description of activities: A series of meetings have been held with government entities and civil society organizations for the preliminary definition of the pilot plan. The result was the definition of a consensual pilot plan.</p> <p>Means of verification: <a href="http://miit.gobiernoabierto.gob.gt/index.php/compromisos/7/metasc7#m2">http://miit.gobiernoabierto.gob.gt/index.php/compromisos/7/metasc7#m2</a></p>	Oct-16	Jul-17				
<b>Goal 3</b>	<p>Create and implement an online platform for a directory of public services and utilities.</p> <p>Description of activities: Two platforms were evaluated. The National Catalog of Public Services by Copret and the platform asisehace.gt by Mineco. The platform asisehace.gt was chosen because it fulfills the objectives of the commitment. Likewise, Mineco carried out the entire management process for the transfer of technology and it is currently in the hands of the Information Technology Division of those Ministries, pending the signing of an agreement between Senacyt and Mineco to formalize the use and transfer of said platform.</p> <p>Means of verification: <a href="http://miit.gobiernoabierto.gob.gt/index.php/compromisos/7/metasc7#m3">http://miit.gobiernoabierto.gob.gt/index.php/compromisos/7/metasc7#m3</a></p>	Jul-17	Dec-17				
<b>Goal 4</b>	Train in the use of the online directory of public services.	ene-18	jun-18	<b>On time</b>			
<b>Contact Information</b>							
Lead implementing agency		Presidential Science and Technology Secretariat - SENACYT - National Institute of Public Administration - INAP -					
Name of responsible person from implementing agency		Dr. Oscar Manuel Cobar Pinto Licenciado Marco Tulio Cajas					
Title, department		Science and Technology Secretariat Manager of INAP					
Email and phone		ocobar@concyt.gob.gt / 23172600 mcajas@inap.gob.gt / 2419-8181					
Other actors involved	Government Ministries, Department/Agency	Executive branch and public institutions interested in participating Inter-Institutional Technological Innovation Technical Roundtable					



Civil society organizations, private initiatives , multilateral work groups	Civil society organizations participating in Open Government, universities and other interested stakeholders
<b>Additional Information</b>	
Regarding the National Online Catalog of Public Services that was developed by Copret, no response has been obtained regarding our request for the database. The Ministry of Economy has been working hard with Senacyt to be able to provide their online platform of public services and has developed a platform that adapts to their needs ( <a href="http://asisehace.gt/">http://asisehace.gt/</a> ). This transfer of technology is currently under construction, so that concrete results have yet to be achieved, along with the impact to goals 2 and 3.	
For more information and details of activities that have been carried out within the framework of this commitment, you can visit: <a href="http://miit.gobiernoabierto.gob.gt/index.php/compromisos/7/blog-comp-7">http://miit.gobiernoabierto.gob.gt/index.php/compromisos/7/blog-comp-7</a>	


Mid-Term Self-Assessment									
<b>Commitment 8</b> DIGITAL INCLUSION AND IMMERSION AT A MUNICIPAL LEVEL									
Start and end date: June 30, 2016 - June 30, 2018									
Responsible Party				Coordinator: Presidential Science and Technology Secretariat (SENACYT) Municipal coordinator: National Association of Municipalities (ANAM)					
Description of Commitment									
What is the problem that the commitment addresses? (Status quo or problem to be solved)		The lack of technological infrastructure to promote transparency in access to public information in municipalities.							
What is the commitment? (Main goal)		Promote transparency in municipal management and strengthen access to public information.							
How will it help solve the problem? (Ambition)		Encourage greater transparency and openness to citizen participation in municipalities through their websites.							
Why is it relevant to OGP values? (Relevance)		Contribute to fostering transparency, accountability, participation and citizen cooperation by allowing access to public information in municipal management.							
Additional information		Informative web portals and information systems for public information management will be developed, as well as a series of trainings in the use of technological tools called "Portales de transparencia". The collaboration of municipalities interested in transparency websites is necessary for their implementation.							
Level of compliance	Not started		Initiated		Substantial		Full compliance		
									
Description of results		Significant results have been obtained, some of which include the selection of Municipalities for the pilot plan, the definition of the transparency web portal and the promotion of transparency web portals in different municipalities.							
Next steps		To modify the web system for the management of public information transferred by Senacyt and to sign agreements with municipal authorities.							
Status of milestones/goals				Start Date	End Date	Not Started	Initiated	Substantial	Full
<b>Goal 1</b>	Planning, selection and presentation of the pilot municipalities project. Description of activities:			Aug-16	Oct-16				





	<p>An exercise was carried out during meetings to define and select municipalities to include in the pilot plan, in agreement with government entities and civil society organizations.</p> <p>Means of verification:  <a href="http://miit.gobiernoabierto.gob.gt/index.php/compromisos/8/metas-c8#m1">http://miit.gobiernoabierto.gob.gt/index.php/compromisos/8/metas-c8#m1</a></p>						
<b>Goal 2</b>	<p>Design of websites and technologies for transparency.</p> <p>Description of activities:          Technical meetings have been held to define the design of transparency portal technologies. A diagnostic was made for the implementation of informative websites, through which the CMS technology to be used will be defined.</p> <p>Means of verification:  <a href="http://miit.gobiernoabierto.gob.gt/index.php/compromisos/8/metas-c8#m2">http://miit.gobiernoabierto.gob.gt/index.php/compromisos/8/metas-c8#m2</a></p>	Aug-16	Mar-2017				
<b>Goal 3</b>	<p>Promotion in pilot municipalities for an approval of minimum parameters required for the publication of public information.</p> <p>Description of activities:          Preliminary meetings have been carried out with several municipalities, Senacyt and Inap, in order to publicize the project and consider the possibility of joining. As a result, Senacyt received a request from the Mancomunidad de la Tierra Del Venado, a region made up of 5 municipalities, to be part of the pilot plan. This agreement is currently being worked on, as technologies are developed.</p> <p>Means of verification:  <a href="http://miit.gobiernoabierto.gob.gt/index.php/compromisos/8/metas-c8#m3">http://miit.gobiernoabierto.gob.gt/index.php/compromisos/8/metas-c8#m3</a></p>	Apr-2017	Aug-2017				
<b>Goal 4</b>	<p>Implementation of websites for transparency in pilot municipalities.</p> <p>Description of activities:          Transfer of technologies from Senacyt to MIIT. The Senacyt put the system for management of public information at the MIIT's disposal, so that it can be adapted and installed in the municipalities that are part of the pilot plan. Senacyt is currently modifying the system. The Inap has also been experimenting with websites and has conducted tests with various municipalities.</p> <p>Means of verification:  <a href="http://miit.gobiernoabierto.gob.gt/index.php/compromisos/8/metas-c8#m4">http://miit.gobiernoabierto.gob.gt/index.php/compromisos/8/metas-c8#m4</a></p>	Aug-2017	Dec-17				
<b>Goal 5</b>	Incorporate pilot municipalities in GL website for Ministry of Public Finances.	Jan-2018	Mar-2018	<b>On time</b>			
<b>Goal 6</b>	Performance evaluation for transparency websites.	Jan-2018	May-2018	<b>On time</b>			
<b>Contact Information</b>							
Lead implementing agency		Coordinator: Presidential Science and Technology Secretariat (SENACYT) Municipal coordinator: National Association of Municipalities (ANAM)					
Name of responsible person from implementing agency		Dr. Oscar Manuel Cobar Pinto Engineer Edwin Escobar					
Title, Department		National Science and Technology Secretary President of the National Association of Municipalities					



Email and Phone		ocobar@concyt.gob.gt / 23172600 cecilia.garcia@anam.org.gt / 23242424
Other actors involved	Government Ministries, Department/Agency	Executive branch and public institutions that wish to participate Inter-Institutional Technological Innovation Technical Roundtable, Human Rights Ombudsman's Office (PDH)
	Civil society organizations, private initiatives, multilateral work groups	Civil society organizations participating in Open Government, universities and other interested stakeholders.
<b>Additional Information</b>		
The Senacyt developed a web system for the management of public information. This system is used internally, but the institution has made it available to municipalities in order to help them improve their processes. The system has been modified and adapted according to the requirements for design of transparency portals.		
For more information about the details of activities that have been carried out within the framework of this commitment, you can visit: <a href="http://miit.gobiernoabierto.gob.gt/index.php/compromisos/8/blog-comp-8">http://miit.gobiernoabierto.gob.gt/index.php/compromisos/8/blog-comp-8</a>		

Mid-Term Self-Assessment				
<b>Commitment 9</b> DESIGN OF ONLINE PLATFORM FOR PUBLIC INFORMATION QUERIES				
Start and end date: June 30, 2016 - June 30, 2018				
Responsible Party		Presidential Science and Technology Secretariat - SENACYT - National Institute of Public Administration - INAP -		
<b>Description of Commitment</b>				
What is the problem that the commitment addresses? (Status quo or problem to be solved)		There is currently no single online platform for public information requests by citizens.		
What is the commitment? (Main goal)		Design a single online platform for public information requests.		
How will it help solve the problem? (Ambition)		The single online platform for public information requests will provide citizens with security and certainty in their applications for public information, promote a culture of transparency, accountability and effectiveness of the entities bound to comply with the Access to Public Information Law, for citizens to regain trust in public services provided.		
Why is it relevant to OGP values? (Relevance)		Design of this online platform will establish the basis on which a single online website for public information will be developed and implemented. This will allow for access to public information, foster transparency and modernize institutions with open data techniques. It will also facilitate participation and citizen observation, interaction with institutions and use of innovative technologies.		
Additional information		N/A		
Level of Compliance	Not started	Initiated	Substantial	Full compliance
				




Description of results	A strategy was designed, existing platforms have been analyzed and the first workshop was held for collecting information on requirements and functions of the platform.						
Next steps	Develop the second workshop and present a final version of the design.						
Status of milestones/goals		Start Date	End Date	Not Started	Initiated	Substantial	Full
<b>Goal 1</b>	<p>Diagnosis of existing online platforms.</p> <p>Description of activities: An investigation was carried out on the different existing platforms, in order to have a baseline in the development of website designs. Institutions that took part in this investigation are Copret, Segeplan, Minfin and the Human Rights Ombudsman's Office. Based on the data collected, we proceeded to perform a diagnosis of existing platforms.</p> <p>Means of verification: <a href="http://miit.gobiernoabierto.gob.gt/index.php/compromisos/9/metasc9#m1">http://miit.gobiernoabierto.gob.gt/index.php/compromisos/9/metasc9#m1</a></p>	Aug-16	Dec-16				
<b>Goal 2</b>	<p>GOAL Design a single online platform for public requests for information.</p> <p>Description of activities: The first workshop for the design of the web platform for public information requests was developed, to compile requirements with representatives of public information areas of ministries and secretariats, as well as the Human Rights Ombudsman's Office.</p> <p>Means of verification: <a href="http://miit.gobiernoabierto.gob.gt/index.php/compromisos/9/metasc9#m2">http://miit.gobiernoabierto.gob.gt/index.php/compromisos/9/metasc9#m2</a></p>	Jan-17	Dec-17				
<b>Goal 3</b>	Presentation of final online platform design.	Sep-17	Dec-17	<b>On time</b>			
Contact Information							
Lead implementing agency	National Science and Technology Secretariat - SENACYT - National Institute of Public Administration- INAP -						
Name of responsible person from implementing agency	Dr. Oscar Manuel Cobar Pinto Lic. Marco Tulio Cajas						
Title, Department	Presidential Science and Technology Secretary Manager of INAP						
Email and Phone	ocobar@concyt.gob.gt / 23172600 mcajas@inap.gob.gt / 24198181						
Other actors involved	Government Ministries, Department/Agency	Executive branch and public institutions that wish to participate Inter-Institutional Technological Innovation Technical Roundtable, Human Rights Ombudsman's Office (PDH), Ministry of Finance.					
	Civil society organizations, private initiatives, multilateral work groups	Civil society organizations participating in Open Government, universities and other interested stakeholders.					
Additional information							





The Ministry of Public Finances developed a system for the management of public information, and there is a possibility that it may be transferred to other institutions that require it. The transfer of information is an added value to the commitment, so that if the system is not transferred, it does not impact results for this commitment.

For more information and details on the activities that have been carried out within the framework of this commitment, visit:  
<http://miit.gobiernoabierto.gob.gt/index.php/compromisos/9/blog-comp-9>



Mid-Term Self-Assessment				
Commitment 10 "DIGITAL NATION" NATIONAL BROADBAND CONNECTIVITY PLAN				
Start and end date: June 30, 2016 - June 30, 2018				
Responsible Party	Superintendence of Telecommunications / Ministry of Communications			
Description of Commitment				
What is the problem that the commitment addresses? (Status quo or problem to be solved)	To bridge the digital divide in the country.			
What is the commitment? (Main goal)	To furnish 20% of educational establishments with technological infrastructure and academic contents.			
How will it help solve the problem? (Ambition)	Guarantee that 6,702 educational institutions (20%) have connectivity, infrastructure, technology and contents for strengthening democracy of information; establish a plan for developing student potential.			
Why is it relevant to OGP values? (Relevance)	With the reduction of the digital divide in the country, sociocultural conditions must be created to harmonize the relationship between those that govern and those that are governed, using information technologies as a key tool in citizen participation, as well as technological innovation and facilitation of effective communication channels.			
Additional information	<p>Commitment 10 of this Third National Open Government Action Plan spans the period from June 2016 to July 2018, but this commitment is part of a larger project: Digital Nation, the digital agenda for Guatemala. It began as the Digital Nation National Connectivity and Broadband Plan, but little by little it has become the digital agenda for the country. This agenda was publicly presented in January of 2017 by the President of Guatemala, together with the Ministers of Communication, Education, Interior and the Superintendent of Telecommunications. The Agenda consists of five work themes: health, education, security, transparency and development. The Agenda itself is a long-term project and its emphasis is currently on education. The President also recommended creation of a Public Policy on Digital Nation, so that the agenda is inserted into the Strategic Plan of the Ministry of Communications, Infrastructure and Housing. Since January of 2017, work is being carried out with units of each of the aforementioned ministries and with the support of Segeplan's Territorial Planning direction, so that the Strategic Plan can be included in the budget. The Digital Nation Agenda is aligned with the National Government Policy for 2016-2018 and the K'atun 2032 National Development Plan.</p> <p>Furthermore, all sectors of society are represented in the drafting of this agenda: civil society, the private sector, the government and citizens. Roundtables have been considered for dialogue, to obtain inputs and to strengthen the already planned work themes and to design the activities or interventions to be implemented.</p>			
Level of compliance	Not started	Initiated	Substantial	Full compliance
				



Description of results	<p>During the first year of the commitment, the following specific actions have been carried out:</p> <ul style="list-style-type: none"> <li>- Presentation of the Digital Nation Agenda by the President of Guatemala</li> <li>- Technical work with Segeplan and the Ministry of Communications, preparation of the strategic plan and budget</li> <li>- Pilot test in the municipality of Patzún, in coordination with the Intel education program.</li> <li>- Training course on technology in the classroom for teachers in Gualán, Patzún and Pachalún, taught by Intel</li> </ul> <p>- Coordination with suppliers of educational solutions and equipment for pilot tests: Intel, HP, Acer, Toshiba, Lenovo, Endless, and Microsoft.</p> <p>- Implementation of pilot tests in Zacapa, Sololá, san Pedro La Laguna, Chimaltenango, Patzún, Aldea Chiquel, Quiché, Pachalún.</p> <p>- Dissemination of the Digital Agenda in other countries: Peru, Mexico, and Costa Rica.</p>						
Next steps	<p>The most immediate steps in terms of fulfilling this commitment are to carry out feasibility studies in the schools where Digital Nation is implemented. This process began with coordination of the Ministry of Education, but said Ministry has stated that it is not within its powers, so the SIT is currently looking for allies. The format with criteria for choosing schools is complete and has been shared with different providers and entities.</p> <p>Progress must also continue to be made in the creation of a Public Policy and Strategic Plan and Budget. This is expected to be ready in 2017.</p> <p>And finally, civil society organizations must participate y a more integrated fashion so that they can help support development of this commitment. Their participation is very low. Actors from the private sector and providers of technological services are also key participants.</p>						
Status of milestones/goals		Start Date	End Date	Not Started	Initiated	Substantial	Full
Goal 1	<p>Implement and monitor a pilot project in Patzún, Chimaltenango.</p> <p><b>Activities:</b> Teacher trainings at Cantón Norte School in Patzún, by Intel Teacher trainings at Pachalún, Patzún by Intel Coordination with the Municipality, Education authorities and local schools</p> <p><b>Means of verification:</b> <a href="https://sit.gob.gt/gob-abierto/docs/meta_1/informe_visita_29_agosto_2016.pdf">https://sit.gob.gt/gob-abierto/docs/meta_1/informe_visita_29_agosto_2016.pdf</a> <a href="https://sit.gob.gt/gob-abierto/docs/meta_1/evaluacion_profesores_eoum_canton_norte.pdf">https://sit.gob.gt/gob-abierto/docs/meta_1/evaluacion_profesores_eoum_canton_norte.pdf</a></p>	Aug-16	Jan-17				
Goal 2	<p>Implement and monitor multidisciplinary technical work groups with participation of stakeholders that strengthen and effectively implement educational work themes.</p> <p><b>Activities:</b> Development of working groups with members of civil society and government entities.</p> <p><b>Means of verification:</b> <a href="https://sit.gob.gt/gob-abierto/docs/meta_2/08_marzo_2017_agenda.pdf">https://sit.gob.gt/gob-abierto/docs/meta_2/08_marzo_2017_agenda.pdf</a> <a href="https://sit.gob.gt/gob-abierto/docs/meta_2/08_marzo_2017_lista_asistencia.pdf">https://sit.gob.gt/gob-abierto/docs/meta_2/08_marzo_2017_lista_asistencia.pdf</a> <a href="https://sit.gob.gt/gob-abierto/docs/meta_2/08_marzo_2017_ayuda_de_memoria_1.pdf">https://sit.gob.gt/gob-abierto/docs/meta_2/08_marzo_2017_ayuda_de_memoria_1.pdf</a> <a href="https://sit.gob.gt/gob-abierto/docs/meta_2/08_de_marzo_2017_invitacion.pdf">https://sit.gob.gt/gob-abierto/docs/meta_2/08_de_marzo_2017_invitacion.pdf</a> <a href="https://sit.gob.gt/gob-abierto/docs/meta_2/02_noviembre_2016_ayuda_de_memoria_02_r_eAP.pdf">https://sit.gob.gt/gob-abierto/docs/meta_2/02_noviembre_2016_ayuda_de_memoria_02_r_eAP.pdf</a> <a href="https://sit.gob.gt/gob-abierto/docs/meta_2/05_diciembre_2016_ayuda_de_memoria__V_1_2.pdf">https://sit.gob.gt/gob-abierto/docs/meta_2/05_diciembre_2016_ayuda_de_memoria__V_1_2.pdf</a> <a href="https://sit.gob.gt/gob-abierto/docs/meta_2/invitacion_02_noviembre_2016.pdf">https://sit.gob.gt/gob-abierto/docs/meta_2/invitacion_02_noviembre_2016.pdf</a> <a href="https://sit.gob.gt/gob-abierto/docs/meta_2/invitacion_5_diciembre.pdf">https://sit.gob.gt/gob-abierto/docs/meta_2/invitacion_5_diciembre.pdf</a></p>	Aug-16	Jun-18				









<p><b>Goal 3</b></p>	<p>Feasibility study about the 20% of schools that will benefit from the program.</p> <p><b>Activities:</b>          Coordination with the Ministry of Education on the feasibility study.          Education on the feasibility study.          Preparation for the implementation round of the feasibility study.          Open call for volunteers for feasibility study.</p> <p><b>Means of verification:</b>  <a href="https://sit.gob.gt/gob-abierto/docs/meta_2/08_marzo_2017_agenda.pdf">https://sit.gob.gt/gob-abierto/docs/meta_2/08_marzo_2017_agenda.pdf</a>  <a href="https://sit.gob.gt/gob-abierto/docs/meta_2/08_marzo_2017_lista_asistencia.pdf">https://sit.gob.gt/gob-abierto/docs/meta_2/08_marzo_2017_lista_asistencia.pdf</a>  <a href="https://sit.gob.gt/gob-abierto/docs/meta_2/08_marzo_2017_ayuda_de_memoria_1.pdf">https://sit.gob.gt/gob-abierto/docs/meta_2/08_marzo_2017_ayuda_de_memoria_1.pdf</a>  <a href="https://sit.gob.gt/gob-abierto/docs/meta_2/08_de_marzo_2017_invitacion.pdf">https://sit.gob.gt/gob-abierto/docs/meta_2/08_de_marzo_2017_invitacion.pdf</a>  <a href="https://sit.gob.gt/gob-abierto/docs/meta_2/02_noviembre_2016_ayuda_de_memoria_02_r_eAP.pdf">https://sit.gob.gt/gob-abierto/docs/meta_2/02_noviembre_2016_ayuda_de_memoria_02_r_eAP.pdf</a>  <a href="https://sit.gob.gt/gob-abierto/docs/meta_2/05_diciembre_2016_ayuda_de_memoria_V_1_2.pdf">https://sit.gob.gt/gob-abierto/docs/meta_2/05_diciembre_2016_ayuda_de_memoria_V_1_2.pdf</a>  <a href="https://sit.gob.gt/gob-abierto/docs/meta_2/invitacion_02_noviembre_2016.pdf">https://sit.gob.gt/gob-abierto/docs/meta_2/invitacion_02_noviembre_2016.pdf</a>  <a href="https://sit.gob.gt/gob-abierto/docs/meta_2/invitacion_5_diciembre.pdf">https://sit.gob.gt/gob-abierto/docs/meta_2/invitacion_5_diciembre.pdf</a></p>	<p>Aug-16</p>	<p>Mar-17</p>				
<p><b>Goal 4</b></p>	<p>Train professors in technological skills.</p> <p><b>Activities:</b>          Training in Pachalún on Technology in the Classroom, taught by Intel and with the participation of teachers from Pachalún, Gualán, San Pedro La Laguna and Patzún.          Implementation of pilot projects in Zacapa schools; Sololá, San Pedro la Laguna; Chimaltenango, Patzún, village Chuiquel; Pachalún, Quiché.</p> <p>Coordination with suppliers of educational solutions and equipment for pilot tests: Intel, HP, Acer, Toshiba, Lenovo, Endless, Microsoft</p> <p><b>Means of verification:</b>  <a href="https://sit.gob.gt/gob-abierto/docs/meta_4/programa_del_curso_secc_sica_intel.pdf">https://sit.gob.gt/gob-abierto/docs/meta_4/programa_del_curso_secc_sica_intel.pdf</a>  <a href="https://sit.gob.gt/gob-abierto/docs/meta_4/proyecto_pachalum_check_list.pdf">https://sit.gob.gt/gob-abierto/docs/meta_4/proyecto_pachalum_check_list.pdf</a>  <a href="https://sit.gob.gt/gob-abierto/docs/meta_4/listado_alumnos_talleres_pachalum.pdf">https://sit.gob.gt/gob-abierto/docs/meta_4/listado_alumnos_talleres_pachalum.pdf</a></p>	<p>Jan-17</p>	<p>Jun-18</p>				
<p><b>Meta 5</b></p>	<p>Implement “Digital Nation” National Broadband Connectivity Plan Phase 1: Education.</p> <p><b>Activities:</b>          Drafting of the Agenda Nación digital document.          Coordination with the Ministry of Education.          Mineduc will implement Technology in the Classroom in 1000 schools nationwide.</p> <p><b>Means of verification:</b>  <a href="https://sit.gob.gt/gob-abierto/docs/meta_5/16_0_nacion_digital_vf.pdf">https://sit.gob.gt/gob-abierto/docs/meta_5/16_0_nacion_digital_vf.pdf</a></p>	<p>Aug-16</p>	<p>Jun-18</p>				
<p>Contact Information</p>							



Lead implementing agency		Superintendence of Telecommunications / Ministry of Communications
Name of responsible person from implementing agency		Coordinator: José Raúl Solares Chiu
Title, Department		Superintendent
Email and Phone		raul.solares@sit.gob.gt / 2321 1000
Other actors involved	Government Ministries, Department/Agency	Agencies of the Executive Branch, CONADI, ANAM and institutions interested in participating
	Civil society, private initiative, multilateral work groups	Civil Society organizations participating in Open Government and other interested stakeholders, Organization of American States (OEA), Telecommunications operators
<b>Additional Information</b>		
The Digital Nation Agenda is a long-term project designed to bridge the digital divide in the country. This project will be established as public policy in coordination with other State entities. Commitment 10 is presented as part of the implementation of the Education axis of said agenda, which is a priority of the government.		

Mid-Term Self-Assessment	
<b>Commitment 11</b> DESIGN AND IMPLEMENTATION OF A PILOT PROGRAM OF IDEATHONS AS MECHANISMS FOR CITIZEN COLLABORATION AND PARTICIPATION AT A MUNICIPAL LEVEL, TO IMPROVE MUNICIPAL SERVICES	
Start and end date: June 30, 2016 - June 30, 2018	
Responsible Party	Coordinator: INFOM Sub coordinator: ANAM
Description of commitment	
What is the problem that the commitment addresses? (Status quo or problem to be solved)	The low citizen participation and responsibility in municipal decision-making.
What is the commitment? (Main goal)	Promote collaborative spaces at a municipal level, as well as generation of open data for development.
How will it help solve the problem? (Ambition)	The involvement and responsibility of citizens through information gathered in ideathons, analyzed and operationalized with indicators and variables for specific proposals for improvement of municipal utilities. This will allow for institutionalization of innovative good practices for creation of spaces for civic participation and co-creation to improve public services for local development.



Why is it relevant to OGP values? (Relevance)	Promote citizen participation as a key element in the partnership for good governance, through a pilot plan of "ideathons" that will strengthen access to public information, citizen participation and innovation for improvement of municipal public services.							
Additional information	Financing for Commitment 11 was procured by the USAID Civic Participation Project, implemented by Counterpart International, and Guatemala.							
Level of compliance	Not started	Initiated	Substantial	Full compliance				
								
Description of results	Municipalities for the ideathon were selected, along with the methodology and the baseline designed to measure the impact of the project. Ideathons were carried out in the municipalities of: San Lucas Sacatepéquez, Villa Nueva, Santa Cruz Naranjo, Jalapa, Cobán, Quetzaltenango and Totonicapán. The process of systematization and validation of information collected in pilot municipalities has begun, in order to design citizen participation mechanisms to improve municipal public services.							
Next steps	Presentation of proposals for improvement of municipal services and evaluation of civic participation strategies, their impact on access to public information and recommendations for continuity of the pilot plan.							
<b>Status of milestones/goals</b>			Start Date	End Date	Not Started	Initiated	Substantial	Full
<b>Goal 1</b>	Select a sample of municipalities to take part in the ideathon pilot plan, based on their levels of citizen participation and access to public information.  <b>Specific activities:</b> Several working group sessions were held to define municipalities using criteria such as citizen participation, political will and municipal ranking.  <b>Means of verification:</b> <a href="http://www.infom.gob.gt/gobiernoabierto/#Participaci">http://www.infom.gob.gt/gobiernoabierto/#Participaci</a>	Sep 2016	Dec 2017					
<b>Goal 2</b>	Design ideathon methodology and project impact measurement baseline.  <b>Activities:</b> Workshops were held for the planning and design of the ideathon methodology and to agree on the baseline for measuring the impact of the project. Guatemala agreed with Counterpart International on processes in the development and execution of ideathon workshops.  <b>Means of verification:</b> <a href="http://www.infom.gob.gt/gobiernoabierto/#Participaci">http://www.infom.gob.gt/gobiernoabierto/#Participaci</a>	Sep 2016	Jan 2017					
<b>Goal 3</b>	Hold "ideathons".  <b>Activities:</b> Once the methodology for ideathon workshops was defined, municipal and personal points of contact worked together with the institutions to carry them out. All have been successfully held except for Jacaltenango, Huehuetenango, where no participants attended because of conflicts between the municipality and the locals. However, an extra ideathon will be held to reach the goal of 8 sample municipalities.  <b>Means of verification:</b> <a href="http://infom.gob.gt/ideatones/">http://infom.gob.gt/ideatones/</a> <a href="http://www.infom.gob.gt/gobiernoabierto/#Participaci">http://www.infom.gob.gt/gobiernoabierto/#Participaci</a>	Feb 2017	Sep 2017					



<b>Goal 4</b>	<p>Systematize and validate information gathered in "ideathons".</p> <p><b>Specific activities:</b> This process has been carried out as the ideathon workshops progressed; moderators were careful to document all information collected. Counterpart International financed the work of persons to systematize and validate the information to help meet the deadline. So far, four reports are available with results of ideathon workshops, and four more will be available when the workshops are finished.</p> <p><b>Means of verification:</b> <a href="http://www.infom.gob.gt/gobiernoabierto">http://www.infom.gob.gt/gobiernoabierto</a> <a href="http://www.infom.gob.gt/ideatones/">http://www.infom.gob.gt/ideatones/</a></p>	Mar 2017	Oct 2017						
<b>Goal 5</b>	Present proposals for improvement to public services in pilot municipalities.	Oct 2017	Feb 2018	<b>On time</b>					
<b>Goal 6</b>	Evaluate strategies for civic participation, strategies for collaborative exercises and impact of access to public information. Make recommendations for continuation of pilot project.	Mar 2018	Jun 2018	<b>On time</b>					
<b>Contact Information</b>									
Lead implementing agency		Coordinator: INFOM Sub coordinator: ANAM							
Name of responsible person from implementing agency		Coordinator: Oscar Suchini Sub coordinator: Edwin Escobar							
Title, Department		Coordinator: General Manager of INFOM Sub coordinator: President of ANAM							
Email and Phone		Coordinator: <a href="mailto:osuchini@infom.gob.gt">osuchini@infom.gob.gt</a> / 2422 6900 Sub coordinator: <a href="mailto:Cecilia.garcia@anam.org.gt">Cecilia.garcia@anam.org.gt</a> / 2324-2424 ext. 120							
Other actors involved	Government Ministries, Department/Agency	Pilot municipalities							
	Civil society, private initiative, work groups and multilaterals	Civil society organizations participating in Open Government and other interested stakeholders							
<b>Additional information</b>									



**Mid-Term Self-Assessment**

**Commitment 12 CREATE AND INSTITUTIONALIZE CITIZEN OPINION MECHANISMS FOR LEGISLATIVE PROPOSALS**

Start and end date: June 30, 2016 - June 30, 2018

Responsible Party	Congress of the Republic
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**Description of Commitment**


What is the problem that the commitment addresses? (Status quo or problem to be solved)	Weakened and discredited Congress of the Republic, lack of legal initiatives and citizen opinion.
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What is the commitment? (Main goal)	Institutionalize mechanisms within Congress that allow for citizen participation, discussion and presentation of opinions about legal initiatives presented.
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How will it help solve the problem? (Ambition)	Institutional strengthening through citizen participation and inclusion in the discussion of legal initiatives.
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Why is it relevant to OGP values? (Relevance)	Strengthen the human right of access to public information through mechanisms that allow for citizens to know legal initiatives prior to their approval in Congress. Provide a space for citizens to express their views, positions and proposals on each issue to promote transparency and accountability.
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Additional information	<p>The Congress of the Republic appointed a work team to fulfill these commitments and to give them continuity. The Manager of the Unit for Free Access to Information leads the team, along with the Social Communication Manager of Congress and a technician from the Public Expenditure and Accountability Unit. The team must attend all meetings of the Open Government Technical Roundtable, find alliances with civil society organizations, and effectively fulfill commitments and goals of the institution.</p> <p>This team is also responsible for the commitments and goals of the Congress of the Republic and the Alliance for an Open Congress in Guatemala –ACAG-, which seeks to open Congress information to the public. The budget of the commitment has not yet been approved. There are currently three persons directly in charge of fulfilling this commitment as well as the team of the Social Communication Department, currently working on feeding social networks and producing videos.</p> <p>The Red Ciudadana team, it must be said, has created the “Citizen Opinion” tool as well as the manual for use and management.</p>
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Level of compliance	Not started	Initiated	Substantial	Full compliance
				



Description of results	<p>After forming a stable work team, internal work meetings were held in June of 2017 and the Point Of Contact convened interested parties to participate in a work meeting to propose four options for fulfilling this commitment. During this meeting, Red Ciudadana invited the group to explore an interactive application they developed for the Ministry of Public Finances, and another meeting was scheduled with the organization that included the tech department of Congress.</p> <p>As a result of these meetings, the team decided to recommend to the President of Congress the installation of the tool on the Congress website and to carry out a social media campaign so that citizens could find out about it.</p> <p>Results have not been as expected, however, we consider that after completing goals 2 and 3 of our plan of action, this tool will be used by advisors and citizens alike.</p>
Next steps	<p>After the corresponding analysis by the Board of Directors of the Congress of the Republic, the Congress and the CSO Red Ciudadana will find a cooperation agreement to strengthen the technological tool and create a manual for its use, to make it known inside and outside of Congress, and to systematize the information gathered and transfer it to the corresponding commission.</p>

Status of milestones/goals		Start Date	End Date	Not Started	Initiated	Substantial	Full
Goal 1	<p>Design mechanisms for citizen participation in legal initiatives.</p> <p><b>Activities:</b>            Three work meetings were held.            1- Internal meeting on June 8, 2017 to establish a roadmap to follow.</p> <p>2- Follow up meeting convened through the Point of Contact, inviting members of the follow up group on June 15 to the CREO Bank headquarters (8th Avenue 10-57 zone 1, Level 3) to present the options and listen to opinions. The result of this meeting was offer by Red Ciudadana to use a tool developed by them that allows for citizen interaction and discussion about proposed bills.</p> <p>3- Work meeting between Red Ciudadana, technicians from the IT Department of the Congress of the Republic and the technical team for Open Government from the same institution, to explore the technical possibilities of installing the tool on the Congress website, to establish the form, logo and other design possibilities. June 29 was established as the date on which it would be ready and functioning.</p> <p>.</p> <p><b>Means of verification:</b>  <a href="https://www.facebook.com/congreso.republica.guatemala/videos/vb.588639177814655/1746967421981819/?type=2&amp;theater">https://www.facebook.com/congreso.republica.guatemala/videos/vb.588639177814655/1746967421981819/?type=2&amp;theater</a>   <a href="http://old.congreso.gob.gt/Docs/comp_4/Memoria%20reuni%C3%B3n%20Plan%20de%20Acci%C3%B3n%20de%20Gobierno%20Abierto%2015%20de%20junio%202017.pdf">http://old.congreso.gob.gt/Docs/comp_4/Memoria%20reuni%C3%B3n%20Plan%20de%20Acci%C3%B3n%20de%20Gobierno%20Abierto%2015%20de%20junio%202017.pdf</a>   <a href="http://old.congreso.gob.gt/Docs/comp_4/Participantes%20reuni%C3%B3n%20compromiso%2012,%2015%20de%20junio%202017.pdf">http://old.congreso.gob.gt/Docs/comp_4/Participantes%20reuni%C3%B3n%20compromiso%2012,%2015%20de%20junio%202017.pdf</a></p>	Apr-17	May-17			●	



<p><b>Goal 2</b></p>	<p>Awareness raising and socialization of citizen participation mechanisms among members of the Legislative branch and citizens in general.</p> <p><b>Activities:</b>  1- The Direction of Social Communication has produced two videos to socialize the tool developed by Red Ciudadana on the Congress webpage and fan page, so that users (congressmen, public officials, entrepreneurs, citizens) can interact and get to know the tool, as well as express their opinions.</p> <p>2- Work meetings have been held with Red Ciudadana, organization that is developing the manual for use of this tool and which will be presented during the month of September of 2017.</p> <p><b>Means of verification:</b>  <a href="https://www.facebook.com/congreso.republica.guatemala/videos/vb.588639177814655/1746967421981819/?type=2&amp;theater">https://www.facebook.com/congreso.republica.guatemala/videos/vb.588639177814655/1746967421981819/?type=2&amp;theater</a></p> <p><a href="https://www.facebook.com/congreso.republica.guatemala/videos/vb.588639177814655/1823800737631820/?type=2&amp;theater">https://www.facebook.com/congreso.republica.guatemala/videos/vb.588639177814655/1823800737631820/?type=2&amp;theater</a></p> <p><a href="http://opinionciudadana.gt/">http://opinionciudadana.gt/</a></p>	<p>May 17</p>	<p>Jun 17</p>				
<p><b>Goal 3</b></p>	<p>Implement mechanisms.</p> <p>This goal has been practically fulfilled, since at the time of the self-assessment the tool designed by Red Ciudadana was working and available to the public, even though an official agreement is yet to be signed between the organization and Congress.</p> <p><b>Activities:</b>  1- The button for the application was designed with Red Ciudadana; colors, content and size were selected to incorporate it into the Congress website.</p> <p>2- The President of the Congress of the Republic, Congressman Oscar Estuardo Chinchilla Guzmán, provided support and made sure the necessary changes were made to the website to accommodate the tool.</p> <p><b>Means of verification:</b>  <a href="http://opinionciudadana.gt/">http://opinionciudadana.gt/</a></p>	<p>Aug 17</p>	<p>Sep 17</p>				
<p><b>Goal 4</b></p>	<p>Institutionalize mechanisms.</p> <p><b>Activities:</b>  1- Due to the unique nature of the Congress of the Republic, and the fact approval was required from the highest authorities of said institution, amendments were made to the Organic Law of the Legislative Body so that citizen participation would be institutionalized. It was established, in the last paragraph of Article 36, that work commissions might hold public hearings as part of the process.</p> <p><b>Means of verification:</b>  <a href="http://www.congreso.gob.gt/manager/images/90665CDF-C1F5-6649-1EC6-B8C06F176B13.pdf">http://www.congreso.gob.gt/manager/images/90665CDF-C1F5-6649-1EC6-B8C06F176B13.pdf</a></p>	<p>Jun 17</p>	<p>Nov 17</p>				
<b>Contact Information</b>							
Lead implementing agency				Congress of the Republic			
Name of responsible person from implementing agency				Lic. Marco Tulio Coronado			





Title, Department		Coordinator of the Unit of Transparency and Auditing of Public Revenues and Expenditures
Email and Phone		sierra.lily@gmail.com/2244-7878
Other actors involved	Government Ministries, Department/Agency	
	Civil society, private initiative, work groups and multilaterals	Civil Society organizations participating in Open Government and other interested stakeholders.
Additional Information		

Mid-Term Self-Assessment				
<b>Commitment 13</b> RAISE PUBLIC AWARENESS OF OPEN GOVERNMENT AS A MECHANISM FOR CITIZEN PARTICIPATION				
Start and end date: June 30, 2016 - June 30, 2018				
Responsible Party		Presidential Secretariat for Social Communication		
Description of Commitment				
What is the problem that the commitment addresses? (Status quo or problem to be solved)		Lack of knowledge on the subject of Open Government and its relationship with citizen participation.		
What is the commitment? (Main goal)		Constantly communicate the importance of Open Government as a mechanism for participation, civic responsibility and social audit.		
How will it help solve the problem? (Ambition)		Increase knowledge on the subject of Open Government through its three fundamental principles of transparency, public participation and collaboration.		
Why is it relevant to OGP values? (Relevance)		Mechanisms for citizen participation promoted through the dissemination of the principles and values of the OGP improve transparency through social audit.		
Additional information		The Presidential Secretariat of Social Communication, although the unit has not specific assignment as an institution for Open Government Initiative, valued the cost of materials designed and printed, audiovisual materials produced and radio air space used in Q575,250.		
Level of compliance	Not started	Initiated	Substantial	Full compliance
		●		
Description of results	The Internal Communication Strategy was developed within the framework of the Open Government Action Plan as a mechanism for citizen participation. The implementation of said strategy is set for the time period between December of 2016 and June of 2018, which includes informative materials for social media, news coverage in the Guatemalan News Agency, media monitoring and mentions in the National Report 3-minute capsule transmitted on public television channels from Monday to Friday. There			





	are plans to carry out training workshops for communicators working in government institutions and other agencies interested in participating in the Open Government Initiative.						
Next steps	When adequate funding is available, visits will be made to departments nationwide to inform citizens about Open Government issues.						
Status of milestones/goals							
		Start Date	End Date	Not Started	Initiated	Substantial	Full
<b>Goal 1</b>	<p>Design strategies for outreach and awareness raising of Open Government topics, including linguistic aspects.</p> <p><b>Specific activities:</b></p> <ul style="list-style-type: none"> <li>• Design of Communication Strategy.</li> <li>• Design of graphic materials for the Open Government Technical Roundtable.</li> <li>• Production of 3-minute informative television spots.</li> </ul> <p><b>Means of verification:</b>  <a href="http://www.scspr.gob.gt/gobierno-abierto/#!">http://www.scspr.gob.gt/gobierno-abierto/#!</a></p>	sep-16	nov-16				
<b>Goal 2</b>	<p>Implement strategies for outreach and awareness regarding Open Government.</p> <p><b>Specific activities:</b></p> <ul style="list-style-type: none"> <li>*Launch of the Open Government Communication Campaign.</li> <li>*Development of an animated video for social media on citizen participation and open government issues.</li> <li>*Development of informative animated pieces for social media on open government.</li> <li>*News coverage on open government events and commitments made.</li> <li>*Mention of open government in National Report capsule, broadcast on national television channels from Monday to Friday.</li> </ul> <p><b>Means of verification:</b>  <a href="http://www.scspr.gob.gt/gobierno-abierto/#!">http://www.scspr.gob.gt/gobierno-abierto/#!</a>  <a href="https://youtu.be/i0Wlu0MCj8E">https://youtu.be/i0Wlu0MCj8E</a>  <a href="https://youtu.be/i8C6WPvGArg">https://youtu.be/i8C6WPvGArg</a>  <a href="https://youtu.be/S-ICcbgJnM0">https://youtu.be/S-ICcbgJnM0</a>  <a href="https://youtu.be/GX4Wgv4a238">https://youtu.be/GX4Wgv4a238</a>  <a href="https://youtu.be/l6VR-ky1lNk">https://youtu.be/l6VR-ky1lNk</a>  <a href="https://youtu.be/4vYDFy1SOy0">https://youtu.be/4vYDFy1SOy0</a></p>	dic-16	jun-18				
Contact Information							
Lead implementing agency		Presidential Secretariat for Social Communication					
Name of responsible person from implementing agency		Lic. José Alfredo Brito					
Title, Department		Social Communication Secretary for the Presidency					
Email and Phone		abrito@scspr.gob.gt / 2514025 – 2339 2502					
Other actors involved	Government Ministries, Department/Agency	Executive, Legislative and Judicial Branches, Presidential Secretariat for Executive Coordination, ANAM, INFOM, Municipalities, PDH, USAC, RENAP and other interested stakeholders.					
	Civil society, private initiative, work groups and multilaterals	Civil society organizations participating in Open Government and other interested stakeholders.					
Additional Information							
The Presidential Secretariat of Social Communication, although the unit has not specific assignment as an institution for Open Government Initiative, valued the cost of materials designed and printed, audiovisual materials produced and radio air space used in Q575,250.							






Mid-Term Self-Assessment

**Commitment 14** ACCOUNTABILITY MECHANISMS IN LOCAL GOVERNMENT

Start and end date: June 30, 2016 - June 30, 2018

Responsible Party	Comptroller General's Office			
Description of Commitment				
What is the problem that the commitment addresses? (Status quo or problem to be solved)	Inadequate accountability by local governments.			
What is the commitment? (Main goal)	Implement standardized tools and procedures to improve accountability of local governments and promote transparency in municipal management of public resources.			
How will it help solve the problem? (Ambition)	Strengthen accountability of local governments using standardized tools that enhance transparency in municipal management and citizen participation in monitoring results. Strengthen processes for social auditing to benefit citizens.			
Why is it relevant to OGP values? (Relevance)	There will be a mechanism in place for the implementation of tools that will facilitate how municipal authorities provide information on the use of public funds, how they present the results achieved and report unfulfilled goals in their work plans. This information must be submitted to both the Comptroller General's Office and citizens, to bring local authorities closer to communities.			
Additional information	This commitment is linked to the Zero Tolerance to Corruption and State Modernization of the General Government Policy 2016-2020. It is also directly related to ODS No. 16, which focuses on the promotion of peaceful and inclusive societies for sustainable development, access to justice for all and responsible and effective institutions at all levels.			
Level of compliance	Not started	Initiated	Substantial	Full compliance
			●	



Description of results	<p>For compliance with Goal 1, the 340 municipalities of the country were convened and workshops were held at a regional level, with participation of mayors and directors of financial areas. These can be consulted here: <a href="http://www.contraloria.gob.gt/gobierno%20abierto%20compromiso%2014.html">http://www.contraloria.gob.gt/gobierno%20abierto%20compromiso%2014.html</a>, with complete workshop formats, programs, attendance lists, letters of agreement, photographs and videos.</p> <p>For compliance with Goal 2, the USAID Civic Participation Project implemented by Counterpart International provided financing for hiring CIEN. This organization wrote a report (See: <a href="http://www.contraloria.gob.gt/imagenes/gobierno%20abierto/META%202%20Informe%20de%20caracterizacion.docx">http://www.contraloria.gob.gt/imagenes/gobierno%20abierto/META%202%20Informe%20de%20caracterizacion.docx</a>) which includes a draft for a practical standardized tool guide for accountability in local governments, and this guide is currently being validated in a pilot program throughout the country.</p>						
Next steps	After the pilot plan is completed, four workshops will be held to gather feedback from municipalities around the country, to finish preparing the validated practical standardized tool guide for accountability in local governments and to proceed to its massive implementation.						
Status of milestones/goals		Start Date	End Date	Not Started	Initiated	Substantial	Full
<b>Goal 1</b>	<p>Sign agreements with local governments that decide to participate in this commitment.</p> <p><b>Specific activities:</b> Letters of understanding have been signed with 201 municipalities, 62% of the total. The expectation was to sign 40%, and this number has been surpassed.</p> <p><b>Means of verification:</b></p> <p><a href="https://goo.gl/JtrDgf">https://goo.gl/JtrDgf</a>  <a href="http://www.contraloria.gob.gt/gobierno%20abierto_primer%20taller.html">http://www.contraloria.gob.gt/gobierno%20abierto_primer%20taller.html</a>  <a href="http://www.contraloria.gob.gt/gobierno%20abierto_segundo%20taller.html">http://www.contraloria.gob.gt/gobierno%20abierto_segundo%20taller.html</a>  <a href="http://www.contraloria.gob.gt/gobierno%20abierto_tercer%20taller.html">http://www.contraloria.gob.gt/gobierno%20abierto_tercer%20taller.html</a>  <a href="http://www.contraloria.gob.gt/gobierno%20abierto_cuarto%20taller.html">http://www.contraloria.gob.gt/gobierno%20abierto_cuarto%20taller.html</a></p>	September 16	Jun-18				
<b>Goal 2</b>	<p>Design mechanisms and procedures to improve accountability of local governments.</p> <p><b>Specific activities:</b> Design and validation through a pilot program in 4 municipalities is currently in progress. There are delays in this goal, due to the lengthy process to procure financing from the USAID Civic Participation Project, in order to secure collaboration from the National Economic Research Center (CIEN) in the design and validation of the methodology.</p> <p><b>Means of verification:</b></p> <p><a href="http://www.contraloria.gob.gt/imagenes/gobierno%20abierto/META%202%20Informe%20de%20caracterizacion.docx">http://www.contraloria.gob.gt/imagenes/gobierno%20abierto/META%202%20Informe%20de%20caracterizacion.docx</a></p> <p><a href="https://goo.gl/RDBo5s">https://goo.gl/RDBo5s</a></p> <p><a href="http://www.contraloria.gob.gt/gobierno%20abierto%20talleres%20de%20retroalimentacion%20guia.html">http://www.contraloria.gob.gt/gobierno%20abierto%20talleres%20de%20retroalimentacion%20guia.html</a></p>	September 16	Jun-17				
<b>Goal 3</b>	<p>Implement mechanisms and procedures to improve accountability in local governments.</p> <p><b>Specific activities:</b> It was informed during the Open Government Technical Roundtable that the schedule placed at the start of this goal was mistakenly January 2017, however, implementation cannot begin until goal 2 is completed. CIEN presented a draft of an Implementation Strategy, currently being reviewed by the CGO. The request to finance the design and implementation of a computer system that will allow for massive implementation in all municipalities has been presented to possible funders, and is awaiting their response.</p>	September 17	jun-18				



<b>Means of verification:</b> <a href="http://www.contraloria.gob.gt/imagenes/gobierno%20abierto/AVANCES%20META%203%20COMPROMISO%2014%20AL%2028-09-17.docx">http://www.contraloria.gob.gt/imagenes/gobierno%20abierto/AVANCES%20META%203%20COMPROMISO%2014%20AL%2028-09-17.docx</a>					
Contact Information					
Lead implementing agency		Comptroller General's Office			
Name of responsible person from implementing agency		LIC. CARLOS ENRIQUE MENCOS MORALES			
Title, Department		General Comptroller			
Email and Phone		allima@contraloria.gob.gt / 24178700			
Other actors involved	Government Ministries, Department/Agency	Municipalities of the country, INFOM, ANAM, MINFIN/DAAFIM			
	Civil society, associations, private initiative, work groups and multilaterals	Acción Ciudadana, Civil Society Organizations participating in Open Government and other interested stakeholders, international cooperation agencies.			
Additional Information					
<p>This commitment is directly linked to what is established in the Organic Law of the Comptroller General of Accounts, Decree No. 31-2002, particularly in Article 3, letter c), that establishes that the Comptroller General's Office must promote and monitor the sense of responsibility in public servants so that they can render accounts publicly and regularly on the management of goods and services and the qualitative and quantitative results obtained from their administration.</p> <p>Likewise, the Municipal Code, article 17, letter g) establishes that the municipal government must regularly inform of results of policies and municipal plans, and to render accounts.</p> <p>The Comptroller's Office has had the close collaboration of Acción Ciudadana and the National Economic Research Center (CIEN) for the fulfillment of this commitment, as well as the USAID Civic Participation Project.</p>					

Mid-Term Self-Assessment	
<b>Commitment 15</b> PROMOTE TRANSPARENCY IN ACTIONS TOWARDS DISASTER RISK REDUCTION	
Start and end date: June 30, 2016 - June 30, 2018	
Responsible Party	National Coordinator for Disaster Reduction (CONRED)
Description of Commitment	
What is the problem that the commitment addresses? (Status quo or problem to be solved)	Unawareness among the Guatemalan population about the actions and resources necessary for the integrated management for disaster risk reduction.
What is the commitment? (Main goal)	Accountability for management and execution of resources used in disaster risk reduction (prevention, mitigation, response, reconstruction with transformation, and recovery).
How will it help solve the problem? (Ambition)	Provide timely and accessible information to citizens. This will allow for more transparent actions involving resources for disaster risk reduction.



Why is it relevant to OGP values? (Relevance)	Improve accountability through the implementation and application of mechanisms such as open formats, statistics and indicators that promote transparency in the allocation and use for a comprehensive management for disaster risk reduction. Open spaces for social auditing, assess the participation of civil society organizations and encourage citizen participation.								
Additional information	There is an essential humanitarian standard in terms of quality and accountability, to establish commitments in order to improve the quality and effectiveness of assistance provided. It is important to note that there is a link between goal 6 and the Essential Humanitarian Standard (NHE) number 5, which states: "Communities and people affected by humanitarian crises have access to safe and agile mechanisms to manage complaints". The criterion of quality is "the complaints are well received and managed".								
Level of compliance	Not started	Initiated		Substantial	Full compliance				
				●					
Description of results	Goals 1-5: The SIGES program report 94 was published on the webpage in open data format. The Protocol for request of humanitarian aid was also published, along with the certification of actualization and approval by the National Council and lists of protocols delivered to mayors. The report prepared by the Logistics Department was published. The report prepared by the Response Direction was published. Invitations sent out to Civil Society Organizations were also published, along with meeting reports, agendas, attendance lists and minutes. A free phone number has been enabled and published on the website.								
Next steps	In order to fulfill Goal 6, Acción Ciudadana has been approached to design a mechanism to work together on following up on complaints and denunciations. On September 19, a workshop on Open Government will be held for 49 employees of Conred, to discuss Open Government issues and the institutional commitments acquired in the National Open Government Action Plan, In addition, the monthly publication of the amount of resources and humanitarian aid delivered by event will continue to be published in accessible formats, open data and PDF. This in addition to the publication of statistical reports, analysis and indicators on the institutional webpage in accessible formats, open data and PDF, and the recording of calls attended during emergencies and the meetings that took place during the month.								
<b>Status of milestones/goals</b>				Start Date	End Date	Not Started	Initiated	Substantial	Full
<b>Goal 1</b>	<p>Openly inform on CONRED's budgetary allocation and resources for responses to emergencies or disasters according to Declarations of Public Calamity.</p> <p><b>Specific activities:</b> The financial direction will generate a budget report in SIGES –Program 94- and a report of the resources destined to attend to emergencies after a State of Public Calamity. The financial directors will transfer the SIGES report to the planning directors. Planning directors publish the report on the institutional website in open format and PDF.</p> <p><b>Means of verification:</b> <a href="http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/NOTAFINANCIERODG-7-2016.pdf">http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/NOTAFINANCIERODG-7-2016.pdf</a> <a href="http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/NOTAFINANCIERODG-1-2017.pdf">http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/NOTAFINANCIERODG-1-2017.pdf</a></p>			Aug-16	jun-18			●	
<b>Goal 2</b>	Update and raise awareness of the protocol for request and delivery of humanitarian aid.			Aug-16	jun-17				●



	<p><b>Specific activities:</b> Request the updated document, approved by the National Council for Disaster Reduction, from the Response Direction. Meet with ANAM to coordinate protocol socialization with mayors. Socialize protocol with mayors through workshops. Publish lists of mayors who were trained and received the protocol.</p> <p><b>Means of verification:</b> <a href="http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/PROTOCOLO_DE_SOLICITUD_OFICIAL_DE_RECURSOS_CONRED.pdf">http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/PROTOCOLO_DE_SOLICITUD_OFICIAL_DE_RECURSOS_CONRED.pdf</a> <a href="http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/ProtocoloDeSolicitudPrimeraEntrega.pdf">http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/ProtocoloDeSolicitudPrimeraEntrega.pdf</a> <a href="http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/ProtocoloDeSolicitudSegundaEntrega.pdf">http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/ProtocoloDeSolicitudSegundaEntrega.pdf</a> <a href="http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/ProtocoloDeSolicitudTerceraEntrega.pdf">http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/ProtocoloDeSolicitudTerceraEntrega.pdf</a> <a href="http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/ProtocoloDeSolicitudCuartaEntrega.pdf">http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/ProtocoloDeSolicitudCuartaEntrega.pdf</a></p>						
<p><b>Goal 3</b></p>	<p>Publish reports on where resources and humanitarian aid were allocated, as well as amounts, in open data and accessible formats.</p> <p><b>Specific activities:</b> Request a monthly report from the Logistics Office, detailing the amount of resources and humanitarian aid delivered for each event. Publish data on the institutional website, detailing amount of resources and humanitarian aid delivered in accessible formats, open data and PDF files.</p> <p><b>Means of verification:</b> <a href="http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/RECURSOS_ENVIADOS_AGOSTO_2016.pdf">http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/RECURSOS_ENVIADOS_AGOSTO_2016.pdf</a> <a href="http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/RECURSOS_ENVIADOS_SEPTIEMBRE_2016.pdf">http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/RECURSOS_ENVIADOS_SEPTIEMBRE_2016.pdf</a> <a href="http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/RECURSOS_ENVIADOS_OCTUBRE_2016.pdf">http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/RECURSOS_ENVIADOS_OCTUBRE_2016.pdf</a> <a href="http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/RECURSOS_ENVIADOS_NOVIEMBRE_2016.pdf">http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/RECURSOS_ENVIADOS_NOVIEMBRE_2016.pdf</a> <a href="http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/RECURSOS_ENVIADOS_DICIEMBRE_2016.pdf">http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/RECURSOS_ENVIADOS_DICIEMBRE_2016.pdf</a> <a href="http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/RECURSOS_ENVIADOS_JA_HAR_2017.pdf">http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/RECURSOS_ENVIADOS_JA_HAR_2017.pdf</a> <a href="https://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/RECURSOS_ENVIADOS_FEBRERO_2017.pdf">https://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/RECURSOS_ENVIADOS_FEBRERO_2017.pdf</a> <a href="http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/RECURSOS_ENVIADOS_MARZO_2017.pdf">http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/RECURSOS_ENVIADOS_MARZO_2017.pdf</a> <a href="http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/RECURSOS_ENVIADOS_ABRIL_2017.pdf">http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/RECURSOS_ENVIADOS_ABRIL_2017.pdf</a> <a href="http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/RECURSOS_ENVIADOS_MAYO_2017.pdf">http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/RECURSOS_ENVIADOS_MAYO_2017.pdf</a> <a href="https://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/RECURSOS_ENVIADOS_JUNIO_2017.pdf">https://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/RECURSOS_ENVIADOS_JUNIO_2017.pdf</a> <a href="https://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/RECURSOS_ENVIADOS_JULIO_2017.pdf">https://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/RECURSOS_ENVIADOS_JULIO_2017.pdf</a></p>	<p>sep-16</p>	<p>jun-18</p>		<p>●</p>		



<p><b>Goal 4</b></p>	<p>Publish statistics, analyses and indicators for emergencies reported, in open data and accessible formats.</p> <p><b>Specific activities:</b> Request a report from the Response Direction that contains statistics, analysis and indicators for emergencies reported on a bimonthly basis. Publish statistics, analysis and indicators on the institutional website in accessible and open data formats.</p> <p><b>Means of verification:</b> <a href="http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/ESTADISTICAS_JULIO-AGOSTO_2016.pdf">http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/ESTADISTICAS_JULIO-AGOSTO_2016.pdf</a></p> <p><a href="http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/ESTADISTICAS_SEPTOCT_2016.pdf">http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/ESTADISTICAS_SEPTOCT_2016.pdf</a></p> <p><a href="http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/ESTADISTICAS_NOVDIC_2016.pdf">http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/ESTADISTICAS_NOVDIC_2016.pdf</a></p> <p><a href="http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/ESTADISTICAS_ENEFEB_2017.pdf">http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/ESTADISTICAS_ENEFEB_2017.pdf</a></p> <p><a href="http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/ESTADISTICAS_MARABR_2017.pdf">http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/ESTADISTICAS_MARABR_2017.pdf</a></p> <p><a href="https://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/ESTADISTICAS_MAYJUN_2017.pdf">https://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/ESTADISTICAS_MAYJUN_2017.pdf</a></p>	<p>sep-16</p>	<p>jun-18</p>				
<p><b>Goal 5</b></p>	<p>Provide opportunities for participation, under OGP values and principles, to civil society organizations, to promote social auditing.</p> <p><b>Specific activities:</b> The Coordination Direction will send out an invitation to civil society organizations to participate in dialogues and roundtables, work meetings or other activities. The list of meetings that took place during the month will be published on the website, and will include conclusions reached after each meeting and information transferred to the direction in charge of meetings. Means of verification for each meeting will be collected, such as agendas, attendance lists and minutes, and published on the website.</p> <p><b>Means of verification:</b> <a href="http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/MATRIZ_Octubre2016.pdf">http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/MATRIZ_Octubre2016.pdf</a></p> <p><a href="http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/MATRIZ_Noviembre2016.pdf">http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/MATRIZ_Noviembre2016.pdf</a></p> <p><a href="http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/MATRIZ_Diciembre2016.pdf">http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/MATRIZ_Diciembre2016.pdf</a></p> <p><a href="http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/MATRIZ_Ja_har2017.pdf">http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/MATRIZ_Ja_har2017.pdf</a></p> <p><a href="http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/MATRIZ_Febrero2017.pdf">http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/MATRIZ_Febrero2017.pdf</a></p> <p><a href="http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/MATRIZ_Marzo2017.pdf">http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/MATRIZ_Marzo2017.pdf</a></p> <p><a href="http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/MATRIZ_Abril2017.pdf">http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/MATRIZ_Abril2017.pdf</a></p> <p><a href="http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/MATRIZ_Mayo2017.pdf">http://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/MATRIZ_Mayo2017.pdf</a></p>	<p>sep-16</p>	<p>jun-18</p>				





	<a href="https://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/MATRIZ_Junio2017.pdf">https://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/MATRIZ_Junio2017.pdf</a>  <a href="https://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/MATRIZ_Julio2017.pdf">https://conred.gob.gt/site/informacion_publica/gobierno_abierto20162018/MATRIZ_Julio2017.pdf</a>						
<b>Goal 6</b>	<p>Generate a secure mechanism for persons affected by emergencies and disasters to make complaints and reports through a toll-free number. Enable a website for the organization responsible for this commitment with a tool for recording citizen complaints.</p> <p><b>Specific activities:</b> Create a tool for registering complaints and denunciations received. Publish the telephone number on the institutional website that can be used in case of emergencies, so that citizens can call in and complain while an emergency is taking place.</p> <p><b>Means of verification:</b> <a href="http://conredapp.conred.org.gt:8888/APLICACIONES/Quejas.nsf/Queja?OpenForm">http://conredapp.conred.org.gt:8888/APLICACIONES/Quejas.nsf/Queja?OpenForm</a></p>	sep-16	jun-18				●
<b>Contact information</b>							
Lead implementing agency		National Coordinator for Disaster Reduction (CONRED)					
Name of responsible person from implementing agency		Lic. Sergio García Cabañas					
Title, Department		Executive Secretary for the National Coordinator for Disaster Reduction					
Email and Phone		scabanas@conred.org.gt / 2324 0800 ext. 1002					
Other actors involved	Government Ministries, Department/Agency	ANAM, INFOM, PDH, institutions that form the National Coordinator for Disaster Reduction (CONRED)					
	Civil society, private initiative, work groups, multilaterals	Civil society organizations participating in Open Government and other interested stakeholders.					
<b>Additional Information</b>							
<p>It should be noted that Goal 2, "Update and raise awareness of the protocol for request and delivery of humanitarian aid", was fulfilled through the inter institutional support of the Comptroller General of Accounts that granted a space during the Regional Workshops carried out for compliance with Commitment 14. There was an opportunity granted during these workshops to share the Protocol for the Application and Delivery of Humanitarian Aid. IMPORTANT: If any of the means of verification asks for a password, write "consulta" in both boxes.</p>							

<b>Mid-Term Self-Assessment</b>
<b>Commitment 16</b> ACTIONS TOWARDS AN OPEN AND PARTICIPATORY BUDGETING PROCESS
Start and end date: June 30, 2016 - June 30, 2018






Responsible Party	<ol style="list-style-type: none"> <li>Coordinator: Ministry of Public Finances (Gabinete para Presupuesto Abierto)</li> <li>Presidential Planning and Programming Secretariat (SEGEPLAN)</li> <li>General Comptroller's Office (CGC)</li> <li>Congress of the Republic of Guatemala</li> </ol>									
Description of commitment										
What is the problem that the commitment addresses? (Status quo or problem to be solved)	Spaces for citizen participation are currently limited during the budgeting process. This prevents citizens from collaborating, discussing and providing feedback on program details and general budget priorities.									
What is the commitment? (Main goal)	Citizen participation will be promoted through open budget forums and other key stages of the process, in order to contribute to fiscal transparency.									
How will it help solve the problem? (Ambition)	It is intended that the civil society and the general public know and provide recommendations on relevant issues during the budgeting process through mechanisms suggested by international standards, particularly those contained in the Global Initiative for Fiscal Transparency (GIFT).									
Why is it relevant to OGP values? (Relevance)	The public exercise of open budget forums, the public presentation of a proposal for investment, and the presentation of the audit report for the previous year's budget provide the population with access to public information, foster participation and feedback on key budgeting aspects. In that sense, this commitment contributes to strengthening the values of an open government with fiscal transparency, accountability, citizen participation and access to public information.									
Additional information	<p>Most of the commitments in the Third National Open Government Action Plan are related to the K'atun National Development Plan Guatemala 2032, and with the overall government policy.</p> <p>Commitment 16 is consistent with the "Sustainable fiscal policy that promotes economic growth" of the National Development Plan, and with the "Zero tolerance to corruption and modernization of the State" section of the General Government Policy, since it seeks to achieve transparency and combat corruption in all stages of the budget cycle.</p>									
Level of compliance	Not started		Initiated		Substantial	Full compliance 				
Description of results	Actions taken were related to budget formulation, both for 2016 and 2017. Open Budget Workshops were held to involve civil society organizations and take into account their suggestions and recommendations to improve budget distribution and financing of institutional programs. These forums and presentations were transmitted online, and citizens were given an opportunity to comment on the event. Online surveys were also conducted. Workshops for the 2017 budget were also held with participation of civil society organizations to discuss Open Government and Transparency issues to include in the budget. There was direct and proactive participation of various civil society organizations in both events.									
Next steps	The Final Report by the DPT is pending. The inclusion of Transparency and Open Government issues in the 2018 budget has been discussed with civil society organizations in the Open Data Users Committee, but no comments have yet been received.									
Status of milestones/goals					Start Date	End Date	Not Started	Initiated	Substantial	Full
<b>Goal 1</b>	The Ministry of Public Finances will conduct open budget forums (which include revenue estimates) during the budget formulation process, and issue legal provisions to institutionalize the process.				Mzo.17	Jul.17				
	<b>Specific activities:</b>									



	<p>Open Budget 2018 Workshops were conducted from May 22 to June 8, with participation of civil society organizations that made recommendations. The forums were transmitted online and there were comments made by citizens nationwide. More than 70 public institutions participated, and 31 presented their 2018 budget pre-projects. The following workshops were also held: Macroeconomic and Fiscal Scenarios; Tax Risks; Geographical List of Works; and Contributions to NGOs.</p> <p><b>Means of verification:</b>  <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/BoletaNo1.docx">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/BoletaNo1.docx</a>  <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/BoletaNo2.docx">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/BoletaNo2.docx</a>  <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/ayuda_memoria_evaluacion_ppto_abierto.docx">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/ayuda_memoria_evaluacion_ppto_abierto.docx</a>  <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/oficiocircularevaluaciondelejericio.pdf">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/oficiocircularevaluaciondelejericio.pdf</a>  <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/BoletaNo2.pdf">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/BoletaNo2.pdf</a>  <a href="http://www.minfin.gob.gt/images/downloads/presupuesto_formulacion/2018-22/metodologia.pdh">http://www.minfin.gob.gt/images/downloads/presupuesto_formulacion/2018-22/metodologia.pdh</a>  <a href="http://www.minfin.gob.gt/index.php/formulacion-presupuestaria">http://www.minfin.gob.gt/index.php/formulacion-presupuestaria</a>  <a href="http://presupuestoabierto.gt/">http://presupuestoabierto.gt/</a>  <a href="https://twitter.com/MinFinGT">https://twitter.com/MinFinGT</a>  <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/agenda.zip">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/agenda.zip</a>  <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/ResumenParticipanteTali.pdf">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/ResumenParticipanteTali.pdf</a>  <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/acuerdoministeria194-2017.pdf">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/acuerdoministeria194-2017.pdf</a>  <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/notatecnicaevaluacionpa2017.doc">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/notatecnicaevaluacionpa2017.doc</a>  <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/resumen_de_sistematizacion_pa20.docx">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/resumen_de_sistematizacion_pa20.docx</a>  <a href="http://www.minfin.gob.gt/index.php?option=com_content&amp;view=article&amp;id=3553&amp;Itemid=430">http://www.minfin.gob.gt/index.php?option=com_content&amp;view=article&amp;id=3553&amp;Itemid=430</a>  <a href="http://www.minfin.gob.gt/index.php?option=com_content&amp;view=article&amp;id=3509&amp;Itemid=430">http://www.minfin.gob.gt/index.php?option=com_content&amp;view=article&amp;id=3509&amp;Itemid=430</a>  <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/2-resumen_de_sistematizacion_pa20.docx">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/2-resumen_de_sistematizacion_pa20.docx</a></p>					
<b>Goal 2</b>	<p>The budget bill will include standards for institutions to adopt Open Government principles and mechanisms, thus promoting transparency, accountability and citizen participation in governance.</p> <p><b>Specific activities:</b>  A proposal for standards and norms for the 2018 Budget was presented to Civil Society Organizations during the Committee on Open Data Users for their consideration. No comments were received.</p> <p><b>Means of verification:</b>  <a href="http://www.minfin.gob.gt/images/archivos/preprobado2017/decreto%2050_2016.pdf">http://www.minfin.gob.gt/images/archivos/preprobado2017/decreto%2050_2016.pdf</a>  <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/proyecto_decreto_presupuesto_2018.pdf">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/proyecto_decreto_presupuesto_2018.pdf</a></p> <p><b>Observations:</b>  They were included in the draft bill for the 2018 Budget in the Fiscal Transparency Rules section, Chapter III, articles 17 to 26.</p>	Nov.16	Jul.17			
<b>Goal 3</b>	<p>The National Council for Urban and Rural Development (CONADUR) will make a public presentation on their proposed investments for the next fiscal year.</p> <p><b>Specific activities:</b></p>	Mzo.17	Jul.17			



	<p><b>Means of verification:</b>  <a href="http://www.segeplan.gob.gt/nportal/index.php/estrategia-de-socializacion-de-gobierno-abierto-propuesta-de-inversion-2818">http://www.segeplan.gob.gt/nportal/index.php/estrategia-de-socializacion-de-gobierno-abierto-propuesta-de-inversion-2818</a>  <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/prueba.pdf">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/prueba.pdf</a>  <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/conadura_pro.pdf">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/conadura_pro.pdf</a>  <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/conadurreunion.jpg">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/conadurreunion.jpg</a>  <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/img4429.jpg">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/img4429.jpg</a>  <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/img4439.jpg">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/img4439.jpg</a></p> <p><b>Observations:</b>  The investment proposal for 2018 was announced at the CONADUR meeting in September.  <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/conadur_punto_sexto_acta_4_2017.pdf">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/conadur_punto_sexto_acta_4_2017.pdf</a>  <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/FOTO1.JPG">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/FOTO1.JPG</a>  <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/FOTO2.JPG">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/FOTO2.JPG</a>  <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/Foto3.jpg">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/Foto3.jpg</a>  <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/agenda_4_a_reunion_ordinaria.doc">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/agenda_4_a_reunion_ordinaria.doc</a></p>						
<p><b>Goal 4</b></p>	<p>Civil society will participate and provide inputs during budget discussions by participating in technical work groups.</p> <p><b>Specific activities:</b></p> <p>The Finance and Currency Commission of Congress, chaired by Jairo Flores, promoted the signing of a letter of cooperation between the Legislative Body, the Comptroller General of Accounts and Acción Ciudadana, for greater transparency and participation to the different sectors of society during discussion of the draft General Budget of Revenues and Expenditures for 2017.  <a href="http://www.congreso.gob.gt/noticias.php?id=8011">http://www.congreso.gob.gt/noticias.php?id=8011</a></p> <p>A schedule of public hearings was established to discuss the draft General Budget of Revenues and Expenditures of the National for next year, which will begin on October 3 and end on October 24.  <a href="http://www.congreso.gob.gt/noticias.php?id=8068">http://www.congreso.gob.gt/noticias.php?id=8068</a></p> <p>Social and economic research institutions requested the members of the Public Finance Commission in Congress not to approve the budget ceiling requested by the Executive Branch.  <a href="http://www.congreso.gob.gt/noticias.php?id=8076">http://www.congreso.gob.gt/noticias.php?id=8076</a></p> <p>In the eighth public hearing held by the Finance and Currency Committee of the Congress of the Republic, non-governmental organizations explained why they request state funding.  <a href="http://www.congreso.gob.gt/noticias.php?id=8129">http://www.congreso.gob.gt/noticias.php?id=8129</a></p> <p>The president of the Commission of Indigenous Peoples, Marcos Yax, of the National Convergence Front (FCN-Nación) accompanied the hearings on Monday and expressed concern about “the little space they are given in the government and the few funds allocated to the operation of said entities”.  <a href="http://www.congreso.gob.gt/noticias.php?id=8146">http://www.congreso.gob.gt/noticias.php?id=8146</a></p> <p>The Public Finance and Currency Commission of the Congress of the Republic, in a working session with the Comptroller General of Accounts (CGC) and Acción Ciudadana (AC) finalized the public hearings with different</p>	<p>Sept. 2016</p>	<p>Nov.16</p>				



	<p>governmental and civil institutions to analyze the suggested budget ceilings for the year 2017.  <a href="http://www.congreso.gob.gt/noticias.php?id=8188">http://www.congreso.gob.gt/noticias.php?id=8188</a></p> <p><b>Means of verification:</b>  <a href="http://www.congreso.gob.gt/noticias.php?id=8011">http://www.congreso.gob.gt/noticias.php?id=8011</a>  <a href="http://www.congreso.gob.gt/noticias.php?id=8068">http://www.congreso.gob.gt/noticias.php?id=8068</a>  <a href="http://www.congreso.gob.gt/noticias.php?id=8076">http://www.congreso.gob.gt/noticias.php?id=8076</a>  <a href="http://www.congreso.gob.gt/noticias.php?id=8129">http://www.congreso.gob.gt/noticias.php?id=8129</a>  <a href="http://www.congreso.gob.gt/noticias.php?id=8146">http://www.congreso.gob.gt/noticias.php?id=8146</a>  <a href="http://www.congreso.gob.gt/noticias.php?id=8188">http://www.congreso.gob.gt/noticias.php?id=8188</a></p> <p><b>Observations:</b>  Civil society requests that the same procedure be used in the discussion and approval of the 2018 budget.</p>						
<b>Goal 5</b>	<p>The Comptroller General's Office will publish results of audit report for the previous year, under Open Government principles.</p> <p><b>Specific activities:</b></p> <p><b>Means of verification.</b>  <a href="http://cgc.contraloria.gob.gt/Portal/informe.jsp#/">http://cgc.contraloria.gob.gt/Portal/informe.jsp#/</a></p> <p><b>Observations:</b>  The Comptroller General of Accounts considered this goal fulfilled when the report became known in a press conference and was placed online at the disposal of all citizens on the website. Subsequently, civil society organizations that participate in the Open Government Technical Committee made recommendations to improve access to the specific reports of each audited entity, which were addressed and substantially improved.</p>	Jun 2017	Jun.17				●
<b>Contact Information</b>							
Lead implementing agency	<p>5. <b>Coordinator: Ministry of Public Finances (Gabinete para Presupuesto Abierto)</b></p> <p>6. Presidential Planning and Programming Secretariat (SEGEPLAN)</p> <p>7. General Comptroller's Office (CGC)</p> <p>8. Congress of the Republic of Guatemala</p>						
Name of responsible person from implementing agency	<p>1. Coordinator: Víctor Martínez</p> <p>2. Miguel Ángel Moir</p> <p>3. Carlos Mencos</p> <p>4. Jairo Flores</p>						
Title, Department	<p>1. Coordinator: Vice Minister of Financial Administration</p> <p>2. Presidential Secretary for Planning and Programming</p> <p>3. General Comptroller of Accounts</p> <p>4. President of the Public Finance and Currency Commission</p>						
Email and Phone	<p>1. Coordinator: <a href="mailto:vmartinez@minfin.gob.gt">vmartinez@minfin.gob.gt</a> / Coordinator: 23228888</p> <p>2. <a href="mailto:miguel.moir@segeplan.gob.gt">miguel.moir@segeplan.gob.gt</a> / 25044444</p> <p>3. <a href="mailto:allima@contraloria.gob.gt">allima@contraloria.gob.gt</a> / 24178700</p> <p>4. <a href="mailto:jflores@congreso.gob.gt">jflores@congreso.gob.gt</a> / 22447878</p>						
Other actors involved	Government Ministries, Department/Agency	State ministries, Presidential Executive Coordination Secretariat (SCEP), National Council for Urban and Rural Development (CONADUR), Superintendence of Tax Administration (SAT) and other public institutions.					
	Civil society, private initiative, work groups, multilaterals	Civil society organizations participating in Open Government and other interested stakeholders.					
<b>Additional Information</b>							







It is estimated that in this commitment the principles of Open Government –transparency, participation and collaboration– have been fulfilled. Through the Open Budget workshops, the process of budget formulation has become transparent, civil society actively participated in each of the scheduled events and collaborated with suggestions and recommendations for improving processes. A civil society organization, Red Ciudadana, even collaborated with the Minfin in the development of the IT platform to transmit the workshops online.

Mid-Term Self-Assessment				
<b>Commitment 17</b> ACTIONS TOWARDS COMPLIANCE WITH THE INTERNATIONAL MONETARY FUND (IMF) FISCAL TRANSPARENCY CODE AND MANUAL				
Start and end date: June 30, 2016 - June 30, 2018				
Responsible Party	Ministry of Public Finances (MINFIN)			
Description of Commitment				
What is the problem that the commitment addresses? (Status quo or problem to be solved)	Within the framework of fiscal transparency and disclosure of information on public finances, there are still some recommendations from the International Monetary Fund that are pending compliance. International standards contained in the new code and manual on Fiscal Transparency must also continue to be met.			
What is the commitment? (Main goal)	To progress in meeting the standards of the IMF Code and Manual on Fiscal Transparency, so that citizens are aware of the state of public finances.			
How will it help solve the problem? (Ambition)	To progress in the implementation of budgeting methodology and guidelines in compliance with international standards contained in the International Monetary Fund's Code and Manual of Fiscal Transparency.			
Why is it relevant to OGP values? (Relevance)	Adopting recommendations of the International Monetary Fund, as well as the rules of its Code and Manual on Fiscal Transparency, will help good practices in transparency, citizen participation, accountability and access to public information in Guatemala.			
Additional information	<p>Providing comprehensive information on the budget and gradually implementing the recommendations made by the IMF in its Fiscal Transparency Assessment report will contribute to promoting transparency, since the processes are open to the scrutiny of civil society organizations with experts in tax matters that can make suggestions and recommendations.</p> <p>The Open and Transparent Government is a presidential goal, and Minfin also adopted it; its high impact projects are constantly monitored. These actions contribute directly to the "Zero tolerance to corruption and modernization of the state" axis of the General Government Policy.</p>			
	Not started	Initiated	Substantial	Full compliance








	<p>countries that collaborate substantially and moving two places up in the global ranking.</p> <p><a href="http://www.minfin.gob.gt/images/downloads/leyes_acuerdos/decreto_9_c050617.PDF">http://www.minfin.gob.gt/images/downloads/leyes_acuerdos/decreto_9_c050617.PDF</a></p>						
<b>Goal 2</b>	<p>Include a section on Fiscal Risk in each year's General Budget of Revenues and Expenditures, in response to the recommendations of the International Monetary Fund and the Fiscal Transparency Assessment recently carried out in the country.</p> <p><b>Specific activities:</b> On August 10 of 2017, a workshop was held with civil society to listen to proposals on the analysis of fiscal risks that will be included in the 2018 budget proposal.</p> <p><b>Means of verification.</b> <a href="http://www.minfin.gob.gt/images/archivos/presua2017/documentos/08-30-2016%20Riesgos%20Fiscales.pdf">http://www.minfin.gob.gt/images/archivos/presua2017/documentos/08-30-2016%20Riesgos%20Fiscales.pdf</a></p> <p><a href="http://www.minfin.gob.gt/images/archivos/proypres2018/index.html">http://www.minfin.gob.gt/images/archivos/proypres2018/index.html</a></p> <p><a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/17/separata_de_riesgos.pdf">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/17/separata_de_riesgos.pdf</a></p> <p><b>Observations:</b> Although the goal was reached in 2016, an extended reprint with more in-depth analysis on the topic of Tax Risks is expected to be included in the 2018 budget project.</p>	Aug 16	Sep 16				
<b>Goal 3</b>	<p>To promote, disseminate and implement mechanisms that ensure the correct use of guidelines prepared by the Ministry of Public Finances to comply with Articles 4, 17 and 17 of Decree 101-97 of the Congress of the Republic Budget Law, establishing obligations that specifically promote accountability.</p> <p><b>Specific activities:</b> A letter was sent out in January 2017 requesting institutions to send in the information established by said legal precepts.</p> <p><b>Means of verification.</b> <a href="http://www.minfin.gob.gt/images/downloads/leyes_presupuesto/presupuesto_circulares/2017/oficio_circular_1dtp.pdf">http://www.minfin.gob.gt/images/downloads/leyes_presupuesto/presupuesto_circulares/2017/oficio_circular_1dtp.pdf</a></p> <p><b>Observations:</b> Pending only the preparation of a report by the DTP, to verify the institutions that have not yet complied with the regulations.</p>	Sept.16	Jun.18				
<b>Goal 4</b>	<p>To carry out a study/diagnosis about legal, technical or technological challenges to the consolidation of an account for the non-financial public sector.</p> <p><b>Specific activities:</b> This is the same work team that consolidates the Non-Financial Public Sector. A Work Plan is currently being drawn up, and it will be discussed with civil society organizations.</p> <p><b>Means of verification.</b> <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/17/Equipos_Técnicos_para_Consolidación_SPF.pdf">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/17/Equipos_Técnicos_para_Consolidación_SPF.pdf</a></p> <p><b>Observations:</b> A visit from IMF is planned for September, for technical assistance in the drafting of the diagnosis.</p>	Dec.16	Jun.18				
<b>Goal 5</b>	<p>To consolidate the non-financial public sector (consolidate interagency transfers in expenditure groups 4, current transfers and 5 capital transfers.</p>	Sept.16	Mar.17				



	<p><b>Specific activities:</b> This goal was fulfilled on time and 100%. A consolidation matrix of the NFPS was published in a timely manner for expenditure groups 4 and 5.</p> <p><b>Means of verification.</b> <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/17/Equipos_Tecnico_para_Consolidacion_SPF.pdf">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/17/Equipos Técnico para Consolidación SPF.pdf</a></p> <p><a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/17/Plan_de_Trabajo_para_la_Consolidacion_SPNF.docx">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/17/Plan de Trabajo para la Consolidación SPNF.docx</a></p> <p><a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/17/ayuda_de_memoria_(matriz_de_transaccion).docx">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/17/ayuda de memoria (matriz de transaccion).docx</a></p> <p><a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/17/ayuda_de_memoria(matriz_de_SPNF).docx">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/17/ayuda de memoria(matriz de SPNF).docx</a></p> <p><b>Observations:</b> The same working group is in charge of continuing the consolidation process of the SPNF.</p>						
<b>Goal 6</b>	<p>To consolidate a non-financial public sector at the sectorial level (consolidate interagency transfers and other operations that were moved by legal mandate).</p> <p><b>Specific activities:</b> The consolidation process is continued by the same working group and should be completed by December 2017.</p> <p><b>Means of verification.</b></p> <p><a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/17/Equipos_Tecnico_para_Consolidacion_SPF.pdf">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/17/Equipos Técnico para Consolidación SPF.pdf</a></p> <p><a href="http://www.minfin.gob.gt/images/downloads/leyes_acuerdos/17_4_1a.pdf">http://www.minfin.gob.gt/images/downloads/leyes_acuerdos/17_4_1a.pdf</a></p>	Dec 2016	dec2017				
<b>Goal 7</b>	<p>The following actions are proposed for the Agenda on Fiscal Transparency:</p> <p><b>Specific activities:</b> The work team is developing a project of Reforms to the Internal Organic Regulation of the Minfin, to institutionalize the fiscal transparency agenda.</p> <p><b>Means of verification:</b> <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/17/grupo%20de%20trabajo%20c-17%20agenda%20transparencia.docx">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/17/grupo%20de%20trabajo%20c-17%20agenda%20transparencia.docx</a></p> <p><a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/17/ayuda%20memoria%20propuesta%20proyecto%20ROI.pdf">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/17/ayuda%20memoria%20propuesta%20proyecto%20ROI.pdf</a></p> <p><a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/17/grupo_de_trabajo_c-17_agenda_transparencia.docx">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/17/grupo de trabajo c-17 agenda transparencia.docx</a></p> <p><a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/17/ayuda_a_memoria_propuesta_proyecto_ROI.pdf">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/17/ayuda a memoria propuesta proyecto ROI.pdf</a></p>	Sept. 2016	Jun 2018				
<b>Sub Goal 1</b>	<p>To form a technical roundtable to propose institutionalizing the MINFIN Fiscal Transparency Agenda.</p> <p><b>Specific activities:</b></p>	Sept. 2016	Sept. 2016				





	<p>The work team was formed by the Directors of Planning and Institutional Development, Legal Advisory and Fiscal Evaluation to monitor the fiscal transparency agenda.</p> <p><b>Means of verification.</b>  <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/17/grupo%20de%20trabajo%20c-17%20agenda%20transparencia.docx">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/17/grupo%20de%20trabajo%20c-17%20agenda%20transparencia.docx</a></p>						
<b>Sub Goal 2</b>	<p>To discuss draft reform for the Organic Regulation of Procedures (ROI) for the Ministry of Public Finances.</p> <p><b>Specific activities:</b>  A Reform to the ROI of the Minfin is being drafted, to create the Direction of Fiscal Transparency and implement actions derived from the Amendments to the State Contracting Law (Decrees 9-2015 and 46-2016).</p> <p><b>Means of verification.</b>  <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/17/ayuda%20a%20memoria%20propuesta%20proyecto%20ROI.pdf">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/17/ayuda a memoria propuesta proyecto ROI.pdf</a></p> <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/19/proyecto_guatecompras.pdf">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/19/proyecto_guatecompras.pdf</a>	Sept. 16	Oct. 16				
<b>Sub Goal 3</b>	<p>A Government Agreement that creates a new Organic Regulation of Procedure (ROI) for the Ministry of Public Finances.</p> <p><b>Specific activities:</b>  When the Third National Open Government Action plan was formulated, there was great optimism when the Governmental Agreement was issued, but actions derived from the reforms to the State Contracting Law have delayed their issuance.</p> <p><b>Means of verification.</b>  Pending until the publication of the corresponding Governmental Agreement in the Diario De Centro América.</p>	Nov.16	Jun.17				
<b>Sub Goal 4</b>	<p>To implement reform under the new Organic Regulation of Procedures (ROI) for the Ministry of Public Finances.</p> <p><b>Specific activities:</b>  The issuance and publication of the aforementioned Government Agreement is necessary, although several actions have already been planned, for example the hiring of personnel for the Fiscal Transparency Directorate, the definition of its functions, the design and restructuring of the General Directorate of form of state Procurement, and the technological platform for the directorate, among others.</p> <p><b>Means of verification.</b>  Pending until the start of implementation of actions, after the publication of the aforementioned Governmental Agreement.</p>	Nov.16	Jun.18				
<b>Contact Information</b>							
Lead implementing agency		Ministry of Public Finances (MINFIN)					
Name of responsible person from implementing agency		<ol style="list-style-type: none"> <li>1. Coordinator: Víctor Martínez</li> <li>2. Kildare Enríquez DTP</li> <li>3. Clara Luz Hernández DCE</li> <li>4. Rosa María Ortega DCP</li> <li>5. Wendy Beltetón Castellanos TN</li> <li>6. Carlos A. Mendoza DEF</li> <li>7. Juan Sebastián Blass DAPF</li> <li>8. Luis Albizurez DTI</li> </ol>					




Title, Department		<ol style="list-style-type: none"> <li>1. Coordinator: Vice Minister of Financial Administration</li> <li>2. Technical Budget Manager</li> <li>3. State Accounting Manager</li> <li>4. Public Credit Manager</li> <li>5. National Treasurer</li> <li>6. Director of Tax Assessment</li> <li>7. Director of Analysis and Fiscal Policy</li> <li>8. Director of Information Technologies</li> </ol>
Email and Phone		<ol style="list-style-type: none"> <li>1. Coordinator: vmartinez@minfin.gob.gt / 23228888</li> <li>2. dtp@minfin.gob.gt; / 23228888</li> <li>3. chernan@minfin.gob.gt; / 23228888</li> <li>4. rortega@minfin.gob.gt; / 23228888</li> <li>5. wbelteton@minfin.gob.gt; / 23228888</li> <li>6. camendoza@minfin.gob.gt; / 23228888</li> <li>7. gblas@minfin.gob.gt; / 23228888</li> <li>8. lalbizurez@minfin.gob.gt/ 23228888</li> </ol>
Other actors involved	Government Ministries, Department/Agency	General Secretariat of the Presidency
	Civil society, private initiative, work groups, multilaterals	International Monetary Fund (IMF), civil society organizations participating in Open Government and other interested stakeholders.
<b>Additional Information</b>		
<p>The reform to the Internal Organic Regulation of the Minfin has also been considered as part of this commitment, in order to institutionalize the topic of Open Government and Fiscal Transparency, through the creation of a Vice Ministry of Transparency and Fiscal Evaluation and a Directorate of Fiscal Transparency. This process began with a rapid development to meet the deadline, but the Governmental Agreement will be used to include the changes contained in the two recent Amendments to the State Contracting Law (Decrees 9-2015 and 46-2016), which has delayed compliance a bit.</p>		

Mid-Term Self-Assessment	
<b>Commitment 18</b> ACTIONS AIMED AT IMPROVING THE AVAILABILITY AND QUALITY OF BUDGET INFORMATION	
Start and end date: June 30, 2016 - June 30, 2018	
Responsible Party	Ministry of Public Finances (MINFIN)
Description of Commitment	
What is the problem that the commitment addresses? (Status quo or problem to be solved)	Late publication of some of the budget documents related to the Open Budget Index, difficulty finding effective search methods for online budget information searches.
What is the commitment? (Main goal)	Improve the quality, content and timely publication of the 8 documents or budget reports as recommended by international best practices. To restructure the fiscal transparency website and improve subsystems of the Integrated Finance Administration System (SIAF)



How will it help solve the problem? (Ambition)	Citizens in general will have timely information on budget issues, allowing them to increase their knowledge about the scope and limitations of public finance and strengthen their capacities for conducting social audit. On the other hand, improving budget information may increase Guatemala's score on the Open Budget Index.									
Why is it relevant to OGP values? (Relevance)	The improvement in quality, content and timely publication of budget documents will result in a better country evaluation on the Open Budget Index. Consequently, budgetary information will be available for the public on the website and the Fiscal Transparency Portal of the Ministry of Public Finances. Therefore, this commitment helps promote good practices on fiscal transparency, accountability and citizen participation.									
Additional information	<p>The improvement of quality budget information and making it available for consultation in different Minfin websites contributes to promoting transparency. The opportunity is provided for civil society to participate by commenting or making suggestions about the use and benefits of the information that is consulted. It becomes a social audit process. In addition, the Minfin obtains feedback that helps improve processes and publish information that users usually consult.</p> <p>The improvement in the rating and ranking of the Open Budget Index (OBI) is also favored. The culture of publishing all information in open data formats is acquired, and the Fiscal Transparency Portal and the Minfin website are reformed.</p>									
Level of compliance	Not started		Initiated		Substantial		Full compliance			
					●					
Description of results	A concrete result is the issuance of Ministerial Agreement No. 194-2017, that regulates and institutionalizes the following aspects: a) dependencies responsible for the issuance and publication of the 8 documents that serve as the basis for rating the Open Budget Index; b) instructions to dependencies that all information published in the Minfin website must be in open data formats; c) the Open Budget process at the budget formulation stage.									
Next steps	A work plan for operation of the technical committee must be drafted, as well as guides, formats and instructions on the minimum content allowed and dates of publication for each of the 8 documents evaluated by the Open Budget Index. Workshops will be held with civil society organizations to discuss the work plan and timeline for reforms to the Minfin Fiscal Transparency portal. We will continue to hold monthly meetings with the Open Data Users committee that is currently serving as a focus group to discuss issues of mutual interest.									
<b>Status of milestones/goals</b>					Start Date	End Date	Not Started	Initiated	Substantial	Full
<b>Goal 1</b>	<p>To establish the Open Data Users Roundtable for the Ministry of Public Finances.</p> <p><b>Specific activities:</b> This Roundtable had a specific purpose (open data issues), but it has also been used to address issues of mutual interest (to Minfin and civil society organizations), so as not to form too many working groups. Monthly meetings are held to discuss topics that interest both the Minfin and the civil society, with good results that contribute directly to transparency, participation and collaboration.</p> <p><b>Means of verification.</b> <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/Organizaciones_sociedad_civil-Comité_Usuarios_MINFIN_27-feb.pdf">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/Organizaciones_sociedad_civil-Comité_Usuarios_MINFIN_27-feb.pdf</a>  <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/AM-Reunión%20Viernes-27-Ma-2017%20Comité%20Usuarios(Soc%20Civil%20y%20MINFIN).docx">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/AM-Reunión%20Viernes-27-Ma-2017%20Comité%20Usuarios(Soc%20Civil%20y%20MINFIN).docx</a></p>				Oct.16	Jun.18			●	



	<a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/RC-Metodologia%20Apertura%20de%20Datos%20-%20PLDA.pptx">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/RC-Metodologia%20Apertura%20de%20Datos%20-%20PLDA.pptx</a> <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/DTI-Datos%20Abiertos.pptx">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/DTI-Datos%20Abiertos.pptx</a> <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/DTI-Datos%20Abiertos.pptx">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/DTI-Datos%20Abiertos.pptx</a> <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/Asistencia%20reunion%20viernes%203%20marzo.pdf">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/Asistencia%20reunion%20viernes%203%20marzo.pdf</a> <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/AM_reunion_viernes_3_marzo.docx">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/AM_reunion_viernes_3_marzo.docx</a> <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/asistencia_reunionviernes6abril.docx">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/asistencia_reunionviernes6abril.docx</a> <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/asistencia_reunionviernes6abril.pdf">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/asistencia_reunionviernes6abril.pdf</a> <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/acuerdoministeria194-2017.pdf">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/acuerdoministeria194-2017.pdf</a>						
<b>Goal 2</b>	The following actions are proposed for the Fiscal Transparency Portal:	Aug.16	Jun.18				
<b>Sub Goal 1</b>	<p>Establish a working group to review the Fiscal Transparency website.</p> <p><b>Specific activities:</b> This working group is made up of representatives of the Direction of Social Communication (DCS), the Direction of Information Technology (DTI) and the Direction of Fiscal Evaluation (DEF).</p> <p><b>Means of verification.</b> <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/Grupo_de_trabajo_C-18_meta_2_Portal_Transparencia_Fiscal.docx">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/Grupo de trabajo C-18 meta 2 Portal Transparencia Fiscal.docx</a></p>	Aug.16	Sept.16				
<b>Sub Goal 2</b>	<p>Prepare the action plan to redesign the Fiscal Transparency website.</p> <p><b>Specific activities:</b> This work team prepared an action plan and a schedule of activities so that the New Fiscal Transparency Portal of the Ministry of Finance can be launched within the June 2018 deadline, with helpful information for users, attractive graphic aspects, friendly and easy to understand navigational features for users.</p> <p><b>Means of verification.</b> <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/agenda%20%20OCTUBRE%2020146.docx">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/agenda%20%20OCTUBRE%2020146.docx</a>  <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/agenda%20%20noviembre%20%202016.docx">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/agenda%20%20noviembre%20%202016.docx</a>  <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/agenda%20%20diciembre%202016.docx">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/agenda%20%20diciembre%202016.docx</a>  <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/ayuda%20memoria%20tema%20Transparencia%20Fiscal%2010%20de%20octubre%202016.docx">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/ayuda%20memoria%20tema%20Transparencia%20Fiscal%2010%20de%20octubre%202016.docx</a>  <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/ayuda%20memoria%20tema%20Transparencia%20Fiscal%20%20noviembre%202016.docx">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/ayuda%20memoria%20tema%20Transparencia%20Fiscal%20%20noviembre%202016.docx</a>  <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/ayuda%20">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/ayuda%20</a></p>	Sept.16	Oct.16				





	<p>Omemorria%20tema%20Transparencia%20%20%20diciembre%202016.docx</p> <p><a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/Asistencia%20octubre,%20noviembre%20y%20diciembre%202016%20Rdiseño%20TF.pdf">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/Asistencia%20octubre,%20noviembre%20y%20diciembre%202016%20Rdiseño%20TF.pdf</a></p> <p><a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/Portal%20de%20transparencia.docx">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/Portal%20de%20transparencia.docx</a></p> <p><a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/Ventanas%20sitio%20TF.pdf">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/Ventanas%20sitio%20TF.pdf</a></p> <p><a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/ayuda%20memoria%20tema%20transparencia%20fiscal%2021.docx">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/ayuda%20memoria%20tema%20transparencia%20fiscal%2021.docx</a></p> <p><a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/ayuda%20memoria%20tema%20transparencia%20fiscal%2027.docx">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/ayuda%20memoria%20tema%20transparencia%20fiscal%2027.docx</a></p> <p><a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/asistencia_reunion_viernes_12_mayo_2017.pdf">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/asistencia_reunion_viernes_12_mayo_2017.pdf</a></p> <p><a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/am_reunion_viernes_12_mayo_2017.doc">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/am_reunion_viernes_12_mayo_2017.doc</a></p> <p><a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/aistencia_reunion_viernes_14_julio_2017.pdf">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/aistencia_reunion_viernes_14_julio_2017.pdf</a></p> <p><a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/am_reunion_viernes_14_julio_2017.docx">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/am_reunion_viernes_14_julio_2017.docx</a></p> <p><a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/asistencia_reunion_viernes_11_agosto_2017.pdf">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/asistencia_reunion_viernes_11_agosto_2017.pdf</a></p> <p><a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/am_reunion_viernes_11_agosto_2017.docx">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/am_reunion_viernes_11_agosto_2017.docx</a></p>							
<b>Sub Goal 3</b>	<p>Implement the plan and validate with users of the Fiscal Transparency website.</p> <p><b>Specific activities:</b> Pending discussion of this work plan, and its consideration by civil society organizations. During the Open Data Users Roundtable, feedback will be obtained on the design, layout and presentation of the website, as well as the quality, form and content of the information and data made available to the users.</p> <p><b>Means of verification:</b> A consultation with civil society is planned for September of 2017 at the meeting of the Open Data Users Committee.</p>	Nov.16	Jun.18					
<b>Sub Goal 4</b>	<p>Publish and adjust information on the Fiscal Transparency website in response to public feedback.</p> <p><b>Specific activities:</b> Launch of the new Fiscal Transparency Portal of the Ministry of Finance, based on the content and form defined by the Minfin and Civil Society Organizations.</p> <p><b>Means of verification:</b> The means of verification will be the link to the portal itself, operational in June of 2018.</p>	Nov.16	Jun.18					



<p><b>Goal 3</b></p>	<p>Create an open space for participation and dialogue with civil society stakeholders, where they can be informed of MINFIN plans to improve the Financial Management Integrated System (SIAF) and provide feedback on its various components (SICOIN, SIGES, Guatenóminas, etc.)</p> <p><b>Specific activities:</b> The start implementation for this goal has lagged slightly. Sources of financing initially considered were external loans (SIAF Stage IVV with the World Bank). The decision was finally made to use internal resources to finance this goal, under the tutelage of the commission. The process for hiring staff for this goal is currently in progress.</p> <p><b>Means of verification.</b> <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/nota_tecnica_proyecto_SIAF_IV.docx">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/nota_tecnica_proyecto_SIAF_IV.docx</a></p>	Feb.17	Jun.18		●		
<p><b>Goal 4</b></p>	<p>The following actions are proposed for the key documents considered in the Open Budget Index:</p>	Oct.16	Jun.18		●		
<p><b>Sub Goal 1</b></p>	<p>Form a review team for budget documents.</p> <p><b>Specific activities:</b> This review team includes members of the departments directly responsible for preparation and publication of the 8 key budget documents: Technical Budget Direction (DTP), Direction of Analysis and Fiscal Policy (DAPF), State Accounting Direction (DCE), and Fiscal Evaluation Direction (DEF), as well as the Direction of Information Technology (DTI) and the Social Communication Direction (DCS).</p> <p><b>Means of verification.</b> <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/acuerdo_ministeria194-2017.pdf">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/acuerdo_ministeria194-2017.pdf</a> (see article 3).</p>	Oct.16	Oct.16			●	
<p><b>Sub Goal 2</b></p>	<p>Form user focus groups to gather inputs on how to improve budget documents.</p> <p><b>Specific activities:</b> The members of the Open Data Users Roundtable will be the focus group.</p> <p><b>Means of verification.</b> <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/Organizaciones_sociedad_civil-Comité_Usuarios_MINFIN_27-feb.pdf">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/Organizaciones_sociedad_civil-Comité_Usuarios_MINFIN_27-feb.pdf</a></p>	Oct.16	Dec.16			●	
<p><b>Sub Goal 3</b></p>	<p>Define guidelines and implement changes to budget documents.</p> <p><b>Specific activities:</b> The manuals and instructions for the content of documents to be published will be prepared by the Technical Roundtable and will be subject to analysis and discussion with civil society organizations that take part in the Open Data Users Roundtable of the Minfin.</p> <p><b>Means of verification.</b> <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/Organizaciones_sociedad_civil-Comité_Usuarios_MINFIN_27-feb.pdf">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/18/Organizaciones_sociedad_civil-Comité_Usuarios_MINFIN_27-feb.pdf</a></p>	Oct.16	Dec.16		●		
<p><b>Sub Goal 4</b></p>	<p>Timely publication, as set in timetable, of the 8 documents taken into consideration by the Open Budget Index: 1) Preliminary document, 2) Budget Proposal for the Executive Branch, 3) Approved budget, 4) Citizen Budget, 5) Reports delivered during the year, 6) Midyear report, 7) Year-end report, 8) Audit report.</p> <p><b>Specific activities:</b> The Approved Citizen Budget for 2017 was published according to the timeline, as well as the 2017 Approved Budget, the Monthly Execution Reports and the Preliminary Report for 2018.</p> <p><b>Means of verification.</b></p>	Jan.17	Jun.18		●		



	<p>Citizen Budget 2017  <a href="http://www.minfin.gob.gt/index.php?option=com_content&amp;view=article&amp;id=3201&amp;Itemid=430">http://www.minfin.gob.gt/index.php?option=com_content&amp;view=article&amp;id=3201&amp;Itemid=430</a></p> <p>Approved Citizen Budget 2017  <a href="http://www.minfin.gob.gt/index.php?option=com_content&amp;view=article&amp;id=3398&amp;Itemid=430">http://www.minfin.gob.gt/index.php?option=com_content&amp;view=article&amp;id=3398&amp;Itemid=430</a></p> <p><a href="http://www.minfin.gob.gt/index.php?option=com_content&amp;view=article&amp;id=3584&amp;Itemid=209">http://www.minfin.gob.gt/index.php?option=com_content&amp;view=article&amp;id=3584&amp;Itemid=209</a></p> <p><a href="http://www.minfin.gob.gt/index.php/2015-07-23-19-29-15">http://www.minfin.gob.gt/index.php/2015-07-23-19-29-15</a></p> <p><a href="http://www.minfin.gob.gt/images/archivos/proypres2018/index.html">http://www.minfin.gob.gt/images/archivos/proypres2018/index.html</a></p> <p><b>Observations:</b>  The rest of the documents will be published according to the dates established in the international standards. The next documents to be published are the Citizen Budget for 2018 and the Half Year Report for 2017.</p>						
<b>Sub Goal 5</b>	<p>Issuance of legal provision that institutionalizes the issue and timely publication of the 8 base documents for the Open Budget Index.</p> <p><b>Specific activities:</b>  This sub-goal was met with the issuance of Ministerial Agreement No. 194-2017</p> <p><b>Means of verification.</b>  <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/acuerdoministeria194-2017.pdf">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/16/acuerdoministeria194-2017.pdf</a></p>	Aug.16	Jan.17				
<b>Goal 5</b>	<p>Publication and dissemination of reports to monitor implementation stages for loans and grants (subscription, execution, stakeholders, implementation progress and project completion).</p> <p><b>Specific activities:</b>  Monthly reports on budget execution of external loans are published by the Minfin, as are external donations by Segeplan.</p> <p><b>Means of verification.</b>  <a href="http://dcp-web.minfin.gob.gt/Sie_Abrir_Archivo.aspx?file=C:\\Publicaciones\\Sistemas\\DCP-WEB\\Documentos\\Ejecucion_Prestamos\\1.1.%20Ejecucion%20prestamos%20DIC%202016.pdf">http://dcp-web.minfin.gob.gt/Sie_Abrir_Archivo.aspx?file=C:\\Publicaciones\\Sistemas\\DCP-WEB\\Documentos\\Ejecucion_Prestamos\\1.1.%20Ejecucion%20prestamos%20DIC%202016.pdf</a></p> <p><a href="http://www.minfin.gob.gt/index.php?option=com_content&amp;view=article&amp;id=2948&amp;Itemid=430x">http://www.minfin.gob.gt/index.php?option=com_content&amp;view=article&amp;id=2948&amp;Itemid=430x</a></p> <p><a href="http://www.segeplan.gob.gt/nportal/index.php/gobierno-abierto">http://www.segeplan.gob.gt/nportal/index.php/gobierno-abierto</a></p> <p><b>Observations:</b>  Future reports must be published in open data formats.</p>	Sept.16	Jun.18				
<b>Contact Information</b>							
Lead implementing agency	Ministry of Public Finances (MINFIN)						
Name of responsible person from implementing agency	<ol style="list-style-type: none"> <li>1. Coordinator: Víctor Martínez</li> <li>2. Carlos A. Mendoza, DEF</li> <li>3. Kildare Enríquez, DTP</li> <li>4. Clara Luz Hernández, DCE</li> <li>5. Liza Alvarado DCS</li> <li>6. Juan Blas DAPP</li> <li>7. Edgar Aníbal Hernández, SIAF</li> </ol>						





Title, Department		<ol style="list-style-type: none"> <li>1. Coordinator: Vice Minister of Financial Administration</li> <li>2. Director of Tax Assessment</li> <li>3. Technical Budget Manager</li> <li>4. State Accounting Manager</li> <li>5. Director of Social Communication</li> <li>6. Director of Analysis and Fiscal Policy</li> <li>7. Consultant on Fiscal Administration System</li> </ol>
Email and Phone		<ol style="list-style-type: none"> <li>1. Coordinator: vmartinez@minfin.gob.gt</li> <li>2. camendoza@minfin.gob.gt;</li> <li>3. dtp@minfin.gob.gt;</li> <li>4. chernan@minfin.gob.gt;</li> <li>5. lalvarado@minfin.gob.gt,</li> <li>6. gblas@minfin.gob.gt</li> <li>7. ehernandez@minfin.gob.gt</li> </ol> All: 23228888
Other actors involved	Government Ministries, Department/Agency	Presidential Planning and Programming Secretariat (SEGEPLAN)
	Civil society, private initiative, work groups, multilaterals	Civil society organizations participating in Open Government and other interested stakeholders
<b>Additional Information</b>		
<p>The goals and sub-goals of this commitment help to achieve the pillars of Open Government: Transparency is achieved with the timely publication of information on budget execution and the evaluation of key documents by the Open Budget Index; Participation of civil society organizations is achieved through the Open Data Users Roundtable and focus groups; Collaboration of civil society is achieved not only through comments and suggestions, but also through the joint development of various actions with the Minfin (for example, a cooperation agreement signed between the Minfin and Red Ciudadana for the publication of budget information).</p>		

Mid-Term Self-Assessment	
<b>Commitment 19</b> ACTIONS AIMED AT MOVING TOWARDS OPEN HIRING PRACTICES	
Start and end date: June 30, 2016 - June 30, 2018	
Responsible Party	Ministry of Public Finances (MINFIN)
Description of Commitment	
What is the problem that the commitment addresses? (Status quo or problem to be solved)	Limitations to advancing towards an open contracting practices persist, since there are no standardized documents that establish uniform formats for different executing agencies of government to follow the same criteria and strengthen institutions in this area.
What is the commitment? (Main goal)	Implement the provisions and procedures updated in Guatecompras, according to reforms to the Law on Government Procurement, and assess the appropriateness of adopting the Open Data for Open Procurement standard, ensuring transparency and accountability.





<p>How will it help solve the problem? (Ambition)</p>	<p>To implement the reforms made to the Law on Government Procurement in relation to the standardization of government procurement bases and application of electronic reverse auctions in order to streamline public spending.</p> <p>A fully operational General Registry of State Procurement will allow for proper government control of suppliers and allow for competition. Knowing technical, financial and other hiring requirements will allow for a greater openness towards a civil society that aims to monitor the registration and access to public information.</p> <p>At the same time, Guatemala will assess the appropriateness of publishing data according to the Open Data Standard for Open Procurement, widely implemented strategy that allows for greater transparency and involvement of the civil society, while saving public resources.</p>							
<p>Why is it relevant to OGP values? (Relevance)</p>	<p>The transparency in the public procurement system, and the implementation of the General Registry of State Procurement by the Ministry of Public Finances, contributes to better information on suppliers and contractors: their experience, technical and financial capacities can be investigated in advance to establish their eligibility to be hired. Such information contributes to fiscal transparency, accountability and citizen participation.</p>							
<p>Additional information</p>	<p>The changes that are being implemented are mainly due to the last two reforms to the State Contracting Law (Decrees 9-2015 and 46-2016), which establish specific deadlines for each of the actions to be implemented. The company DAI, hired by USAID, developed a diagnosis of the current situation in the Guatecompras system and prepared a Work Plan for implementation of the necessary changes to the system.</p>							
<p>Level of compliance</p>	<p>Not started</p>	<p>Initiated</p>	<p>Substantial</p>	<p>Full compliance</p>				
<p>Description of results</p>	<p>The issue of the Reverse Electronic Auction was concluded, and progress has been made in Open Contracting issues.</p>							
<p>Next steps</p>	<p>It will be necessary to develop each of the stages of the Diagnosis and Work Plan prepared by DAI with USAID funding, to reform the Guatecompras system .</p>							
<p>Status of milestones/goals</p>			<p>Start Date</p>	<p>End Date</p>	<p>Not Started</p>	<p>Initiated</p>	<p>Substantial</p>	<p>Full</p>
<p><b>Goal 1</b></p>	<p>New provisions and procedures used in Guatecompras, according to the Law on Government Procurement, will be updated, guaranteeing transparency and accountability in the process.</p> <p><b>Specific activities:</b> DAI developed a diagnosis and work plan to implement reforms to the Guatecompras system.</p> <p><b>Means of verification.</b> <a href="http://www.minfin.gob.gt/images/downloads/leyes_acuerdos/decreto46_2016.pdf">http://www.minfin.gob.gt/images/downloads/leyes_acuerdos/decreto46_2016.pdf</a> <a href="http://www.minfin.gob.gt/images/downloads/leyes_acuerdos/decreto9_081215.pdf">http://www.minfin.gob.gt/images/downloads/leyes_acuerdos/decreto9_081215.pdf</a> <a href="http://www.minfin.gob.gt/images/downloads/leyes_acuerdos/19_4.pdf">http://www.minfin.gob.gt/images/downloads/leyes_acuerdos/19_4.pdf</a></p>		<p>Aug.16</p>	<p>Jun.18</p>				
<p><b>Goal 2</b></p>	<p>Standardization of formats for government procurement, each according to type of purchase, type of good or service.</p> <p><b>Specific activities:</b></p>		<p>Aug.16</p>	<p>Jun.18</p>				



	<p>Still working on developing standardized formats by purchase method.</p> <p><b>Means of verification.</b>  <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/19/proyecto_gua_tecompras.pdf">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/19/proyecto_gua_tecompras.pdf</a></p>						
<b>Goal 3</b>	<p>Design and implementation of electronic reverse auction modules.</p> <p><b>Specific activities:</b>  This process has already been completed and purchases have been made under this modality, with significant savings to the State.</p> <p><b>Means of verification.</b>  <a href="http://www.guatecompras.gt/concursos/consultaConAvanz.aspx">http://www.guatecompras.gt/concursos/consultaConAvanz.aspx</a>   <a href="http://www.minfin.gob.gt/index.php/comunicados/comunicados-2016/3105-70-minfin-implementa-primera-subasta-electronica-inversa?highlight=WyJzdWJhc3RhlwW52ZXJzYSIsInN1YmFzdGEgaW52ZlJzYSJd">http://www.minfin.gob.gt/index.php/comunicados/comunicados-2016/3105-70-minfin-implementa-primera-subasta-electronica-inversa?highlight=WyJzdWJhc3RhlwW52ZXJzYSIsInN1YmFzdGEgaW52ZlJzYSJd</a>   <a href="http://www.guatecompras.gt/concursos/consultaDetalleCon.aspx?nog=5290163&amp;o=4">http://www.guatecompras.gt/concursos/consultaDetalleCon.aspx?nog=5290163&amp;o=4</a></p>	Aug.16	Jun.18				
<b>Goal 4</b>	<p>Design, creation and implementation of the electronic platform for the General Registry of State Procurement.</p> <p><b>Specific activities:</b>  Still working on the concept and design of the electronic platform, in accordance with the Work Plan prepared by the company DAI, with funding from USAID.</p> <p><b>Means of verification.</b>  <a href="http://www.minfin.gob.gt/images/downloads/leyes_acuerdos/19_4.pdf">http://www.minfin.gob.gt/images/downloads/leyes_acuerdos/19_4.pdf</a>   <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/19/proyecto_gua_tecompras.pdf">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/19/proyecto_gua_tecompras.pdf</a></p>	Aug.16	Jun.18				
<b>Goal 5</b>	<p>Training on the Government Procurement registry system, aimed at government officials and civil society.</p> <p><b>Specific activities:</b>  This goal can only be met with the collaborative work of the Minfin and Inap, when the General Procurement Registry of the State is already in operation.</p> <p><b>Means of verification.</b>  <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/19/proyecto_gua_tecompras.pdf">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/19/proyecto_gua_tecompras.pdf</a></p>	Aug.16	Jun.18				
<b>Goal 6</b>	<p>Assess the desirability of open recruitment and use of data procurement (open contracting), and publish a report on the evaluation.</p> <p><b>Specific activities:</b>  Videoconferences and working meetings were held with Hivos and Open Contracting Partnership staff, to determine the characteristics of the initiative and to be able to recommend it to authorities.</p> <p><b>Means of verification.</b>  <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/19/1aVideoconferencia.docx">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/19/1aVideoconferencia.docx</a>   <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/19/2aVideoconferencia.docx">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/19/2aVideoconferencia.docx</a>   <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/19/am3areunionopencontracting.docx">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/19/am3areunionopencontracting.docx</a>   <a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/19/am4reunionopencontracting.docx">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/19/am4reunionopencontracting.docx</a></p>	Aug.16	Jun.18				



<a href="http://www.minfin.gob.gt/images/archivos/gobierno_abierto/19/am5reunionopencontracting.docx">http://www.minfin.gob.gt/images/archivos/gobierno_abierto/19/am5reunionopencontracting.docx</a>							
<b>Observations:</b> In the work plan formulated by DAI, the Guatecompras system will be transactional and will comply with the Open Contracting Partnership (OCP) initiative standards.							
Contact Information							
Lead implementing agency		Ministry of Public Finances - MINFIN					
Name of responsible person from implementing agency		<ol style="list-style-type: none"> <li>1. Coordinator: Lionel López</li> <li>2. Karla Díaz DNCAE,</li> <li>3. Luis Albizurez DTI,</li> <li>4. Juan Carlos Carrera, DAJ</li> </ol>					
Title, Department		<ol style="list-style-type: none"> <li>1. Coordinator: Vice Minister of Income and Tax Assessment</li> <li>2. State Contracting and Procurement Manager</li> <li>3. Director of Information Technologies</li> <li>4. Director of legal counsel</li> </ol>					
Email and Phone		<ol style="list-style-type: none"> <li>1. Coordinator: llopez@minfin.gob.gt</li> <li>2. kdiaz@minfin.gob.gt</li> <li>3. lalbizurez@minfin.gob.gt</li> <li>4. jcarrera@minfin.gob.gt</li> </ol> All: 23228888					
Other actors involved	Government Ministries, Department/Agency	National Institute for Public Administration (INAP)					
	Civil society, private initiative, work groups, multilaterals	Civil society organizations participating in Open Government initiatives and other interested stakeholders					
Additional Information							
It is expected that Guatecompras will become a transactional system and not just a depository for statistical information. This transformation will make it possible to meet the standards of the Open Contracting Partnership and Guatemala can then adhere to said initiative.							

Mid-Term Self-Assessment	
<b>Commitment 20</b> ACTIONS AIMED AT MOVING TOWARDS FISCAL TRANSPARENCY	
Start and end date: June 30, 2016 - June 30, 2018	



Responsible Party		Superintendence of Tax Administration (SAT)							
Description of Commitment									
What is the problem that the commitment addresses? (Status quo or problem to be solved)		Citizens have no information about the performance of the Superintendence of Tax Administration. The problem must be analyzed from the following perspectives: Access to public information produced by the institution in open data formats: facilitation of a taxpayer registration, increase in the information available on the country's progress in implementing the standards set by the Global Forum on Transparency and Exchange of Information organized by the Organization for Economic Co-operation and Development (OECD).							
What is the commitment? (Main goal)		Promote actions towards tax transparency and establish mechanisms to facilitate access to tax-related information. Modernize the taxpayer registry, publish institutional results reached by the SAT according to the goals set out in its work plan for 2016-2020, and continue efforts in compliance with international standards in tax matters.							
How will it help solve the problem? (Ambition)		The effective implementation of activities for this commitment will increase citizen capacities to evaluate the performance and recovery of the Superintendence of Tax Administration (SAT) and its compliance to international commitments to allow for the exchange of tax information with other countries, and allow citizens to access studies or statistics prepared by the institution.							
Why is it relevant to OGP values? (Relevance)		<p>Tax morale of citizens improves when actions are taken to ensure progress in fiscal transparency and access to tax information in open data formats, as well as the publication of studies on tax evasion, disclosure of corporate results according to the SAT work plan 2016-2020 and verification of compliance with standards that allows for the exchange of tax-related information with other jurisdictions and provide information on tax revenues by region and department.</p> <p>In addition, establishing mechanisms that facilitate taxpayer registration and expand the database with relevant information will allow the Superintendence of Tax Administration to objectively analyze and control taxpayers.</p> <p>Together, these actions contribute to fiscal transparency, accountability, and access to public information and citizen participation.</p>							
Additional information		The activities described in this chart will be carried out using existing human and financial resources in the institution. As part of the General Policy Guidelines 2016-2020, support will be given to the "Zero Tolerance to Corruption and Modernization of the State" axis.							
Level of compliance	Not started		Initiated		Substantial		Full compliance		
					●				
Description of results		<p>For Goal 1, an agreement was signed in October of 2016 between the Superintendence of Tax Administration (SAT) and the Ministry of Finance (Minfin), pledging to exchange tax information. For Goal 2, statistical information on tax collection, exemptions, credit card refunds etc. have been published.</p> <p>For Goal 3, studies were carried out on tax expenditure and VAT evasion for 2010 through 2016. For Goal 4, a detailed report was published on what was established in Article 23, Letter K of Decree 37-2016, "Law for the strengthening of fiscal transparency and governance in the SAT", as well as the overall work report. For Goal 5, the OCDE was able to improve country rating with support from Minfin, the Congress of the Republic, and the Ministry of Foreign Affairs.</p> <p>For Goal 6, codes for economic activities were identified and classified; taxpayers' records were refined with the information provided by Renap, and a periodic filtering process is being carried out, as well as the simplification of electronic processes for notaries in the legalization of signatures, or in the sale and disposal of land vehicles.</p>							



Next steps	For Goal 3: prepare tax (ISR) evasion studies for 2016. For Goal 6: Make the electronic registration form available to taxpayers.						
Status of milestones/goals		Start Date	End Date	Not Started	Initiated	Substantial	Full
<b>Goal 1</b>	Sign an agreement to exchange tax information between the Ministry of Public Finances and the Superintendence of Tax Administration.  <b>Means of verification: (do not use Internet Explorer)</b> <a href="http://portal.sat.gob.gt/sitio/index.php/descargas/doc_download/7582-1conveniointercambiointributaria.html">http://portal.sat.gob.gt/sitio/index.php/descargas/doc_download/7582-1conveniointercambiointributaria.html</a>	Aug-16	Dec-16				
<b>Goal 2</b>	Publish the following in open data formats: <ul style="list-style-type: none"> <li>• Tax statistics</li> <li>• Electronic information system on “exempt entities”</li> <li>• Additional information that the SAT determines is relevant to citizens. In this case, determination should occur as a result of a collaborative and open process designed to show the authorities of tax administration the views, needs, and suggestions of citizens and specialized users about information that should be published in these forums.</li> </ul> <b>Means of verification: (do not use Internet Explorer)</b> <a href="http://portal.sat.gob.gt/sitio/index.php/estadisticas.html">http://portal.sat.gob.gt/sitio/index.php/estadisticas.html</a> <a href="http://portal.sat.gob.gt/sitio/index.php/esat/autoconsultas-impuestos/entes-exentos.html">http://portal.sat.gob.gt/sitio/index.php/esat/autoconsultas-impuestos/entes-exentos.html</a>	Aug-16	Aug-17				
<b>Goal 3</b>	Publication and dissemination of studies carried out by the SAT on evasion of value added tax and income tax at the very minimum. (Value Added Tax IVA) and the Income tax (ISR).  <b>Means of verification: (do not use Internet Explorer)</b> <a href="http://portal.sat.gob.gt/sitio/index.php/estadisticas/47-estadisticas-tributarias/7897-analisis-y-estudios-tributarios.html">http://portal.sat.gob.gt/sitio/index.php/estadisticas/47-estadisticas-tributarias/7897-analisis-y-estudios-tributarios.html</a>	Aug-16	Aug-17				
<b>Goal 4</b>	Institutionalize an annual public accountability exercise to inform the public on projects and goals proposed in the work plan for the period 2016-2020, as well as results reached for fulfillment of institutional mission, actions towards transparency and the fight against corruption.  <b>Means of verification: (do not use Internet Explorer)</b> <a href="http://portal.sat.gob.gt/sitio/index.php/institucion/institucion/memoria-de-labores.html">http://portal.sat.gob.gt/sitio/index.php/institucion/institucion/memoria-de-labores.html</a>  <a href="http://portal.sat.gob.gt/sitio/index.php/descargas/cat_view/46-otros-documentos/172-ley-de-acceso-a-la-informacion/415--decreto-numero-37-2016/418-articulo-23-literal-k-informe-circunstanciado.html">http://portal.sat.gob.gt/sitio/index.php/descargas/cat_view/46-otros-documentos/172-ley-de-acceso-a-la-informacion/415--decreto-numero-37-2016/418-articulo-23-literal-k-informe-circunstanciado.html</a>	Jan-17	Feb-18				
<b>Goal 5</b>	Institutionalize mechanisms of information and disclosure of progress in implementing the standards of the Global Forum on Transparency and Exchange of Information organized by the Organization for Economic Co-operation and Development (OECD). This process also involves disclosure of peer assessment results carried out by the entity (Phase 1 and Phase 2), in order to verify that the SAT is able to exchange tax information with other jurisdictions.  <b>Means of verification: (do not use Internet Explorer)</b> <a href="https://agn.com.gt/index.php/2017/06/28/ocde-guatemala-mejora-dos-categorias-para-el-intercambio-de-informacion-fiscal/">https://agn.com.gt/index.php/2017/06/28/ocde-guatemala-mejora-dos-categorias-para-el-intercambio-de-informacion-fiscal/</a>  <a href="https://elperiodico.com.gt/inversion/2017/06/29/guatemala-mejora-calificacion-ante-el-foro-global-de-la-ocde/">https://elperiodico.com.gt/inversion/2017/06/29/guatemala-mejora-calificacion-ante-el-foro-global-de-la-ocde/</a>	Aug-16	Jun-18				



<b>Goal 6</b>	Taxpayers Registry:							
<b>Sub Goal 1</b>	a) Identification and Reclassification of Economic Activities codes for registration and/or updating taxpayer information. <b>Means of verification: (do not use Internet Explorer)</b> <a href="http://portal.sat.gob.gt/sitio/index.php/institucion/institucion/gobierno-abierto.html">http://portal.sat.gob.gt/sitio/index.php/institucion/institucion/gobierno-abierto.html</a>		Aug-16	Dec-16				
<b>Sub Goal 2</b>	b) Updating and simplifying processes for taxpayer registration and/or actualization of information.		Oct-16	Dec-16				
<b>Sub Goal 3</b>	c) Creating electronic form for taxpayer registration.		Aug-16	Dec-16				
<b>Sub Meta 4</b>	d) Debugging current database for taxpayer records. <b>Means of verification: (do not use Internet Explorer)</b> <a href="http://portal.sat.gob.gt/sitio/index.php/institucion/institucion/gobierno-abierto.html">http://portal.sat.gob.gt/sitio/index.php/institucion/institucion/gobierno-abierto.html</a> <a href="http://portal.sat.gob.gt/sitio/index.php/esat/autoconsultas-impuestos/consulta-cuinit.html">http://portal.sat.gob.gt/sitio/index.php/esat/autoconsultas-impuestos/consulta-cuinit.html</a>		Sep-16	Dec-16				
<b>Sub Meta 5</b>	e) Simplification of electronic process for notaries, presentation of notice of legalization of signatures for sale or disposition of land vehicles. <b>Means of verification: (do not use Internet Explorer)</b> <a href="http://portal.sat.gob.gt/sitio/index.php/institucion/institucion/gobierno-abierto.html">http://portal.sat.gob.gt/sitio/index.php/institucion/institucion/gobierno-abierto.html</a> <a href="http://portal.sat.gob.gt/sitio/index.php/descargas/doc_download/7585-meta6emanualdelusuariosat0411avisolegalizaciondefirmasenagenciavirtual.html">http://portal.sat.gob.gt/sitio/index.php/descargas/doc_download/7585-meta6emanualdelusuariosat0411avisolegalizaciondefirmasenagenciavirtual.html</a>		Aug-16	Dec-16				
<b>Contact Information</b>								
Lead implementing agency		Superintendence of Tax Administration (SAT)						
Name of responsible person from implementing agency		Lic. Abel Cruz Calderón SAT						
Title, Department		Intendant of Collection and Management						
Email and Phone		afcruzca@sat.gob.gt / 23297070						
Other actors involved	Government Ministries, Department/Agency	Ministry of Public Finances (MINFIN), Fiscal Analysis and Policy Management Directorate (DAPF)						
	Civil society, private initiative, work groups, multilaterals	Civil society organizations participating in Open Government and other interested stakeholders						
<b>Additional Information</b>								

<b>Mid-Term Self-Assessment</b>
<b>Commitment 21</b> ADVANCES IN THE IMPLEMENTATION OF THE FIRST LEVEL HEALTH CARE MODEL




Start and end date: June 30, 2016 - June 30, 2018	
Responsible Party	Ministry of Public Health and Social Assistance
Description of Commitment	
What is the problem that the commitment addresses? (Status quo or problem to be solved)	<p>In Guatemala, the human right to health is recognized both in the Political Constitution of the Republic and in the national Health Code. The State has ratified the different international instruments that support it, including the International Covenant on Economic, Social, and Cultural Rights (ICESCR) and its optional protocol. Regarding the principle of non-discrimination, the Convention for the Elimination of all Forms of Discrimination against Women, the Convention of Belém Do Para, ILO Convention 169 and the International Declaration on the Rights of Indigenous Peoples have been ratified. Despite this, the Guatemalan society continues to have problems with high levels of social inequality, racism and discrimination based on sex/gender, which, combined with the limited social participation, low levels of schooling and other social, economic and political problems result in violations to the human right to health.</p> <p>The Inclusive Health Model (MIS) emerged in 2000 as a solution to this problem; a long-term strategy to promote a reform of the health system that is based on rights and focuses on comprehensive and inclusive care that aims to reduce inequities. More than 16 years after its inception, the Ministry of Public Health and Social Assistance (MSPAS) is encouraging strengthening of capacities to fulfill this right to health, by focusing on the first level of attention as this is considered a key element in the public health system. This strategy has been proven to be effective in making attention universal and reducing maternal mortality, infant mortality due to diarrhea, and neonatal mortality. This due to the coordination with people, families, Mayan therapists, grandmothers, midwives and other community actors, with intercultural relevance, gender equity and an environmental perspective.</p>
What is the commitment? (Main goal)	To implement the Inclusive health model (Modelo Incluyente de Salud –MIS-) in all sectors and territories throughout the country.
How will it help solve the problem? (Ambition)	<p>The First Level of Attention will be strengthened through the programmatic implementation (individual, family and community) of the Inclusive Health Model, using perspectives such as the Right to Health, Interculturality, and Environment, providing furniture, equipment and supplies to Health Centers, hiring Human Resources based on characteristics identified, strengthening institutional capacities of staff using the regulations, guidelines, protocols and programmatic tactics of the MIS.</p> <p>During the second year of implementation of the Inclusive Health Model or MIS, the Second and Third Levels of Attention will be strengthened through Integrated Health Service Networks (RISS), by optimizing reference and counter reference processes and eliminating current fragmentation of health services.</p>
Why is it relevant to OGP values? (Relevance)	This commitment helps to strengthen the values of the alliance for Open Government by strengthening transparency, accountability and citizen participation.
Additional information	<p>The MIS has managed to respond to the rights of citizens, to demands of indigenous people throughout the country such as: understanding and respect for the culture of indigenous people treated by health services; recognition and respect for the knowledge, therapeutic elements, actors and practices of inclusive models; incorporation of contributions to the resolution of health problems; and the transformation of attitudes and practices among staff of public health centers.</p> <p>The MIS is emerging as an appropriate model to analyze how the human rights-based approach (HRBA) is applied to the field of health, using the principles of availability, accessibility, affordability and quality, as well as principles of non-discrimination, participation and accountability. The MIS is currently being implemented in targeted departments.</p>
	<div style="display: flex; justify-content: space-around; width: 100%;"> <span>Not started</span> <span>Initiated</span> <span>Substantial</span> <span>Complete</span> </div>







	<p>and community health centers, human resources, furniture, medical surgical equipment, medicines, basic list of supplies and two-wheeled vehicles.</p> <p><b>Specific activities</b></p> <ol style="list-style-type: none"> <li>1. Gap Diagnosis workshops with prioritized DAS for 2017 and DAS with MIS for 2018</li> <li>2. Gap Diagnosis for implementation of the MIS in 14 DAS</li> <li>3. Technical Assistance in preparation of Annual Operating Plan 2018 for 29 health areas. Socialization of technical guidelines for elaboration of Gap Diagnosis with DAS to implement MIS in 2018.</li> <li>4. Preliminary Gap Diagnosis of 16 DAS with MIS in 2018</li> <li>5. Workshops with 13 DAS for budget modifications, technical justifications, PAAC of furniture and equipment for First Level of Attention health facilities</li> <li>6. Technical assistance in preparing PAAC for 13 DAS with MIS implementation in 2017</li> <li>7. Approval and delivery of budget modifications of Group 300 for closing gaps in 13 DAS</li> <li>8. Preparation of Gap Diagnosis matrix by line, program, input, product, cost center, among others</li> <li>9. HR gap diagnosis by sector and territory to implement MIS in 2017</li> <li>10. Budget modifications and technical justifications for the additional budget allocation, in order to expand existing HR</li> <li>11. Induction plan for Human Resources contracted with 23-28 financing in the implementation of MIS</li> <li>12. Approval and delivery of 13 DAS Programs for MIS implementation in 2017</li> </ol> <p><b>Means of verification</b>  <a href="http://www.mspas.gob.gt/index.php/transparencia/gobierno-abierto/brechas-fisicas-y-financieras">http://www.mspas.gob.gt/index.php/transparencia/gobierno-abierto/brechas-fisicas-y-financieras</a></p>					
<b>Meta 3</b>	<p>Design a map of costs and financial investment in the health care model for implementation in the first level, and draft a proposal for budget restructuring.</p> <p><b>Specific activities:</b></p> <ol style="list-style-type: none"> <li>1. Define prioritized health areas for 2017</li> <li>2. Identify gaps for MIS implementation in prioritized areas for 2017</li> <li>3. Modify budgets for 2017 MIS implementation</li> <li>4. Identify gap areas not prioritized in 2017</li> <li>5. Plan and budget 2018 process, contemplating MIS implementation in 16 areas for 2018</li> <li>6. Present preliminary budget for 2018</li> </ol> <p><b>Means of verification</b>  <a href="http://www.mspas.gob.gt/index.php/transparencia/gobierno-abierto/hoja-de-ruta">http://www.mspas.gob.gt/index.php/transparencia/gobierno-abierto/hoja-de-ruta</a></p>	Aug-16	Aug-17			
<b>Contact Information</b>						
Lead implementing agency		Ministry of Public Health and Social Assistance				
Name of responsible person from implementing agency		Adrián Chávez				
Title, Department		Technical Vice Minister				
Email and phone		achavez@mspas.gob.gt.com / 2444-7474				
Other actors involved	Government Ministries, Department/Agency					
	Civil society, private initiative, work groups, multilaterals	Civil society organizations participating in Open Government and other interested stakeholders				
<b>Additional Information</b>						







Mid-Term Self-Assessment				
<b>Commitment 22</b> ACTIONS THAT CONTRIBUTE TO IMPROVING THE QUALITY OF EDUCATION				
Start and end date: June 30, 2016 - June 30, 2018				
Responsible Party	Ministry of Education			
Description of Commitment				
What is the problem that the commitment addresses? (Status quo or problem to be solved)	Students at the elementary level are lacking school meals and supplies since the beginning of the year. This situation results in a higher dropout rate and repetition, at a high cost to the national education system.			
What is the commitment? (Main goal)	Provide timely and the necessary school meals and supplies to students, crucial in the teaching and learning process, and reduce academic failure.			
How will it help solve the problem? (Ambition)	With the completion of activities planned by the Ministry of Education, conditions for an effective learning process improve for students and goals for sustainable development are more likely to be met, as quality education is the basis for improving lives and reaching sustainable development. This aspect is relevant because it is through education that people acquire the conditions and capacities needed to live as part of a society.			
Why is it relevant to OGP values? (Relevance)	Promotes citizen participation by involving the academic community in monitoring the reduction of academic failure and delivering support programs, strengthening accountability and transparency.			
Additional information	Within the framework of the 2016-2020 Strategic Education Plan, the Ministry of Education promotes the Strategy to Improve Educational Quality, and as one of its interventions, has contemplated strengthening the primary education level and implementing actions for school success (see: <a href="http://www.mineduc.gob.gt/estrategias_mineduc/">http://www.mineduc.gob.gt/estrategias_mineduc/</a> ). Both Goal 1 and Goal 2 of Commitment 22 contribute to the implementation of the strategy, the Committed to First program and the implementation of a Teacher's Guide to School Success.			
Level of compliance	Not started	Initiated	Substantial	Complete



Description of results	<p><b>Goal 1: To ensure timely delivery of school meals and school supplies at the beginning of the year, in each school with or without OPF</b></p> <p>Some results in this goal include the allocation of funds for the school feeding program in 2017 (Q226.1 million), delivered at the end of January; a second disbursement (Q200.6 million) in May and a third disbursement (Q157.7 million) in July, to establishment with an OPF (Parent Organization for the Family). In addition, Q53.2 million were distributed to establishments without OPF.</p> <p>Execution of funds as of August 31, 2017 (*) was:  a) Food: Q662.5 million for 2,337,365 students in 26,978 schools;  b) School supplies: Q110.5 million for 2,023,970 students in 23,230 schools;  c) Educational materials (didactic bag): Q19.3 million for 86,884 teachers in 20,661 schools.</p> <p><b>Specific activities:</b> A technical roundtable was formed with the Mineduc, Minfin, civil society and open government. The schedule followed up on challenges for allocation and execution of financial resources for food, school supplies and educational materials.</p> <p><b>Goal 2:</b> To publish information related to reducing school failure in the first grade. The result is that 16,031 schools registered school risk in the first grade, 99% of the total. This reflects that more than 82 thousands need reinforcement and more than 16 thousand students are at risk of abandoning school. This information is being followed up on.</p> <p><b>Specific activities:</b> A technical roundtable was formed with several directions (see timetable), to discuss inclusion of school risk registry in the school calendar with parents. A computer platform was developed in the Educational Registration System (SIRE), through which directors of each educational establishment can register and consult information at municipal, departmental and national levels.</p> <p><b>Dates of compliance:</b> Both Goal 1 and Goal 2 were completed before the deadline. (*) Source: SICOIN WEB and Sistema de Dotación de Recursos SDR-MINEDUC</p>					
Next steps	<p>A) Continue with assistance and training for parent organizations, strengthening their role in the administration and execution of assigned resources. B) Continue publishing the allocation and execution of support programs at school level so that they can be consulted locally, departmentally and nationally. C) Continue registering school risk in first grade, and meeting with parents to discuss implementation of school reforms.</p>					
<b>Status of milestones/goals</b>						
	Start Date	End Date	Not Started	Initiated	Substantial	Full






<p><b>Goal 1</b></p>	<p><b>To ensure the timely delivery of school meals and school supplies at the beginning of the year, prepare and publish the following:</b></p> <p><b>Specific activities:</b>  1) A technical working group was formed with the Mineduc, Minfin, civil society and open government to address the challenges of the allocation and delivery of support programs, ii) the report with the inventory of schools without parent organizations was added; iii) the reports on the progress of meal delivery in each school with and without OPF were published in the National System of Educational Indicators website; iv) the report on the delivery of school supplies and teaching materials and resources (didactic bag) was published in the different websites; v) the reports were published in April, uploaded to websites in July, and will be updated monthly as needed. See specific actions in the sub-goals.</p> <p><b>Means of verification:</b>  <a href="http://www.mineduc.gob.gt/compromisos/documents/Avances_OPF/inventario_de_establecimientos_con_y_sin_opf.xlsx">http://www.mineduc.gob.gt/compromisos/documents/Avances_OPF/inventario_de_establecimientos_con_y_sin_opf.xlsx</a></p> <p><a href="http://www.mineduc.gob.gt/compromisos/?p=docs_22_alimentacion_preprimaria.asp">http://www.mineduc.gob.gt/compromisos/?p=docs_22_alimentacion_preprimaria.asp</a></p> <p><a href="http://www.mineduc.gob.gt/compromisos/?p=docs_22_alimentacion_primaria.asp">http://www.mineduc.gob.gt/compromisos/?p=docs_22_alimentacion_primaria.asp</a></p> <p><a href="http://www.mineduc.gob.gt/compromisos/?p=docs_22_alimentacion_preprimaria_primaria_sin_opf.asp">http://www.mineduc.gob.gt/compromisos/?p=docs_22_alimentacion_preprimaria_primaria_sin_opf.asp</a></p> <p><a href="http://www.mineduc.gob.gt/compromisos/?p=avances_compromiso_22.asp">http://www.mineduc.gob.gt/compromisos/?p=avances_compromiso_22.asp</a></p>	<p>Sep-16</p>	<p>Jul-17</p>				
<p><b>Sub Goal 1</b></p>	<p><b>(a) Inventory of schools without Parent Organizations - OPF-</b></p> <p><b>Specific activities:</b>  The consolidated report of the inventory of organizations without OPF was designed, and the computer tool SDR was used to keep record by school or organization and status. Reports are generated at a national level and by department and reflect the number of establishments without OPF in Preprimary and Primary levels. Also shown is the number of establishments that have OPF.</p> <p><b>Means of verification:</b>  <a href="http://www.mineduc.gob.gt/compromisos/documents/Avances_OPF/inventario_de_establecimientos_con_y_sin_opf.xlsx">http://www.mineduc.gob.gt/compromisos/documents/Avances_OPF/inventario_de_establecimientos_con_y_sin_opf.xlsx</a></p>	<p>Sep-16</p>	<p>Dec-16</p>				



<p><b>Sub Goal 2</b></p>	<p><b>(b) Publication of progress in meal delivery on the National System of Educational Indicators website, for schools with and without OPF.</b></p> <p><b>Specific activities:</b> i) On the delivery of meals to schools with OPF, the report published was generated by the Integrated Accounting System –Sicoin-; ii) reports generated on meal delivery to schools without OPF were updated by departmental directorates. Reports can be consulted by organization, municipality and department; iii) the platform was modified for publication and consultation in the National System of Educational Indicators website.</p> <p><b>Means of verification:</b> <a href="http://www.mineduc.gob.gt/compromisos/?p=docs_22_alimentacion_preprimaria.asp">http://www.mineduc.gob.gt/compromisos/?p=docs_22_alimentacion_preprimaria.asp</a>  <a href="http://www.mineduc.gob.gt/compromisos/?p=docs_22_alimentacion_primaria.asp">http://www.mineduc.gob.gt/compromisos/?p=docs_22_alimentacion_primaria.asp</a>  <a href="http://www.mineduc.gob.gt/compromisos/?p=docs_22_alimentacion_preprimaria_primaria_sin_opf.asp">http://www.mineduc.gob.gt/compromisos/?p=docs_22_alimentacion_preprimaria_primaria_sin_opf.asp</a></p>	Apr-17	Jul-17				●
<p><b>Sub Goal 3</b></p>	<p><b>(c) Form a technical roundtable between Mineduc, Minfin and civil society to address the underlying problems of this issue.</b></p> <p><b>Specific activities:</b> i) A timetable was drawn up with specific actions, dates and persons in charge (from Mineduc and Minfin) for the allocation of financial resources for support programs at the beginning of the school year and presented to the governing bodies of the process, Mineduc authorities and departmental directors; ii) the roundtable addressed challenges related to budget implementation by results; iii) follow-up actions were taken at the managerial, technical and operational levels.</p> <p><b>Means of verification:</b> <a href="http://www.mineduc.gob.gt/compromisos/?p=avances_compromiso_22.asp">http://www.mineduc.gob.gt/compromisos/?p=avances_compromiso_22.asp</a></p>	Aug-16	Dec-16				●
<p><b>Goal 2</b></p>	<p><b>Publish information related to the following activities that seek to reduce school failure in 1st grade of Primary School:</b></p> <p><b>Specific activities:</b> i) Registration of information related to school risk and meetings with parents was incorporated into the Ministry of Education's school calendar; ii) the conceptual framework and modifications to Educational Registration System (SIRE) online platform were further developed to automate school risk documentation; iii) several workshops were held for departmental directors, planners, technical coordinators and other staff on the importance of recording this information, to better monitor school success in compliance with the Open Government Commitment; iv) registering methods for meetings with parents of at risk students were followed up on; v) reports of first grade meetings with parents were published in different portals and webpages and can be consulted at a municipal, departmental and national level.</p> <p><b>Means of verification:</b> <a href="http://www.mineduc.gob.gt/compromisos/?p=docs_22_utiles_preprimaria.asp">http://www.mineduc.gob.gt/compromisos/?p=docs_22_utiles_preprimaria.asp</a>  <a href="http://www.mineduc.gob.gt/compromisos/?p=docs_22_utiles_primaria.asp">http://www.mineduc.gob.gt/compromisos/?p=docs_22_utiles_primaria.asp</a>  <a href="http://www.mineduc.gob.gt/compromisos/?p=docs_22_valija_preprimaria.asp">http://www.mineduc.gob.gt/compromisos/?p=docs_22_valija_preprimaria.asp</a>  <a href="http://www.mineduc.gob.gt/compromisos/?p=docs_22_valija_primaria.asp">http://www.mineduc.gob.gt/compromisos/?p=docs_22_valija_primaria.asp</a>  <a href="http://www.mineduc.gob.gt/compromisos/?p=docs_22_registro_riesgo_escolar.asp">http://www.mineduc.gob.gt/compromisos/?p=docs_22_registro_riesgo_escolar.asp</a></p>	Apr-17	Aug-17				●



	<a href="http://www.mineduc.gob.gt/compromisos/?p=docs_22_registro_riesgo_escolar.asp">http://www.mineduc.gob.gt/compromisos/?p=docs_22_registro_riesgo_escolar.asp</a>						
<b>Sub Goal 1</b>	<p><b>Delivery of school supplies and teaching materials and resources.</b></p> <p><b>Specific activities:</b></p> <p>i) The decision was made to publish progress of delivery of school supplies and teaching resources (didactic bag) with OPF. This report will be generated by the Sicoin Integrated Accounting System where all OPFs are registered; ii) reports can be consulted by organization, municipality and department; iii) the platform was modified for publication in the National System of Educational Indicators website.</p> <p><b>Means of verification:</b></p> <p><a href="http://www.mineduc.gob.gt/compromisos/?p=docs_22_utiles_preprimaria.asp">http://www.mineduc.gob.gt/compromisos/?p=docs_22_utiles_preprimaria.asp</a></p> <p><a href="http://www.mineduc.gob.gt/compromisos/?p=docs_22_utiles_primaria.asp">http://www.mineduc.gob.gt/compromisos/?p=docs_22_utiles_primaria.asp</a></p> <p><a href="http://www.mineduc.gob.gt/compromisos/?p=docs_22_valija_preprimaria.asp">http://www.mineduc.gob.gt/compromisos/?p=docs_22_valija_preprimaria.asp</a></p> <p><a href="http://www.mineduc.gob.gt/compromisos/?p=docs_22_valija_primaria.asp">http://www.mineduc.gob.gt/compromisos/?p=docs_22_valija_primaria.asp</a></p>	Apr-17	Jul-17				
<b>Sub Goal 2</b>	<p><b>b) Records of meetings with parents.</b></p> <p><b>Specific activities:</b></p> <p>i) The Ministry of Education has incorporated, as one of its activities, the records of meetings with parents in its school calendar; a first record in April and a second in July; ii) the conceptual framework was developed and modifications were made to the SIRE computerized system, to automate meeting records in 2017; iii) several workshops were held for departmental directors, planners, technical coordinators and other staff, on the importance of recording information for better follow-up of school success in compliance with Commitment 22; iv) technicians and department directors carried out different workshops and informative meetings with a supervisory group and the directors of school establishments; v) this reached more than 16,000 establishments at a primary level; vi) records of meetings with parents are published in different websites and can be consulted at a municipal, departmental and national level.</p> <p><b>Means of verification:</b></p> <p><a href="http://www.mineduc.gob.gt/compromisos/?p=docs_22_registro_riesgo_escolar.asp">http://www.mineduc.gob.gt/compromisos/?p=docs_22_registro_riesgo_escolar.asp</a></p>	Jun-17	Aug-17				
<b>Sub Goal 3</b>	<p><b>c) School risk registry.</b></p> <p><b>Specific activities:</b></p> <p>i) The Ministry of Education's 2017 School Calendar has incorporated the school risk registry for first grade, first in April and second in July; ii) the conceptual framework of the information to be included in the school risk registry was developed in 2017 by the SIRE; iii) modifications were made to the SIRE IT platform to automate the school risk registry; iv) several sensitization workshops were held for departmental directors, planners, technical coordinators and other staff on the importance of recording information to better monitor school success in compliance with Open Government Commitment 22; v) the registration of school risk was followed up on for primary level schools at a national level; vi) staff from departmental directions carried out different workshops and informative meetings with the supervising group and directors of educational establishments.</p> <p><b>Means of verification:</b></p> <p><a href="http://www.mineduc.gob.gt/compromisos/?p=docs_22_registro_riesgo_escolar.asp">http://www.mineduc.gob.gt/compromisos/?p=docs_22_registro_riesgo_escolar.asp</a></p>	Jun-17	Aug-17				
<b>Contact Information</b>							
Lead implementing agency		Ministry of Education					



Name of responsible person from implementing agency		Doctor José Inocente Moreno Cámbara
Title, Department		Vice Minister of Design and Verification of Educational Quality
Email and Phone		jmoreno@mineduc.gob.gt / 2411-9595 extensions 3016, 1140 and 1278.
Other actors involved	Government Ministries, Department/Agency	
	Civil society, private initiative, work groups, multilaterals	Civil society organizations participating in Open Government and other interested stakeholders
<b>Additional Information</b>		
<p>This commitment follows the three pillars of Open Government:</p> <p>i) Transparency: progress was made in publishing data in Mineduc websites, such as the Educational Indicator System, the inventory of establishments with and without OPF, and progress in the allocation and execution of support programs. Information was personalized and can be consulted at a national, departmental, municipal and local level.</p> <p>ii) Collaboration: these goals are an example of collaborative work involving different levels of administration, from coordinating budget allocations at a departmental level to operationalizing and allocating resources at a local level, where parent organizations (OPF) collaborate with the execution of financial resources that benefit students and establishments.</p> <p>iii) Participation: from activity planning to content development and follow up were presented at meetings with the Technical Roundtable with civil society. They were shown the progress, but also challenges faced in fulfilling the commitment. Participation of management and technical staff at a departmental level and socialization of commitments among directors was also relevant.</p>		

### MAP OF COMPLIANCE TO 92 GOALS DURING MID-TERM SELF-EVALUATION PROCESS FOR NATIONAL OPEN GOVERNMENT ACTION PLAN 2016-2018

22 Commitments	Lead implementing agency	Name of commitment	Goal	STATUS OF COMPLIANCE 92 GOALS						
				Start date	End date	Not started	Initiated	Substantial +50%	Complete 100%	Compliance
1	INAP	Training in Access to Public Information and Institutional Archives	1	January 2017	June 2017			●		
			2	July 2017	June 2018			●		
2	MINEDUC USAC	Training to Educational Personnel in Access to Public Information and Institutional Archives	1	September 2016	June 2018			●		
			2	September 2016	June 2018		●			



3	ACCIÓN CIUDADANA GUATECAMBIA	Evaluation of Good Practices in Access to Public Information	1	September 2016	December 2016				●	
			2	January 2017	February 2017				●	
			3	January 2017	December 2017			●		
			4	March 2018	April 2018	On time				
4	CONGRESS OF THE REPUBLIC	Establish a Multisector Roundtable to Discuss, Develop and Present a Bill to Strengthen the Right of Access to Public Information and Institutional Archives, as well as a Regulating Institution	1	August 2016	February 2017				●	
5	SENACYT MINGOB	Create and Implement an Open Data Web Portal, Create and Approve a National Policy on Open Data	1	August 2016	November 2017				●	
			2	August 2016	November 2016				●	
			3	November 2016	December 2017			●		
			4	January 2018	March 2018	On time				
			5	January 2018	June 2018	On time				
			6	June 2018	June 2018	On time				
			7	August 2016	June 2018				●	
6	SENACYT	Technological Support for Open Government Work Themes Through The Establishment of an Inter Institutional Technical Roundtable for Technological Innovation	1	August 2016	June 2018				●	
			2	August 2016	April 2018				●	
			3	May 2018	July 2018	On time				
7	SENACYT INAP	Design and Implementation of an Online Directory of Public Services	1	October 2016	March 2017			●		
			2	October 2016	July 2017			●		
			3	July 2017	December 2017			●		
			4	January 2018	June 2018	On time				
8	SENACYT ANAM	Digital Inclusion and Immersion at a Municipal Level	1	August 2016	October 2016				●	
			2	November 2016	March 2017				●	
			3	April 2017	August 2017			●		
			4	August 2017	December 2017			●		
			5	January 2018	March 2018	On time				
			6	January 2018	May 2018	On time				
9	SENACYT INAP	Design of Online Platform for Public Information Queries	1	August 2016	December 2016				●	
			2	January 2017	September 2017			●		
			3	September 2017	December 2017	On time				
10	SIT	"Digital Nation" National Broadband Connectivity Plan	1	August 2016	January 2017				●	
			2	August 2016	June 2018				●	
			3	August 2016	March 2017			●		
			4	January 2017	June 2018			●		
			5	August 2016	June 2018			●		
11	INFOM ANAM	Design and Implementation of a Pilot Program of "Ideathons" as Mechanisms for Citizen Collaboration and Participation at a Municipal Level, to Improve Municipal Services	1	September 2016	December 2016				●	
			2	September 2016	January 2017				●	
			3	February 2017	September 2017				●	
			4	March 2017	October 2017				●	
			5	October 2017	February 2018	On time				
			6	March 2018	June 2018	On time				
12	CONGRESO DE LA REPUBLICA	Create and Institutionalize Citizen Opinion Mechanisms for Legislative Proposals	1	April 2017	May 2017				●	
			2	May 2017	June 2017				●	
			3	August 2017	August 2017				●	
			4	June 2017	November 2017				●	





13	SCSPR GUATECIVICA	Raise Public Awareness of Open Government as a Mechanism for Citizen Participation	1	September 2016	November 2016					●	
			2	December 2016	June 2018				●		
14	CONTRALORIA GENERAL DE CUENTAS	Accountability Mechanisms in Local Government	1	September 2016	June 2018					●	
			2	September 2016	June 2017				●		
			3	January 2017	June 2018				●		
15	CONRED	Promote Transparency in Actions Towards Disaster Risk Reduction	1	August 2016	June 2018				●		
			2	August 2016	June 2017					●	
			3	August 2016	June 2018				●		
			4	September 2016	June 2018				●		
			5	September 2016	June 2018				●		
			6	September 2016	June 2017				●		
16	MINFIN CR CGC SEGEPLAN	Actions Towards an Open and Participatory Budgeting Process	1	March 2017	July 2017					●	
			2	November 2016	July 2017					●	
			3	March 2017	July 2017					●	
			4	September 2016	November 2017					●	
			5	June 2017	June 2017					●	
17	MINFIN	Actions Towards Compliance with the International Monetary Fund (IMF) Fiscal Transparency Code and Manual	1	September 2016	August 2017					●	
			2	August 2016	September 2016					●	
			3	September 2016	July 2018				●		
			4	December 2016	June 2018				●		
			5	September 2016	March 2017					●	
			6	December 2016	December 2017					●	
			7	September 2016	June 2018				●		
18	MINFIN	Actions Aimed at Improving the Availability and Quality of Budget Information	1	October 2016	June 2018				●		
			2	August 2016	June 2018				●		
			3	February 2017	June 2018				●		
			4	August 2016	June 2018				●		
			5	September 2016	June 2018					●	
19	MINFIN	Actions Aimed at Moving Towards Open Hiring Practices	1	August 2016	June 2018					●	
			2	August 2016	June 2018					●	
			3	August 2016	June 2018					●	
			4	August 2016	June 2018				●		
			5	August 2016	June 2018					●	
			6	August 2016	June 2018				●		
20	SAT	Actions Aimed at Moving Towards Fiscal Transparency	1	August 2016	December 2016					●	
			2	August 2016	August 2017					●	
			3	August 2016	August 2017				●		
			4	January 2017	February 2018					●	
			5	August 2016	June 2018					●	
			6	August 2016	December 2016				●		
21	MSPAS	Advances in the Implementation of the First Level Health Care Model	1	August 2016	December 2017				●		
			2	August 2016	December 2017				●		
			3	August 2016	August 2017				●		
22	MINEDUC	Actions that Contribute to Improving the Quality of Education	1	August 2016	December 2016					●	
			2	April 2017	August 2017					●	



TOTAL COMMITMENTS		TOTAL GOALS	92	Goals not started	Initiated	Substantial	Complete
22				11	23	28	30
NATIONAL OPEN GOVERNMENT ACTION PLAN 2016-2018				11.96%	25.00%	30.43%	32.61%
***Data updated and elaborated in the mid-term self-assessment process by the Open Government Point of Contact and Technical Team							
ORANGE	Commitment has begun with compliance	23	25.00%				
YELLOW	More than 50% done	28	30.43%				
GREEN	Total compliance (100%)	30	32.61%				
BLUE	Goals that are not yet due (on time)	11	11.96%				
	TOTAL	92 GOALS	100%				

COMPLIANCE WITH 92 GOALS OF THE THIRD NATIONAL OPEN GOVERNMENT ACTION PLAN 2016-2018

CUMPLIMIENTO DE LAS 92 METAS DEL 3er PLAN DE ACCIÓN NACIONAL DE GOBIERNO ABIERTO 2016-2018



COMPLIANCE WITH 22 COMMITMENTS OF THE THIRD NATIONAL OPEN GOVERNMENT ACTION PLAN 2016-2018 (According to Mid-Term Self-Assessment Results)

Access to Public Information and Institutional Archives		
COMMITMENT	LEVEL OF COMPLIANCE	
1	Yellow	Substantial
2	Yellow	
3	Orange	Initiated

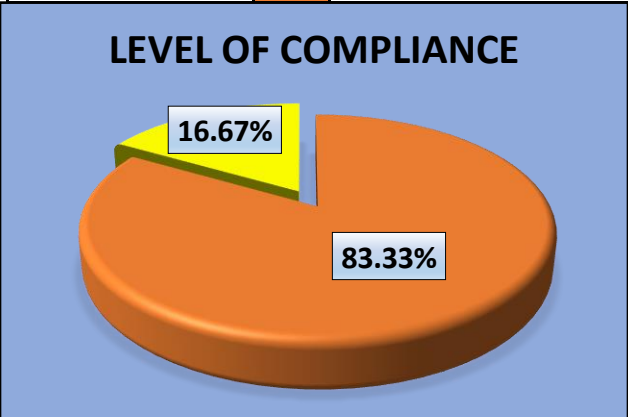




Level of Compliance	
1 Initiated	25%
2 Substantial	50%
1 Complete	25%

### Technological Innovation

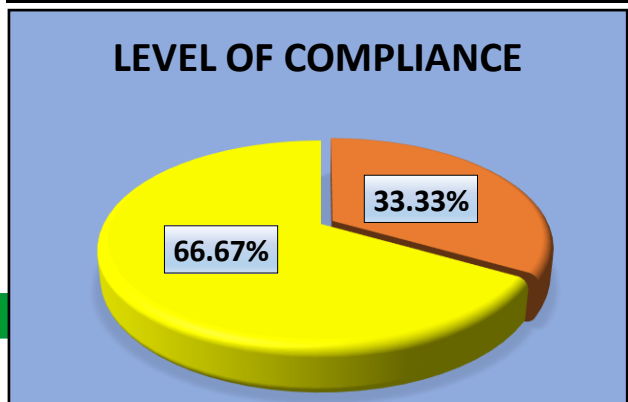
COMMITMENT	LEVEL OF COMPLIANCE
5	Initiated
6	Substantial
7	Initiated
8	
9	
10	



Level of Compliance	
5 Initiated	83.33%
1 Substantial	16.67%
0 Complete	0%

### Citizen Participation

COMMITMENT	LEVEL OF COMPLIANCE
11	Substantial
12	
13	Initiated



Level of Compliance	
1 Initiated	33.33%
2 Substantial	66.67%
0 Complete	0%

Accountability	
COMMITMENT	LEVEL OF COMPLIANCE
14	Substantial
15	

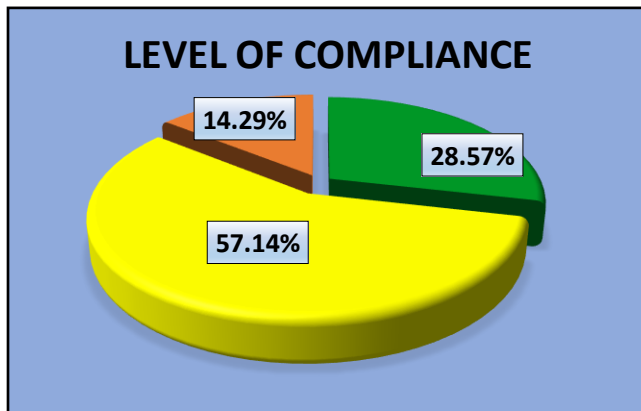


Level of Compliance	
1 Substantial	50%
1 Substantial	50%

Fiscal Transparency	
COMMITMENT	LEVEL OF COMPLIANCE
16	Complete



17		
18		Substantial
19		Initiated
20		Substantial
21		
22		Complete



Level of Compliance	
1 Initiated	14.29%
4 Substantial	57.14%
2 Complete	28.57%

Note: Graphs and indicators by the Open Government Technical Team.

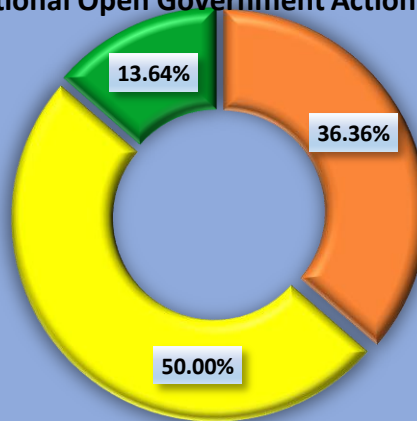
Information on indicators and statistic by area updated on September 29, 2017, based on information presented in the Mid-Term Self-Evaluation Templates for the National Open Government Action Plan 2016-2018.



## Compliance with the 22 Commitments of the National Open Government Action Plan Mid-Term Self-Assessment

Axis 1	COMMITMENT	COMPLIANCE
Access to Public Information and Institutional Archives	1	
	2	
	3	
	4	
Technological Innovation	5	
	6	
	7	
	8	
	9	
	10	
Citizen Participation	11	
	12	
	13	
Accountability	14	
	15	
Fiscal Transparency	16	
	17	
	18	
	19	
	20	
	21	
	22	

### Level of Compliance with the 22 Commitments of the National Open Government Action Plan



Level of Compliance	
8 commitments initiated	36.36%
11 commitments with substantial compliance	50.00%
3 commitments with complete compliance	13.64%





**INSTITUTIONAL ACHIEVEMENTS OF THE FIRST YEAR OF IMPLEMENTATION OF THE 22  
COMMITMENTS OF THE NATIONAL OPEN GOVERNMENT ACTION PLAN 2016-2018  
(According to Mid-Term Self-Evaluation)**

NUMBER-NAME OF COMMITMENT AND RESPONSIBLE PARTY	ACHIEVEMENTS
<p><b>1</b></p> <p><b>TRAINING IN ACCESS TO PUBLIC INFORMATION AND INSTITUTIONAL ARCHIVES</b></p> <p>INAP</p>	<p>The permanent program for training in Access to Public Information was established, and various activities have been carried out, such as the first Certification in Access to Public Information (May-August 2017) with 48 graduates that include staff of public institutions (state agencies), municipalities, autonomous and decentralized entities, civil society and journalists. Also, 15 workshops were held, including a workshop on Access to Public Information Law, with the participation of 912 people from the following institutions: Segeplan, Sesan, Inap, Mineco, Minex, Mingob, Mindef, Senacyt and MSPAS. The second certification is currently in progress.</p>
<p><b>2</b></p> <p><b>TRAINING TO EDUCATIONAL PERSONNEL IN ACCESS TO PUBLIC INFORMATION AND INSTITUTIONAL ARCHIVES</b></p> <p>MINEDUC/USAC</p>	<p>The sixth cohort of the Academic Program for Teacher Professional Development (PADEP) included participation of 6,157 teachers (1,304 for Pre Primary education and 4,852 for Intercultural and Bilingual Primary education) and LAIP content is currently being taught in the Legislation and Administration in Education course of the Teacher Updating Program. Fundazúcar reports that in 2017, 10,180 teachers from official Pre Primary and Primary schools in the departments of Santa Rosa, Escuintla, Suchitepéquez and Retalhuleu were trained. Teachers from Pre Primary levels and all of the Primary grades participated. Participation was positive in Law of Public Access to Information courses, and teachers reported feeling motivated after receiving documents with strategies for communicating this knowledge to students and parents (see Means of verification).</p>
<p><b>3</b></p> <p><b>EVALUATION OF GOOD PRACTICES IN ACCESS TO PUBLIC INFORMATION</b></p> <p>Acción Ciudadana and Guatecambia</p>	<p>A working group was formed and a workshop was held with Public Information Units and Civil Society Organizations, to obtain inputs for the methodology. The group in charge of evaluating good practices was formed, with local and internationally well-known experts (Moisés Sánchez, Executive Secretary for the Regional Alliance for Freedom of Expression and Access to Information; Emilene Martínez, Regional Coordinator for Latin America for the Open Government Alliance; Silvio Gramajo, expert consultant in Access to Public Information). And lastly, the communication campaign for the launch of the good practices contest was designed.</p>



<p><b>4</b>  <b>ESTABLISH A MULTISECTOR ROUNDTABLE TO DISCUSS, DEVELOP AND PRESENT A BILL TO STRENGTHEN THE RIGHT OF ACCESS TO PUBLIC INFORMATION AND INSTITUTIONAL ARCHIVES, AS WELL AS A REGULATING INSTITUTION</b></p> <p>Congress of the Republic</p>	<p>The Multisectorial Technical Roundtable was founded, and its members discussed the best way to strengthen the right of access to public information and institutional archives, and possible regulating institutions were discussed. A draft of the Bill was presented before the Congress of the Republic and its number was registered as 5210 for its study and 29 for its approval in November of 2016. Congress decided to transfer this bill to the Committee on Legislation and Constitutional Points, who received it in December 1 of 2016, but as of the presentation of this report, have still not issued the corresponding opinion.</p>
<p><b>5</b>  <b>CREATE AND IMPLEMENT AN OPEN DATA WEB PORTAL, CREATE AND APPROVE A NATIONAL POLICY ON OPEN DATA</b></p> <p>SENACYT and MINGOB</p>	<p>The work plan for implementation of the Single Open Date Portal was defined, and a diagnosis was made of platforms for open data, a structure was defined and open source tools were tested, resulting in the pilot plan being defined. In July 2017, the Roundtables for Dialogue for the co-creation of the National Open Data Policy counted with the participation of more than 100 people, and more than 60 people in the Thematic Roundtables had the opportunity to widely discuss the policy and the effects of its implementation.</p>
<p><b>6</b>  <b>TECHNOLOGICAL SUPPORT FOR OPEN GOVERNMENT WORK THEMES THROUGH THE ESTABLISHMENT OF AN INTER INSTITUTIONAL TECHNICAL ROUNDTABLE FOR TECHNOLOGICAL INNOVATION</b></p> <p>SENACYT</p>	<p>The inter-institutional technological roundtable for innovation was created (MIIT), meetings were held and an official webpage was launched. As part of the model for monitoring and follow-up of work plans defined in each commitment, an official website for the MIIT was developed. The Senacyt was not updated, and work meetings are periodically held in different offices of the institutions represented in the Roundtables. <a href="http://miit.gobiernoabierto.gob.gt">http://miit.gobiernoabierto.gob.gt</a></p>
<p><b>7</b>  <b>DESIGN AND IMPLEMENTATION OF AN ONLINE DIRECTORY OF PUBLIC SERVICES</b></p> <p>SENACYT and INAP</p>	<p>Mineco and Senacyt obtained a platform for managing procedures, with the following results: transfer of the platform for procedures, a platform made available so that other government institutions can implement these procedures, an agreement that will be signed between Senacyt and Mineco to formalize the transfer of technologies and the standardization of the platform in three aspects: technical documentation, user documentation and documentation of procedures.</p>
<p><b>8</b>  <b>DIGITAL INCLUSION AND IMMERSION AT A MUNICIPAL LEVEL</b></p> <p>SENACYT and ANAM</p>	<p>Meetings with stakeholders interested in this commitment were held to define and select municipalities for the pilot plan, in agreement with government entities and civil society organizations. Technical meetings have also been held to define the first phase of the design of transparency technologies and web portals.</p>
<p><b>9</b>  <b>DESIGN OF ONLINE PLATFORM FOR PUBLIC INFORMATION QUERIES</b></p> <p>SENACYT and INAP</p>	<p>It was established that the solution is in websites for transparency, and a diagnosis was carried out for implementation of informative websites and to define the CMS to be used.</p>
<p><b>10</b>  <b>"DIGITAL NATION" NATIONAL BROADBAND CONNECTIVITY PLAN</b></p>	<p>The President of the Republic presented the Digital Nation Agenda. Technical work was carried out by Segeplan and the units of the Ministry of Communications involved in the process, for drafting of the Digital Nation Strategic Plan and Budget. A pilot program was originally programmed for the municipality of Patzún, but given</p>





SIT	<p>the existing demand; it was extended to the municipalities of San Pedro La Laguna (Sololá), Gualán (Zacapa) and Pachalún (Quiché). INTEL carried out a training course for teachers in Gualán, Patzún and Pachalún about technology in the classroom. Providers of educational solutions and equipment for pilot programs were defined: INTEL, HP, Acer, Toshiba, Lenovo, Endless, and Microsoft. Pilot tests were carried out in schools of Zacapa 2, Sololá, San Pedro la Laguna 1, Chimaltenango, Patzún, aldea Chuiquel 1, Quiché, and Pachalún. Mineduc will receive equipment, and various sectors will guarantee training, monitoring and follow-up of this commitment.</p>
<p><b>11</b></p> <p>DESIGN AND IMPLEMENTATION OF A PILOT PROGRAM OF "IDEATHONS" AS MECHANISMS FOR CITIZEN COLLABORATION AND PARTICIPATION AT A MUNICIPAL LEVEL, TO IMPROVE MUNICIPAL SERVICES</p> <p>INFOM and ANAM</p>	<p>A sample was taken of municipalities that took part in the Ideathon Pilot Plan. A methodology was designed and a baseline was defined to measure project impact. Ideathons were held in the municipalities of San Lucas Sacatepéquez, Villa Nueva, Santa Cruz Naranjo, Jalapa, Cobán, Quetzaltenango and Totonicapán. The process of systematization and validation of information collected in pilot municipalities has started. The plan is to create a mechanism for citizen participation with involvement of municipal public services.</p>
<p><b>12</b></p> <p>CREATE AND INSTITUTIONALIZE CITIZEN OPINION MECHANISMS FOR LEGISLATIVE PROPOSALS</p> <p>Congress of the Republic</p>	<p>The Congress of the Republic considers institutionalizing the mechanism of citizen participation with modifications to the Organic Law of the Legislative Body through public hearings. Therefore, in Decree 63-94 and its reforms, the Congress of the Republic indicates, in the last paragraph of article 36, that "Work Commissions may hold public hearings as part of the process for studying initiatives sent in". A tool for citizen consultation has been created with support from the organization Red Ciudadana, as well as a Manual for its use and management. A series of training sessions have also been held on Open Government, Open Parliament, and tools for Access to Public Information, and Citizen Opinion. This can be consulted in the Congress webpage <a href="http://old.congreso.gob.gt/comp_4/comp_4.asp">http://old.congreso.gob.gt/comp_4/comp_4.asp</a>, as the objective is to adequately train administrative staff, liaisons, advisers and secretaries of work commissions. The second stage will be dedicated to Congressmen, since the general public is being targeted through social media.</p>
<p><b>13</b></p> <p>RAISE PUBLIC AWARENESS OF OPEN GOVERNMENT AS A MECHANISM FOR CITIZEN PARTICIPATION</p> <p>SCSPR</p>	<p>Goal 1, developed from September to November of 2016, as the development of the Internal Communication Strategy within the framework of Open Government as a mechanism for citizen participation. Goal 2, that contemplates implementation of the communication strategy from December of 2016 to June 2018, includes materials used in social media, news coverage in the Guatemalan news agency, media monitoring and mentions in the "Informe nacional" 3-minute news capsule on open television, Mondays through Fridays. A workshop is planned for communicators of all institutions in the executive branch and other agencies interested in participating in Open Government, as part of the Comprehensive Communication Strategy.</p>
<p><b>14</b></p>	<p>Four workshops were held at a regional level, and the 340 municipalities were convened. Mayor and directors of financing and auditing areas participated, and</p>



<p><b>ACCOUNTABILITY MECHANISMS IN LOCAL GOVERNMENT</b></p> <p>CGC</p>	<p>letters of understanding were signed with the 210 municipalities that have agreed to implement the accountability model for local governments (62%, more than the 40% that was expected). The review of the standard accountability methodology is currently being finished, and it has been validated in 4 municipalities and through workshops held for feedback in 90 municipalities. The proposed Implementation Strategy is also being reviewed.</p>
<p><b>15</b></p> <p><b>PROMOTE TRANSPARENCY IN ACTIONS TOWARDS DISASTER RISK REDUCTION</b></p> <p>CONRED</p>	<p>The SIGES Program Report 94 was published in open data format on the webpage, as was the Protocol for Requesting the Delivery of Humanitarian Aid, the Certification for Updating Approval of the Protocol approved by the National Council. Lists of deliveries to mayors were also published, and a report prepared by the Direction of Logistics was published monthly, with numbers, quantities and places where resources were allocated and humanitarian aid was destined. Reports of the Direction of Response were also published, specifying incidents and detailing people and infrastructure affected. A toll-free number for complaints and nonconformities was enabled, as well as a form that can be filled out with contact details for each complaint. These documents can be found on the Conred official website <a href="https://conred.gob.gt">https://conred.gob.gt</a>, under the icon for Open Government.</p>
<p><b>16</b></p> <p><b>ACTIONS TOWARDS AN OPEN AND PARTICIPATORY BUDGETING PROCESS</b></p> <p>MINFIN, SEGEPLAN, CGC, Congress of the Republic</p>	<p>Sixteen Open Budget Workshops were held to allow civil society organizations to participate in developing the State Budget. They gave suggestions and recommendations to improve the distribution of budget ceilings and the financing of institutional programs, using institutional analyses carried out by internationally well-known experts. These forums were transmitted online and citizens were able to comment on the event and fill out online surveys. Thirteen public institutions presented, 84 civil society organizations participated and there were 1,872 total participants. Workshops were also held with civil society organizations to discuss Open Government and Transparency Regulations, to include them in the Budget (included in Chapter III, articles 17 to 26). As part of the development process, the following workshops were held: Macroeconomic and Fiscal Projections (Minfin, Banguat and SAT), Tax Risks, About the Geographical List of Works and about contributions to NGOs. There was direct and proactive participation of various CSOs in these events, and a final report was drafted to present the results. On the part of Conadur, initiatives for investment in 2018 were presented, a situation that allowed for all five goals of this commitment to be marked as completed.</p>
<p><b>17</b></p> <p><b>ACTIONS TOWARDS COMPLIANCE WITH THE INTERNATIONAL MONETARY FUND (IMF) FISCAL TRANSPARENCY CODE AND MANUAL</b></p> <p>MINFIN</p>	<p>The IMF was asked to practice the Fiscal Transparency Assessment, which was carried out in the months of March and April 2016. A chapter on tax risks was included in the 2017 Budget Bill. A consolidated spending matrix was developed for spending groups 4 and 5: Current Transfers and Capital Transfers. The Convention on mutual Administrative Assistance in Tax Matters was approved by Decree No. 9-2017. This allows Guatemala to leave the list of countries that do not collaborate with exchanging tax information, and for the country to be included in the list of those that collaborate satisfactorily (two steps up in the qualification). A proposal for Reforms to the Internal Organic Regulation of the Minfin is also in the works, to</p>



	create a Vice Ministry and a specific Direction for Transparency and Fiscal Evaluation, as part of the Minfin Transparency Agenda. These reforms will include the changes that derive from two recent reforms of the State Procurement Law (Decreets Nos. 9-2015 and 46-2016), the relevant aspects of which are creating a General Procurement Registry and the converting the DNCAE in a General Direction of Acquisitions.
<b>18</b> <b>ACTIONS AIMED AT IMPROVING THE AVAILABILITY AND QUALITY OF BUDGET INFORMATION</b>  MINFIN	A concrete result is the issuance of Ministerial Agreement NO. 194-2017, through which the following aspects are regulated and institutionalized: a) Definition of dependencies responsible for the issuance and publication of the 8 documents that serve as the basis for rating the Open Budget Index; b) The Dependencies are instructed that all information published on the Minfin website must be published in open data formats; c) the Open Budget process is institutionalized at the stage of budget formulation. The Open Data Users Committee was created with participation of various civil society organizations, not only to address the issue of open data, but also as a focal group to address a series of demands and topics of interest to civil society.
<b>19</b> <b>ACTIONS AIMED AT MOVING TOWARDS OPEN HIRING PRACTICES</b>  MINFIN	The Reverse Electronic Auction was completed: Minfin initiated purchase by ordering paper with a saving of 4% in relation to the amount executed in the previous year, and the Ministry of Communications used the system in the acquisition of Bailey type bridges. Reforms to the Guatecompras system are being implemented so that it evolves from statistical to transactional system, and allows procurement processes to be monitored from planning to adjudication, execution and results. Records will be gathered in the General Acquisitions Registry and a General Procurement Department will be created. The suitability of Guatemala adhering to the Open Government Partnership is being evaluated. Meetings and videoconferences have already been held to share the benefits of the initiative and to issue recommendations on the subject.
<b>20</b> <b>ACTIONS AIMED AT MOVING TOWARDS FISCAL TRANSPARENCY</b>  SAT	The Minfin and the SAT signed an agreement in October of 2016 to provide each other with assistance and exchange information that is relevant to the fulfillment of institutional objectives. Statistical information was also published with regard to taxes (goals and execution); queries about exempt entities; tax credit fund requests and other general queries. Studies about tax expenditures for 2009-2016 were carried out, as well as studies on VAT non-compliance for 2010-2016. Regarding accountability, work reports fro 2009 to 2016 have been published, and after Decree 37-2016 was passed, the report “The Law for strengthening fiscal transparency and governance of the SAT” was published. Also, the OECD was able to improve the country’s rating in the OECD’s Global Transparency Forum Standard, with support from the Minfin, the Congress of the Republic of Guatemala and the Ministry of Foreign Affairs.
<b>21</b>	During 2016 and 2016, the Ministry of Public Health and Social Assistance identified communities that needed strengthening in the first, second and third levels of health care, in the departments of Alta Verapaz, Chiquimula, San Marcos,



<p><b>ADVANCES IN THE IMPLEMENTATION OF THE FIRST LEVEL HEALTH CARE MODEL</b></p> <p>MSPAS</p>	<p>Huehuetenango, Totonicapán, Sololá, Quetzaltenango, Baja Verapaz, Quiché, Zacapa and Petén, and determined their needs in terms of human resources, furniture, equipment, vehicles, computers and other resources.</p> <p>During 2017, the Ministry of Public Health and Social Assistance has strengthened the First Level of Health care with an investment of Q139,988.000 in Alta Verapaz, Chiquimula, San Marcos, Huehuetenango, Totonicapán, Sololá, Quetzaltenango, Baja Verapaz, Quiché, Zacapa and Petén; hired 4,439 professional nurses, rural health technicians, social workers, environmental sanitation inspectors, information assistants and nursing assistants.</p> <p>These human resources are hired throughout the 154 municipalities that make up the previously mentioned departments, where more than 9,000 communities were actions were taken in community outreach, vaccination coverage and supplementation for children under 5 years of age and women of childbearing age, as well as comprehensive healthcare for families, communities and other activities.</p> <p>A budget of Q95,613,729 was allocated for the provision of furniture, equipment and supplies to 494 health posts in these communities; computer equipment was acquired for registration of MSPAS instruments, medications and surgical medical equipment. Vehicles for distribution of medicines and supplies to health posts were given maintenance.</p> <p>Budgets for the departments of Retalhuleu, Suchitepéquez, Santa Rosa, Sacatepéquez, Jalapa, Jutiapa and Izabal were reorganized to strengthen health services and provide furniture, equipment and supplies.</p> <p>Skills and knowledge were strengthened through workshops for 10,343 MSPAS workers in topics such as Community Outreach and Negotiation, Management Cycles, Comprehensive Attention to Individuals, Population Counts and Community Sketches.</p> <p>The MSPAS will gradually continue to strengthen the three levels of care, by strengthening capacities in health areas and hospitals.</p>
<p><b>22</b></p> <p><b>ACTIONS THAT CONTRIBUTE TO IMPROVING THE QUALITY OF EDUCATION</b></p> <p>MINEDUC</p>	<p>Commitment 22, for which the Ministry of Education is responsible, has full compliance. The following results were reported. For Goal 1, to ensure timely delivery of meals and supplies at the beginning of the school year, to publish progress in the delivery of school supplies to institutions with and without OPF. For the first time since the allocation of funds for the school meal program, disbursements were delivered (Q226.1 million at the end of January, Q200.6 million in May, and A157.7 million in July) to parent organizations (OPF). Q53.2 million were also distributed to schools without parent organizations. Execution up to August 31 of 2017 was: a) School meals: Q662.5 million for 2,337,365 students in 26,978 schools; b) School supplies: 110.5 million to 2,023,970 students in 23,230 schools; c) Educational materials (didactic bag): Q19.3 million for 86,884 teachers in 20,661 schools.</p>



Specific activities: A technical working group was formed with the Mineduc, Minfin, civil society and open government to address the challenges of the allocation and execution of financial resources for meals, school supplies and educational materials. Regarding reduction of school failure in the first grade, 16,031 schools implemented the school registry for first grade, or 99% of the total. This shows that 82,000 students need reinforcement and more than 16,000 students are at risk of abandonment. This information is being followed up on. A technical working roundtable was set up with several directions (see timetable), the school risk registry was included in the school calendar, meetings with parents were highlighted and an automated web platform was developed in the Educational Registration System (SIRE) where school principals can register students and where information can be consulted at a municipal, department and national level. Compliance dates: Both goal 1 and goal 2 were completed in advance. (\*) Source: SICOIN WEB and Resource Delivery System-SDR-MINEDUC

The following achievements can be added to those highlighted by the Open Government Point of Contact:

- Monthly meetings of the Open Government Technical Roundtable for progress and compliance with the National Open Government Action Plan. Exchange of information, initiatives and open government mechanisms between 70 participating entities (public institutions, civil society and observers). The meetings are held in the National Palace of Culture, and constitute a space for participation, collaboration and especially transparency, as they are open since April of 2016 to multi-stakeholder participation. The technical team hopes that civil society organizations interested in the subject, the business sector and the academic sector join, by contacting [ogp.guate@gmail.com](mailto:ogp.guate@gmail.com) or (502) 5931-0062 and indicating that they want to join the Open Government Technical Committee and other work groups.
- Monthly meetings of the Point of Contact with Civil Society Organizations and observers, to closely monitor fulfillment of the 22 commitments and establish a communication channel that will strengthen the Open Government Initiative.
- In addition, 10 public institutions were trained in Open Government: Segeplan, Sesan, Inap, Mineco, Minex, Mingob, Mindef, Senacyt, Conred and the Judicial Branch, for a total of 562 trained authorities, decision makers and other public servants. Two Open Government training workshops were conducted for civil society, in which 71 people were trained. This was achieved with the support of the Institute of Public Administration (Inap) and the USAID Civic Participation Project implemented by Counterpart International. The Civic Participation Project attended the request of the Point of Contact without hesitation, and offered full support for training activities. The new cycle of trainings for public institutions and citizens is currently underway.
- It is important to mention that two certifications in Open Government have been created, open to the participation of both public servants and the general public, with no cost to participants.
- The Open Government website was designed and launched ([www.gobiernoabierto.gob.gt](http://www.gobiernoabierto.gob.gt)) and is regularly updated with information on the initiative and compliance with the 22 commitments.



- Participation in the Open Government Technical Roundtable has remained active and is open to participation of various sectors of society.
- A technical headquarters was established for the Guatemala Open Government Initiative, as part of the President's priority for Open and Effective Public Management in the Ministry of Public Finance.
- Guatemala, as a result of the co-creation and implementation of the Third National Open Government Action Plan 2016-2018, was considered by the Alliance for Open Government (AGA) and the Organization for Economic Cooperation and Development (OECD) as a leader in the implementation of innovative strategies for Open Government in Latin America, and for its tendency to move towards an Open State.
- The technical team is coordinating the OECD Study on Open Government; "An Open State for all Guatemala" will be carried out in Guatemala.
- A series of virtual and digital tools and mechanisms have been implemented to facilitate compliance with the 22 commitments, including the publication of means of verification and the registration of participants in different work groups.
- Lead implementing agencies and responsible parties for the 22 commitments co-created 15 working plans, plans and timelines for each of the 22 commitments, to achieve greater progress in the Action Plan.
- Collaboration and cooperation between parties is one of the achievements observed at this stage of the Open Government Initiative.

## CONSULTATION PROCESS ON MID-TERM SELF-EVALUATION RESULTS FOR THE NATIONAL OPEN GOVERNMENT ACTION PLAN

- a) A citizen consultation was carried out as part of the self-assessment process and following the standards for the Open Government Initiative. The Mid-Term Self-Assessment had to be published for at least two weeks on the website [www.gobiernoabierto.gob.gt](http://www.gobiernoabierto.gob.gt), and in the case of Guatemala it was published more than two weeks, from September 7 to September 29, 2017. Despite the call for opinions on the Self-Assessment Report, only two messages were received about its content and about the results of the evaluation. The comments are included below, as well as a photograph of the space on the website where the report was published.





Name	Email	Sector	Comment	Date and time
<b>Daniel Hernández</b>	danielhernan1414@gmail.com	Citizen	Hello, good day, my name is Daniel and I'm from El Quiché. I'm a computer student and I was looking for web page designs and I found yours and I liked it a lot. I did not know there was an Open Government and much less that it was led by the Presidency, so I downloaded the document and was very impressed by what they have achieved and all these advances (how you managed to get Congress to be with you wooww). Believe me, it is super excellent to do this, but the bad thing is that citizens (like me) do not know about this. It would be good if they published it in newscasts so that citizens can find out what the government is doing, maybe we can support this Open Government and we do not go out to make allegations that do not make sense. I liked the Technology axis and the Citizen Participation axis but nobody here knows that it exists. PUBLISH IT IN THE NEWS. I talked about this in my town and everyone is unaware but interested to know more. I CONGRATULATE YOU FOR DOING THIS FOR GUATEMALA AND FOR THE GOOD OF US CITIZENS.	19/09/2017 9:07 am
<b>Andrea Center</b>	andreicente96@gmail.com	Citizen	Hello, I am pleased to greet you, I am a university student and I want to know what the commitments are to support new generations of students. For example, I cannot find where the University of San Carlos de Guatemala has made commitments; they are totally silent on the topics of administration of public resources. The dean of this university, Carlos Alvarado, has time to join demonstrations but does not say how they are investing the money they get from the State to invest in students. There is no transparency, then. As a citizen, I am interested in learning more about this issue of open government; I am interested in working actively. I hope that you do not erase my comment, and I congratulate the Ministry of Finance for all the work they have done and documented. And to wrap up my comment, I would also like to know, where do the municipalities appear?	29/09/2017 12:45 pm



- b) Another mechanism for consultation and verification of progress was implemented on September 1 during the workshop “Meeting for Analysis and Reflection: Mid-Term Self-Assessment of the PAN GA 2016-2018”. All entities and parties directly responsible for the commitments were invited, as well as civil society organizations and observers and the Independent Review Mechanism. The following questions were posed to participants during the workshop (below are the answers):

### QUESTIONS:

- 1) How would you evaluate the level of compliance with the commitments of work theme/axis \_\_\_\_\_ in the first phase of implementation of the National Open Government Action Plan 2016-2018?
  - Poor
  - Intermediate (substantial)
  - Satisfactory
  - Other option:  
Why? \_\_\_\_\_
- 2) What institutional actions do you think could be undertaken or implemented before June 30, 2018 to completely fulfill the commitments?
- 3) What conclusions would you highlight in compliance with commitments?
- 4) What do you consider to be the lessons learned in this process of co-creation and implementation of the National Open Government Action Plan?

Observations:

### ANSWERS TO THE 4 QUESTIONS RAISED

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#### Axis 1

#### ACCESS TO PUBLIC INFORMATION AND INSTITUTIONAL ARCHIVES

**Answer  
Question 1**

SUBSTANTIAL; Because there are pending goals to complete.





**Answer**  
**Question 2** 1. Follow-up and consensus meetings.  
2. Stick to established work plans.

**Answer**  
**Question 3** 1. Political will and the institutionalization of Open Government.  
2. Ease of compliance with development of activities.

**Answer**  
**Question 4** 1. Citizen participation.  
2. Institutional support.  
3. Close relations with cooperation and donors.

**Observations** In the co-creation of the Open Government Action Plan, there is greater objectivity and a greater will to fulfill the commitments (to set aside budgets and integrate commitments by themes).

**Organizations that answered the questions** Segeplan, Public Prosecutor's Office, Ministry of Governance, Inap, Ministry of Communications, Ministry of Education, Counterpart International

## Axis 2

## TECHNOLOGICAL INNOVATION

**Answer**  
**Question 1** SUBSTANTIAL; We are in the development and implementation stage, adopting a methodology and that improves compliance by 50%. Commitment 10 needs large investments to reach the objective; this is being worked on.

**Answer**  
**Question 2** 1. Monitor and follow up on previously planned activities.  
2. Support from the Point of Contact has made interinstitutional agreements possible that make reaching goals more possible.  
3. Try not to duplicate efforts, integrate other sectors that are working towards the same goals.

**Answer**  
**Question 3** The Open Government program must be institutionalized so that good ideas do not stay as ideas, but effectively respond to national needs. It is important to create public policies and legal initiatives that promote continuity.



**Answer Question 4** Commitments must be classified by the ease or difficulty with which they can be fulfilled. Budget allocation plays a leading role, as does citizen participation. The issue of budget allocation may affect compliance with commitments related to technological innovation that require heavy investments.

**Observations** Participation

**Organizations that answered the questions**

SIT, Senacyt, Mingob, Inap

### Axis 3

### CITIZEN PARTICIPATION

**Answer Question 1** SATISFACTORY; there has been progress as planned, and institutions are committed to complying with commitments.

**Answer Question 2**

1. Implement mechanisms for feedback from citizens.
2. Stick to the established work schedule.
3. Find resources that allow the development of activities to reach the optimum level of compliance.

**Answer Question 3**

1. For the most part, deadlines have been met.
2. Strengthen knowledge on the subject to achieve the necessary commitment for implementation of citizen participation mechanisms.

**Answer Question 4**

1. Design and launch a campaign to raise awareness among citizens.
2. The plan must help to institutionalize Open Government principles in public entities.

**Observations**



**Organizations that answered the questions**

Presidential Secretariat for Social Communication, Guatecívica, Congress of the Republic, Ministry of Economy, INFOM

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## **Axis 4**

## **ACCOUNTABILITY**

**Answer Question 1**

SATISFACTORY; the schedule of activities has been complied with in a timely manner.

**Answer Question 2**

1. The Comptroller General's Office must complete the Accountability Manual for local governments.
2. Tools implemented for accountability must be standardized.
3. Conred must upload information in a timely manner, according to schedule.
4. Roundtable mechanisms must be improved.

**Answer Question 3**

1. Participatory work legitimizes processes.
2. Implementation is easier when participating actors are empowered.
3. Greater socialization means greater acceptance of institutional work.

**Answer Question 4**

1. Commitments assumed must be included in the framework, independently of what is expected in roundtables.

**Observations**

The Comptroller General's Office is late in fulfilling one goal. There was a mistake in the system, which led to a missed deadline in the beginning of Goal 3, which cannot start until Goal 2 is met.

**Organizations that answered the questions**

Comptroller General's Office and CONRED

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## **Axis 5**

## **FISCAL TRANSPARENCY**



**Answer  
Question 1**

SUBSTANTIAL; There are 7 commitments that include goals and sub-goals and with 3 participating government entities. These commitments have a high level of complexity, 23% are initiated and 77% have substantial compliance.

**Answer  
Question 2**

Based on their self-assessment, they continue interinstitutional coordination and seek to adjust their actions to their work schedules.

**Answer  
Question 3**

Institutions have assumed responsibility for fulfillment of Open Government goals and commitments, such as the Open Budget 2017-2018, support programs, school risk registry, detailed health investment planning, and additional support from three state organisms.

**Answer  
Question 4**

The Open Government principles of transparency, collaboration and participation are being applied.

**Observations**

**Organizations**

**that**

Ministry of Public Finances, Ministry of Education, Ministry of Public Health and Social Assistance, Judiciary, AGIP

**answered the  
questions**

## 5) PROGRESS OF ELIGIBILITY CRITERIA (OPTIONAL)

Governments can briefly explain any activity to improve their qualification in OGP eligibility criteria.

Guatemala joined the Open Government Partnership (OGP) in 2011, through compliance with the minimum eligibility criteria. However, through the process for co-creation and implementation of the National Open Government Action Plan 2016-2018 Guatemala has made great progress in these criteria. Transparency, participation and collaboration in the process resulted in an Agenda for Transparency, Accountability, Anticorruption and Development based on the following work themes:

- 1) Access to Public Information and Institutional Archives
- 2) Technological Innovation
- 3) Citizen Participation
- 4) Accountability
- 5) Fiscal Transparency



Results are evident and verifiable. Progress and achievements have been included in the Mid-Term Self-Assessment Report for the 22 commitments of the National Open Government Action Plan 2016-2018, institutions have been strengthened, there is evidence of compliance with public policies and application of international standards in a technical and institutional manner, and there is evidence of great strides towards transparency and development for Guatemala. Likewise, it is evident that participating public institutions have shown a will to be directly responsible for fulfilling the commitments, including the autonomous and decentralized public institutions that have joined the process in a collaborative and participatory manner.

## **6) KNOWLEDGE SHARING AND LEARNING**

During the process of implementation and co-creation of the Open Government Action Plan, the Point of Contact and various civil society organizations had the opportunity to participate in the Regional Summit of the Americas, held in May of 2017 in Montevideo, Uruguay, as well as the Open Government Partnership Global Summit held in December of 2016 in Paris, France.

The Point of Contact also collaborated with the Point of Contact from Panama, sharing aspects of the methodology for co-creation of the National Open Government Action Plan 2016-2018, the structure of fiscal transparency commitments and co-creation processes for public expenditure observatories.

We also collaborated with the Government of Costa Rica, sharing information on how the Open Government Certification was implemented in Guatemala.

Communication was maintained with the Open Government partnership in Honduras, so that they could share with Guatemala their experience with Open Budgets.

Guatemala, El Salvador and Honduras (through their points of contact, public entities, civil society and donors), had the opportunity to participate in three meetings Northern Triangle Open Government during 2016 and 2017.

## **7) CONCLUSIONS, OTHER INITIATIVES AND NEXT STEPS:**

### **A) LESSONS LEARNED**

In the process of implementation of Open Government commitments, the technical team has realized that it is necessary to analyze budgets and timelines before assuming a



commitment, as well as the technological and logistical limitations for implementation. It is also important to establish prior communication with donors to ensure that they have access to resources that can support fulfillment of commitments during implementation.

It is also necessary to co-create commitments or goals (milestones) that respond to specific and prioritized needs and facts.

In the case of Guatemala, co-creation of the methodology has been a positive experience. However, for co-creation of the next plan a budget must be made beforehand to ensure that resources are available for developing the different activities contemplated in the methodology.

It is also important to teach representatives of public entities, civil society organizations, the private sector, the academic sector, aid workers, observers, citizens and journalists to draft Open Government commitments, because the greatest difficulty can be found in designing them before implementation.

The team has found strengths and opportunities in the execution of the Open Government Action Plan, such as the increase in citizen participation for the improvement of public services and management.

**B) OTHER INITIATIVES (optional)**

As was described earlier in this report, other initiatives have been implemented such as the training programs on Access to Public Information and Open Government with the National Institute of Public Administration (Inap), the Carter Center, the Point of Contact, the Human Rights Ombudsman, the Ministry of Public Finance, Ministry of the Interior and civil society organizations such as Guatecívica. To date, there is a group of trainers specialize in Open Government issues who donate their services to collaborative and participatory processes.

There are also some civil society organizations that support technological developments and are focused on supporting fulfillment of Open Government commitments, such as Red Ciudadana. This organization collaborated with the Ministry of Public Finance in the design and administration of a specific website for the Open Budget.

Also, in June of 2017 the Central American Institute for Fiscal Studies presented an independent evaluation on the 7 commitments for Fiscal Transparency included in the National Open Government Action Plan. Results reflected substantial advances and institutional commitment; however, the evaluation indicated that it was necessary to focus efforts on greater results and timely compliance.

**C) NEXT STEPS**



One of the next steps identified as a priority is the need to publicly share the advances made during implementation of the National Open Government Action Plan by institutions and civil society organizations. It is also important to show the general public how important it is to implement Open Government methodologies for a variety of issues that can generate both short and medium-term results that can improve public management.

It is important to strengthen actions for compliance of commitments and goals included in the plan, especially those with substantial and initiated advancement. This will allow the team to identify lessons learned and challenges for design and implementation of the Fourth National Open Government Action Plan 2018-2020, under an Open State approach and meeting the needs for transparency and institutional accountability, sustainable development objectives, and mainly citizen opinions on topics that must be a priority in the next plan.

The Open Government initiative must continue to be strengthened, by promoting its institutionalization and the use of mechanisms that promote greater transparency and accountability.

The plan is, in itself an agenda of transversal commitments, it will be necessary to evaluate which of these commitments could require a second phase of implementation in the next Open Government Action Plan.

#### **D) CONCLUSIONS**

- a) The National Open Government Action Plan 2016-2018 is a nationwide effort with various participating sectors that have prioritized needs in five areas: 1) Access to Information and Institutional Archives; 2) Technological Innovation; 3) Citizen Participation; 4) Accountability; and 5) Fiscal Transparency, with the support or high-level government authorities and technical institutions for fulfillment of the 22 commitments established in the plan.
- b) During the first phase of implementation of the National Open Government Action Plan (August 15, 2016 to date) there is a marked improvement in openness, transparency, accountability, collaboration and participation of all the public entities directly responsible for commitments, and organizations have participated in meetings and activities of the 15 working groups and advanced in fulfillment of goals and commitments in the plan, together with members of civil society organizations and observers.
- c) The Open Government Technical Roundtable for Guatemala is positioned as a multi-actor forum and a space for participation of State entities, with delegates and representatives of the three State Organisms, autonomous and



decentralized public entities, civil society organizations and observers. This important idea of multi-stakeholder participation was resumed as of April 2016, evidencing the importance of teamwork in achieving greater results. To date, the Open Government Technical Roundtable is made up of 70 entities: 44 public entities, among them three Organisms, autonomous and decentralized entities; 20 civil society organizations; and 6 observers. However, it is necessary to include more civil society organizations, more representatives of academia and the private sector, in actions towards transparency, accountability and development for the country. This is why they have been repeatedly invited to proactively join the Open Government Initiative. Six civil society organizations sent a note to the Point of Contact indicating that, due to the political situations that had occurred during the last month in Guatemala, they had to temporarily bow out of Open Government activities. They were told that the initiative would always be open to their participation.

- d) It is important to point out that the initiative has had the technical and financial support of the USAID Civic Participation Project, implemented by Counterpart International, for fulfillment of the 22 commitments in the National Open Government Action Plan 2016-2018, as well as the technical support of OEA Guatemala. This support allowed for greater compliance with specific issues and commitments, such as the Open Data Policy. Also, the Open Government Technical Roundtable has been able to meet on a monthly basis thanks to the financial support of the USAID Civic Participation Project implemented by Counterpart International. Donor funding has been very beneficial to the Open Government initiative, for compliance with commitments and for the work carried out by the Open Government Point of Contact.
- e) Progress and compliance with the goals and commitments of the Plan show a higher level of compliance than the previous two Action Plans, in terms of verifying statistics and compliance indicators and in the means of verification in self-evaluation templates. Also, the Open Government website [www.gobiernoabierto.gob.gt](http://www.gobiernoabierto.gob.gt) was launched and technological tools were implemented that allow for instant status updates and means of verification for the 22 commitments to be published on institutional websites and on the [www.gobiernoabierto.gob.gt](http://www.gobiernoabierto.gob.gt) website, in public view and editable open data formats.





- f) Public institutions directly responsible for the commitments in the Open Government emphasize and reiterate our firm commitment with the process of compliance with the National Open Government Action Plan, based on the principles of transparency, participation and multi-actor collaboration that characterize the initiative. It is a country Agenda and the government is committed to transparency, accountability and development for Guatemala.
- g) During the process of co-creating the National Open Government Action Plan 2016-2018, the will of participating entities has been fundamental. Public entities, civil society organizations, donors and observers have guaranteed major progress in fulfilling commitments in the plan.
- h) The work groups that drive fulfillment of the 22 commitments have also been fundamental. Their work has allowed for a closer look into the processes and procedures that must be followed to fulfill each commitment.
- i) The greatest challenge for the Open Government Initiative in Guatemala is to continue fulfillment of commitments in the National Open Government Action Plan 2016-2018. Also, the technical, institutional and financial strengthening must continue to guarantee sustainability over time, and to move towards an open government culture among all Guatemalans.

