

# Open Government Partnership Country Capacity Support (P171667) and Additional Financing

## Updated ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

[December 2023]

## ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Open Government Partnership Secretariat (the Recipient or OGP Secretariat) is implementing the Open Government Partnership Country Capacity Support Project (the Project) as set out in the Grant Agreement. The International Bank for Reconstruction and Development (the World Bank), acting as the administrator of the OGP Multi-Donor Trust Fund, has agreed to provide the original financing (P171667) and additional financing for the Project, as set out in the referred agreement(s). This ESCP supersedes previous versions of the ESCP for the Project and shall apply both to the original and the additional financing for Project referred to above.
2. The Recipient shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the World Bank. The ESCP is a part of the Grant Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreement(s).
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Recipient shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, and grievance management.
4. As agreed by the World Bank and the Recipient, this ESCP will be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Recipient and the World Bank agree to update the ESCP to reflect these changes through an exchange of letters signed between the World Bank and the Recipient, represented by Munyema Hasan, Deputy Director – Learning and Innovation for the Open Government Partnership Secretariat. The Recipient shall promptly disclose the updated ESCP.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
<b>MONITORING AND REPORTING</b>			
A	<p><b>REGULAR REPORTING</b></p> <p>Prepare and submit to the World Bank, as part of the overall project progress reports, regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP stakeholder engagement activities, and functioning of the grievance mechanism.</p>	<p>Submit bi-annual reports to the World Bank throughout Project implementation. Submit each report to the World Bank no later than 30 days after the end of each reporting period.</p>	<p><i>Open Government Partnership Secretariat</i></p>
B	<p><b>INCIDENTS AND ACCIDENTS</b></p> <p>Promptly notify the World Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and accidents that result in death, serious or multiple injury. Provide sufficient detail regarding the scope, severity, and possible causes of the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and/or supervising firm, as appropriate.</p> <p>Subsequently, at the World Bank’s request, prepare a report on the incident or accident and propose any measures to address it and prevent its recurrence.</p>	<p>Notify the World Bank no later than 48 hours after learning of the incident or accident.</p> <p>Provide subsequent report to the World Bank within a timeframe acceptable to the World Bank.</p>	<p><i>Open Government Partnership Secretariat</i></p>
C	<p><b>CONTRACTORS’ QUARTERLY REPORTS</b></p> <p>Require contractors and supervising firms to provide quarterly monitoring reports on ESHS performance in accordance with the metrics specified in the respective terms of reference and contracts, and submit such reports to the World Bank.</p>	<p>Submit quarterly reports to the World Bank as annexes to the reports to be submitted under action A above.</p>	<p><i>Open Government Partnership Secretariat</i></p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
<b>ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS</b>			
1.1	<p><b>ORGANIZATIONAL STRUCTURE</b></p> <p>This is low risk project. There shall be no separate hiring of staff specifically for the Project for E&amp; S risks, but responsibilities to address E&amp;S risks and monitoring will be assigned to Open Government Partnership Secretariat staff with sufficient training and qualification. Responsible staff will be identified in the Project Operations Manual.</p>	Implementation arrangements, including staff assignment with E&S responsibilities will be put in place within 30 days of the respective Grant Agreement effectiveness, and be maintained throughout Project implementation. Responsible staff will be identified in the Project Operations Manual within 30 days of the additional financing.	<i>Open Government Partnership Secretariat</i>
1.2	<p><b>MANAGEMENT OF CONSULTANTS</b></p> <p>Incorporate the relevant aspects of the ESCP, including, inter alia, the Labor Management Procedures, and code of conduct, into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms. Thereafter ensure that the contractors comply and cause subcontractors to comply with the ESHS specifications of their respective contracts.</p>	As part of the preparation of procurement documents, including terms of reference, and respective contracts. Supervise contractors throughout Project implementation.	<i>Open Government Partnership Secretariat</i>
1.3	<p><b>TECHNICAL ASSISTANCE</b></p> <p>Ensure that the consultancies, studies, capacity building, training, and any other technical assistance activities under the Project are carried out in accordance with terms of reference acceptable to the World Bank, that are consistent with the ESSs. Thereafter ensure that the outputs of such activities comply with the terms of reference.</p>	Throughout Project implementation.	<i>Open Government Partnership Secretariat</i>
<b>ESS 2: LABOR AND WORKING CONDITIONS</b>			
2.1	<p><b>LABOR MANAGEMENT PROCEDURES</b></p> <p>The project will implement TA activities in accordance with ESS2 and with the labor-related policies and procedures of beneficiary countries. Relevant labor management policies and procedures will be incorporated into the Project Operations Manual.</p> <p>One member of the Secretariat project management team will be assigned to take up the responsibility for managing labor-related issues, such as sexual exploitation and abuse/sexual harassment (SEA/SH) and discrimination, to ensure compliance with their governing policies and procedures.</p>	Incorporate relevant labor management policies and procedures into the Project Operations Manual no later than 30 days of grant effectiveness for the additional financing, and thereafter implement the policies and procedures throughout Project implementation.	<i>Open Government Partnership Secretariat</i>

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	<p>For SEA and SH risks, the project will:</p> <ul style="list-style-type: none"> <li>i) hold training to raise awareness among its staff on the risks and the policy requirements;</li> <li>ii) maintain an accountability and response framework to address any incidents of SEA/SH; and</li> <li>iii) address any incidents of SEA/SH through its assigned staff.</li> </ul> <p>For consultant firms to be engaged for the in-country consultation workshops and for the additional financing activities, the Secretariat will ensure that:</p> <ul style="list-style-type: none"> <li>a) the relevant requirements in compliance with ESS 2, including SEA/SH risk mitigation, will be included in their consultancy contracts;</li> <li>b) contract workers will agree to comply with a code of conduct that prohibits SEA/SH prior to carrying out the activities they are engaged to perform; and</li> <li>c) specific mitigation actions are included in their contractors’ assignment implementation action plans.</li> </ul>	Throughout project implementation, OGP will ensure that Contractors have a signed code of conduct in place for contract workers before the respective workers carry out activities they are engaged to perform.	
2.2	<p><b>GRIEVANCE MECHANISM FOR PROJECT WORKERS</b></p> <p>Ensure that workers have access to, and information about, the project grievance mechanism described under ESS10 below. Ensure that worker grievances are handled in a manner consistent with ESS2, with procedures set out in the Project Operations Mechanism.</p>	Established within 30 days of grant effectiveness and maintained throughout project implementation.	<i>Open Government Partnership Secretariat</i>
<b>ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b>			
3.1	<p><b>RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b></p> <p>Ensure that technical assistance/capacity building in water and sanitation delivered under the Project is consistent with the requirements of ESS 3 related to resource efficiency and pollution prevention and management.</p>	Throughout Project Implementation	<i>Open Government Partnership Secretariat</i>
<b>ESS 4: COMMUNITY HEALTH AND SAFETY</b>			
4.1	<p><b>COMMUNITY HEALTH AND SAFETY</b></p>	Throughout Project Implementation	<i>Open Government Partnership Secretariat</i>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	Ensure that technical assistance delivered under the Project is consistent with the requirements of ESS 4 related to community health and safety.		
<b>ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT</b> [the relevance of ESS5 is established during the ESA process. If resettlement instruments need to be prepared (e.g. resettlement process frameworks, resettlement action plans, process frameworks) this should be reflected in the ESCP. See <u>examples below</u> ]			
	N/A	N/A	N/A
<b>ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES</b>			
	N/A	N/A	N/A
<b>ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES</b>			
7.1	<p><b>TECHNICAL ASSISTANCE AND ACTIVITIES CONSISTENT WITH ESS 7</b></p> <p>The project will ensure that: all project activities are screened to determine if they will involve Indigenous Peoples/Sub-Saharan African Historically Underserved Traditional Local Communities. If so, the project will propose measures in the activity design to engage these groups in a manner consistent with the requirements of ESS7 and will incorporate these measures into the Project Operations Manual.</p>	Throughout Project Implementation	<i>Open Government Partnership Secretariat</i>
<b>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b>			
10.1	<p><b>STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b></p> <p>The project will be implemented in a participatory fashion designed to promote and enhance the quality of multi-stakeholder dialogue between relevant government and civil society actors.</p> <p>The project will ensure disclosure of accessible information for stakeholders in the design of specific project activities.</p> <p>Consultations will follow the requirements of ESS10, namely:</p> <ol style="list-style-type: none"> <li>1. OGP Secretariat will engage with stakeholders throughout the project life cycle, commencing such engagement as early as possible in the project development process and in a timeframe that enables meaningful consultations with stakeholders on project design. The nature, scope and frequency of stakeholder engagement will be proportionate to the nature and scale of the project and its potential risks and impacts.</li> </ol>	<p>Issue initial information disclosure regarding the additional financing activities on the Open Government Partnership website no later than 60 days after project effectiveness.</p> <p>Implement stakeholder engagement and information disclosure activities on an ongoing basis throughout project implementation.</p>	<i>Open Government Partnership Secretariat</i>

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<ol style="list-style-type: none"> <li>2. OGP Secretariat will engage in meaningful consultations with all stakeholders. The OGP Secretariat will provide stakeholders with timely, relevant, understandable and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation.</li> <li>3. The process of stakeholder engagement will involve the following, as set out in further detail in this ESS: (i) stakeholder identification and analysis, particularly disadvantaged and vulnerable groups; (ii) planning how the engagement with stakeholders will take place; (iii) disclosure of information; (iv) consultation with stakeholders; (v) addressing and responding to grievances; and (vi) reporting to stakeholders.</li> <li>4. The OGP Secretariat will maintain, and disclose a documented record of stakeholder engagement, including a description of the stakeholders consulted, a summary of the feedback received and a brief explanation of how the feedback was taken into account, or the reasons why it was not.</li> <li>5. The OGP Secretariat will ensure that the consultant firms or civil society organizations selected for the in-country consultation workshops or for the implementation of additional financing activities in Sekondi Takoradi Metropolitan Area will follow the above and other requirements of ESS10 in conducting their assignments in country. These requirements will be included in their assignment contracts and specific actions will be developed and included in their assignment implementation plan.</li> </ol>		
<p>10.2 <b>PROJECT GRIEVANCE MECHANISM</b></p> <p>Establish, publicize, maintain, and operate an accessible grievance mechanism, to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10.</p>	<p>Establish the grievance mechanism no later than 30 days of grant effectiveness, and thereafter maintain and operate the mechanism throughout Project implementation.</p>	<p><i>Open Government Partnership Secretariat</i></p>

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
<p>The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner. In relation to the additional financing activities, the project will conduct a mapping exercise to identify appropriate gender-based violence service providers that are accessible to survivors in the project area for referrals before sharing information about the project grievance mechanism.</p> <ul style="list-style-type: none"> <li>• One member of the project management team will be assigned the responsibility to manage grievance resolution under the project.</li> <li>• Information about the project grievance mechanism will be shared with project workers, contractors, and stakeholders, including methods to access the mechanism.</li> <li>• All grievances will be registered and the project will keep a grievance log for their record.</li> <li>• All grievances will be deliberated and addressed in a transparent and timely fashion. The complainant will be informed of the resolution response.</li> </ul>	<p>In relation to the additional financing activities, a gender-based violence service provider mapping for Ghana will be completed by the OGP Secretariat prior to sharing information about the project grievance mechanism and no later than 60 days after effectiveness of the additional financing.</p>	