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***GUATEMALA  
MID-TERM SELF-ASSESSMENT REPORT OF THE SECOND NATIONAL ACTION PLAN FOR OPEN GOVERNMENT GUATEMALA 2014- 2016***



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# BACKGROUND AND INTRODUCTION

The Open Government Partnership has helped the Government of Guatemala to create citizen participation spaces for different sectors of Guatemalan society. Guatemala's contact point is the Presidential Commission on Transparency and e-government -COPRET-, who together with the 14 Ministries of State are actively involved in achieving the full implementation of the 48 commitments of the Second National Action Plan for Open Government 2014-2016; accompanied by Civil Society, exercising social audits on the actions and progress that are presented in the monthly Open Government Technical Committee and which are also published in the subdomain of Open Government Guatemala.

<http://gobiernoabierto.transparencia.gob.gt/>.

The Government of Guatemala and Civil Society represented by the Central American Institute for Fiscal Studies ICEFI, Guatecívica and Congreso Transparente joined efforts in order to build, implement, and follow up compliance of the commitments established in the National Action Plan for Open Government 2014-2016, taken up before the Open Government Partnership OGP.

Currently, Guatemala is in the implementation phase of the National Action Plan 2014-2016, which is made up of 48 commitments undertaken by the Government, 22 of which were proposed by the Government and 26 by Civil Society, based on 5 work axes established by the Open Government Partnership, which are described below:

* **Increase Public Resources*:*** In this work axis, the objective is to establish the availability of information about government activities or public management, in this sense, commitments were established for online services for the citizens, standardization of information in websites, implementation of an open budget, information for the citizens on the results achieved by the Government in different areas, increasing and improving the use of public resources.

* **Increase Public Integrity and Accountability:** It was deemed necessary to improve the standards of professional integrity, in such a way that commitments designed to implement operations between public institutions, reveal the progress of loans and donations, and the compliance in the implementation of transparency initiatives in the mining and construction sectors (EITI and CoST) were established, training public officials on transparency issues and designing and implementing manuals, guidelines and technical provisions for accountability.
* **Improvement of Public Services:** As a transparency and improvement mechanism in the use of public services, the access to new technologies for data opening and accountability was emphasized. Some of the commitments based on this axis are: The creation of a National Catalog of Public Services for the benefit of the citizens and to streamline value processes in each Ministry of State through the incorporation of technology, promote technological talent through workshops with the academic and private sector, develop applications with geospatial data or techniques for open data for the benefit of the citizens and the government, create community maps with the municipalities prioritized, institutionalize the first level of health care, create working means to be able to open the information published in public institutions’ websites through open data.
* **Transparency and Citizen Participation:** By establishing the importance of citizen involvement and participation in public service decision-making, some commitments that are directly focused on the implementation of the School of Transparency, where different courses of transparency are promoted and developed to benefit the general population for the empowerment of citizens and public officials on the Government’s work were included, having managed to raise awareness among more than 4,000 citizens on transparency, in addition Technical Committees that were institutionalized in the Civil, Academic, Business Sector and Open Government with the aim of strengthening participation and generating consented proposals; besides the levels of transparency and access to information were improved, by having technical committees and monitoring public information officially published by the institutions of the Executive Body.
* **Natural Resources Governance:** The Government of Guatemala with the purpose of laying the foundations for a sustainable development process, implemented the axis for Natural Resources Governance in the National Action Plan, whose main objective is to make the whole scope of natural resources management transparent, therefore two directly focused commitments on this topic have been included.

The first self-assessment of the Open Government Action Plan 2014-2016, was conducted based on the guidelines and specifications of the Open Government Partnership -OGP-, whose objective, is to inform and disclose the progress that the Government of Guatemala has had in the compliance with the 48 commitments contained in the National Action Plan 2014-2016; it is very important to mention that from June 2014, to date, it has benefited with the presence and support of the civil organizations mentioned above, showing great interest and exercising social audit for the fulfilment of these commitments, on the basis of the three fundamental principles of the Open Government Alliance; Transparency, Participation and Collaboration.

In this whole process of implementation of the National Action Plan, the Open Government Guatemala subdomain was created (link: <http://gobiernoabierto.transparencia.gob.gt/> ) in the Transparency website, information on the calendar of meetings, agendas and memory aids, as well as a description of the 48 commitments, mechanisms and means of verification of the Technical Committee of Open Government was placed, backed with links where you can find detailed information, it also shows the level of progress in the implementation of each commitment.

It should be noted that in January 2015, on instructions from the Offices of the Vice-President and the President of the Republic, the 14 Ministries of State were included, so that they can address the compliance of the 48 commitments and that the actions focused on Open Government are transversal in all the institutions of the Executive Body.

# CREATION PROCESS OF THE SECOND NATIONAL ACTION PLAN

The National Action Plan for Open Government 2014-2016 was sent to the Open Government Partnership on June 30, 2014, as a result of a process of social participation where public officials and representatives of civil society were involved.

A public call was made in newspaper Prensa Libre, on May 21, 2014, through which citizens and social organizations were openly invited to participate in the development of the Second Action Plan for Open Government 2014-2016, also indicating that the proposal of the above-mentioned plan was published in COPRET’s web page [www.transparencia.gob.gt](http://www.transparencia.gob.gt/), and that any proposal, comment or suggestion on the matter could be submitted for consideration in the creation of this Plan.

As a mechanism for the creation of the above-mentioned Plan, there were four work tables, where the proposals from civil society to be included in the Plan, became known, so they were given the template provided by the Open Government Partnership OGP, so that each commitment proposed by civil society would comply with the requirements established by the Partnership. As described in paragraph 1, the Open Government Technical Committee, which is carried out once a month was institutionalized including all the actors involved; in addition there are technical meetings on a monthly basis with civil society organization representatives that accompany the plan implementation process, with the goal of establishing the agenda for the meeting of the Open Government Technical Committee and determine what are the commitments that have problems with compliance, as well as assess the level of compliance of each commitment.

The implementation of the National Action Plan for Open Government 2014-2016, is based on the methodology of tracking and assessment that includes development of work schedules, based on the mechanisms and means of verification agreed upon and approved for each of the commitments; which allows identifying compliance percentages and indicators.

In the same way, and with the aim of exchanging knowledge and good practices implemented in other countries, COPRET participated in the regional event of Open Government held in Costa Rica on November 17 and 18, 2014, mainly participating mainly in the meeting of contacts and the Forum of Fiscal Transparency.

CITIZEN CONSULTATION RESULTS: (After publishing and receiving comments in the web page for two weeks; this section will be completed).

# RECOMMENDATIONS OF THE INDEPENDENT REVIEW MECHANISM -IRM

The report of the Independent Review Mechanism, IRM (for its initials in Spanish) on the First Action Plan of Open Government of Guatemala was an excellent source of information about the pending actions to perform, implement or strengthen in the First Action Plan for Open Government and that would be of great help for the compliance of the Second Action Plan for Open Government 2014-2016.

Based on the recommendations of the Independent Review Mechanism, the values and principles of the OGP, which were not included in the first Plan, were included in the Second Action Plan.

Among the recommendations, new mechanisms and actions were implemented for the improvement of the Open Government Plan, which include the following:

* Invitation and inclusion of Civil Society to the development process of the Action Plan.
* Inclusion of compliance mechanisms for each commitment as compliance indicators.
* Direct commitments pertaining to the Ministry of Public Finance were established, related to Fiscal Transparency and implementation of the Open Budget, as well as to other entities, such as: the Tax Administration Office-SAT- (for its initials in Spanish), Secretary of Planning and Programming of the Presidency -SEGEPLAN-(for its initials in Spanish), National Statistics Institute -INE- (for its initials in Spanish) and the National Coordinator for Disaster Reduction -CONRED-(for its initials in Spanish).
* Commitments that have as their main objective the standardization of the public administration websites were included, to submit information separately and in different formats.
* The Presidential Commission on Transparency and e-Government -COPRET- institutionalized the Open Government Technical Committee, where the progress of the commitments contained in the Action Plan for Open Government 2014-2016 are known and discussed on a monthly basis. These meetings are attended by different institutions directly responsible for each commitment for accountability on which actions have been undertaken and the level of compliance of the referred commitments.
* Technical meetings with representatives of Civil Society are carried out to assess the levels of progress of the institutions and determine according to the requirements, which are the entities that should expose the level of progress of each commitment in the Open Government Technical Committee.
* The investigator of the Independent Review Mechanism -IRM- in Guatemala gives support to the technical meetings with civil society and in the Open Government Technical Committee, responding to the suggestions and comments that come from such a mechanism.
* Monthly and biweekly meetings are scheduled in COPRET with the investigator of the Independent Review Mechanism, with the aim of analyzing the level of progress and compliance of each mechanism; in addition he gets all the reports of each and every one of the commitments of the Action Plan for Open Government 2014-2016, on a weekly basis.
* The open data standardization that must meet each of the Executive Body's institutions requirements was included in this Action Plan.

# IMPLEMENTATION OF THE COMMITMENTS OF THE NATIONAL ACTION PLAN

The 48 commitments contained in the Plan were created based on two sources: Government and Civil Society, representing a great challenge for the Government of Guatemala, taking into consideration that for the first time the two sectors will agree in driving compliance with the National Action Plan for Open Government 2014-2016.

As indicated earlier, a Technical Committee was created with the aim to comply with the 48 commitments, which on a monthly basis sends official summons with their corresponding agendas, to the entities responsible for each commitment and to the civil society organizations; and as of January 2015, the 14 Ministries of State were invited to join the technical committee.

To date, 13 Open Government Technical Committees have been held during the process of elaboration and implementation of the Action Plan for Open Government 2014-2016, which are detailed below:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Technical Committee | Responsible Institution | Place | Date |
| 1 | Open Government | COPRET | COPRET’s Headquarters | May 12, 2014 |
| 2 | Open Government | COPRET | COPRET’s Headquarters | May 22, 2014 |
| 3 | Open Government | COPRET | COPRET’s Headquarters | May 29, 2014 |
| 4 | Open Government | COPRET | COPRET’s Headquarters | June 30, 2014 |
| 5 | Open Government | COPRET | COPRET’s Headquarters | July 28, 2014 |
| 6 | Open Government | COPRET | COPRET’s Headquarters | September 25, 2014 |
| 7 | Open Government | COPRET | COPRET’s Headquarters | October 29, 2014 |
| 8 | Open Government | COPRET | COPRET’s Headquarters | November 26, 2014 |
| 9 | Open Government | COPRET | COPRET’s Headquarters | December 17, 2014 |
| 10 | Open Government | COPRET | COPRET’s Headquarters | January 24, 2015 |
| 11 | Open Government | COPRET | National Palace of Culture | March 24, 2015 |
| 12 | Open Government | COPRET | COPRET’s Headquarters | April 28, 2015 |
| 13 | Open Government | COPRET | COPRET’s Headquarters | August 17, 2015 |

After the completion of each technical committee, a memory aid is developed, which contains the points discussed in detail and what were the agreements or suggestions that came up at the meeting; following their approval, it is published on the open government website so that all citizens are aware of the progress and compliance of the National Action Plan for Open Government 2014-2016.

COPRET has a rigorous control, not only through the Open Government website <http://gobiernoabierto.transparencia.gob.gt/>, but checking the level of compliance with each commitment on a daily and weekly basis; likewise the institutions directly responsible of commitments send, weekly a detail of the progress in compliance and the actions carried out, so that this information can be published on the open government website.

# COMMITMENTS PROPOSED BY THE GOVERNMENT

## RESULTS ACHIEVED FOR EACH OF THE 48 COMMITMENTS:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| 1. **Effectiveness of the information found on the website of the Executive Body's institutions** | | | | |
| **Secretariat/Ministry**  **Responsible** | Presidential Commission on Transparency and Electronic Government | | | |
| **Name of the person responsible** | Joel Peñate | | | |
| **Position** | Electronic Government Director | | | |
| **Email** | [jgiron@transparencia.gob.gt](mailto:jgiron@transparencia.gob.gt) | | | |
| **Telephone** | 23183400 | | | |
| **Main Objective** | Regulate specific processes to disclose the range of public services provided by institutions to the population. Compliance with Decree 114-97 of the Congress of the Republic (Law of the Executive Body). Compliance with Decree 57-2008 (Law on Free Access to Public Information). | | | |
| **Description of the**  **Commitment** | Drafting and approval of a technical manual on publication standards for institutional websites, public services online and open data. | | | |
| **Relevance** | The manual creates the requirements and minimum standards for the publication of institutional information. The manual generates a Government brand (standardization) and obliges institutions to bring public services to the citizens through websites; allows clear identification of the institution, authorities, services offered, working hours, open data publication, publication of transparency sites, help for citizen participation, help for technological innovation by promoting the use of responsive technologies aimed at mobile devices, and accountability by having relevant information at sight. | | | |
| **Ambition** | It is expected that the impact caused by adopting the Manual will allow a culture of greater participation for the creation of institutional websites, publication of on-line public services, open data publication, content regulations, request for official information, citizen qualification, technological innovation, interoperability, and accountability of the institutions and public servants, and co-creating rules and policies of openness for the State, helping to improve not only the appearance but the public services offered to the citizen and the business sector, including accountability in the competence of each institution. | | | |
| **Compliance** | **Not begun** | **Limited** | **Considerable** | **Complete** |
|  |  |  | **X** |
| **Description of the results** | Phase 1 was developed from September 2014 to February 2015, during which the manual was drafted and it was published in February 2015. Phase 2 which is the implementation for the Ministries, began in February 2015 and still continues. There is extensive evidence of the use of the product through the LOGS generated by the website’s rating tools. The effect on the institutions is that they are giving g a lot of interest so that the citizens’ feedback is constructive and not negative. Constant improvements are being made to the websites and they have adopted well the recommendations of the manual. | | | |
| **Date of completion** | July 2015 | | | |
| **Next steps** | Adoption of the Open Data regulation; Interoperability Regulation; Regulations for Social Networks Use. | | | |
| **Additional Information:** In Guatemala, the political situation and Government changes bring about movements and actions according to the guidelines of the new authorities. In this case, the challenge is that all the institutions don't remove and/or annul changes already made and to keep the pace of work and coordination currently going on.  <http://www.transparencia.gob.gt/docus/adjuntos_sustantivas/egob/manual_de_normativas_para_web_institucionales_revisado_18022015.pdf> | | | | |

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| 1. **Open Budget Implementation in all websites.** | | | | |
| **Secretariat/Ministry**  **Responsible** | Presidential Commission on Transparency and Electronic Government | | | |
| **Name of the person responsible** | Hugo Boch | | | |
| **Position** | Director of Public Expenditure Management | | | |
| **Email** | [hboch@transparencia.gob.gt](mailto:hboch@transparencia.gob.gt) | | | |
| **Telephone** | 23183400 | | | |
| **Main Objective** | That both, entities and the general population know and have access to information of the budget published by the Ministry of Finance. | | | |
| **Brief description of the**  **Commitment** | Establish that any person who goes into the institutional webpages has access to the Citizens Budget of the current fiscal year. | | | |
| **Relevance** | As part of the Open Budget Initiative and to contribute to the fiscal transparency, the Ministry of Public Finance prepares for each fiscal year a Citizen's Budget, a non-technical tool that offers citizens and other readers, a simplified version of the General Budget of State Revenues and Expenditures for the current fiscal year, in a way that facilitates understanding and analysis of the budget, which contributes to the knowledge of how resources from taxes and other sources of income are distributed, and where are resource prioritization focuses on the provision of public services provided to the Guatemalan population. | | | |
| **Ambition** | Include the link to the Citizen Budget in 100% of the pages of the institutional ministries, institutions and departments of the Executive Body. | | | |
| **Compliance** | **Not begun** | **Limited** | **Considerable** | **Complete** |
|  |  |  | **X** |
| **Description of the results** | Contact was kept with the Ministry of Public Finance, so that the Citizens Budget was prepared and published during the first quarter of this fiscal year, so that the citizenry has the information in a timely manner. Also, the citizen budget link was sent to all the entities of the Executive Body for its incorporation in their respective web pages, monitoring their compliance in 2014 and updating it in 2015. | | | |
| **Date of completion** | **2015** | | | |
| **Next steps** | There has been 100% compliance to the commitment and monitoring continues to keep the information on the websites. | | | |
| [**http://gobiernoabierto.transparencia.gob.gt/compromisos/por-gobierno/compromiso-2-gob**](http://gobiernoabierto.transparencia.gob.gt/compromisos/por-gobierno/compromiso-2-gob)  [**http://www.minfin.gob.gt/index.php/informacion-presupuestaria/presupuestos-aprobados/presupuestos-aprobados**](http://www.minfin.gob.gt/index.php/informacion-presupuestaria/presupuestos-aprobados/presupuestos-aprobados)  [**http://www.minfin.gob.gt/index.php/informacion-presupuestaria/presupuesto-ciudadano**](http://www.minfin.gob.gt/index.php/informacion-presupuestaria/presupuesto-ciudadano)  [**http://www.minfin.gob.gt/index.php/ejecucion-y-liquidacion-presupuestaria**](http://www.minfin.gob.gt/index.php/ejecucion-y-liquidacion-presupuestaria)  **http://www.minfin.gob.gt/index.php/estudios-fiscales** | | | | |

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| 1. **Implementing a specific web page for Open Data in the Executive Body institutions.** | | | | |
| **Secretariat/Ministry**  **Responsible** | Presidential Commission on Transparency and Electronic Government | | | |
| **Name of the person responsible** | Joel Peñate | | | |
| **Position** | Electronic Government Director | | | |
| **Email** | [jgiron@transparencia.gob.gt](mailto:jgiron@transparencia.gob.gt) | | | |
| **Telephone** | 2318-3400 | | | |
| **Main Objective** | Have in a single site, data sources released through the open data strategy of the executive Body and thus promote transparency through the use of technology. | | | |
| **Brief description of the**  **Commitment (maximum 140 characters)** | Promote transparency through technology in the open data, as a strategic tool of the Executive Body through sites with released data. | | | |
| **Relevance** | Contribute to strengthening citizen participation, and induction data access, openness and accountability in public finances through technological innovation of transparency. | | | |
| **Ambition** | Creation of open data sources for website implementation in the fourteen ministries of the Executive Body. | | | |
| **Compliance** | **Not begun** | **Limited** | **Considerable** | **Complete** |
|  |  | **X** |  |
| **Description of the results** | Through the Hackathon carried out in October 2014, the website, open data and service standardization manual, was implemented, where the progress on the subject of open data in the different institutions of the Executive Body was made public. | | | |
| **Date of completion** | December, 2015 | | | |
| **Next steps** | Website Launch and Citizen Participation. | | | |
| **Additional Information:**  [**http://gobiernoabierto.transparencia.gob.gt/compromisos/por-gobierno/compromiso-3-gob**](http://gobiernoabierto.transparencia.gob.gt/compromisos/por-gobierno/compromiso-3-gob)  [**http://www.transparencia.gob.gt/docus/adjuntos\_sustantivas/egob/manual\_de\_normativas\_para\_web\_institucionales\_revisado\_18022015.pdf**](http://www.transparencia.gob.gt/docus/adjuntos_sustantivas/egob/manual_de_normativas_para_web_institucionales_revisado_18022015.pdf)  [**http://gobiernoabierto.transparencia.gob.gt/images/TDR.pdf**](http://gobiernoabierto.transparencia.gob.gt/images/TDR.pdf)  **http://www.transparencia.gob.gt/hackathon/** | | | | |

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| 1. **Online Citizen Services.** | | | | |
| **Secretariat/Ministry**  **Responsible** | Presidential Commission on Transparency and Electronic Government | | | |
| **Name of the person responsible** | Joel Peñate | | | |
| **Position** | Electronic Government Director | | | |
| **Email** | [jgiron@transparencia.gob.gt](mailto:jgiron@transparencia.gob.gt) | | | |
| **Telephone** | 2318-3400 | | | |
| **Main Objective** | Compliance with Decree 114-97 of the Congress of the Republic (Law of the Executive Body). Compliance with Decree 57-2008 (Law on Free Access to Public Information). | | | |
| **Description of the**  **Commitment** | Website with information of each of the online services provided by the institutions to the citizens, classified by institution and according to the phases of UNDESA®. | | | |
| **Relevance** | Previous to publishing the Website, there was no website where to find information in a centralized manner. Currently the website allows people not only to know the services but also allows them to know how many offices a Ministry has, their addresses, telephone numbers and web pages. Through the commitment's regulations 1, institutions must publish their services in their portals and report them to the Catalog to have them available in both places. The portal allows citizens to search by topics, according to their needs. In this portal there have been requests so that the services are interoperable and transactional to provide higher quality services to citizens and businessmen. | | | |
| **Ambition** | The most obvious results are that the citizens who consult the portal find more useful information and constantly return to the site. Its implementation will allow a healthy competition between institutions to provide quality services. There is planning so that in 2015 this commitment brings UNIPORTAL to life [www.guatemala.gob.gt](http://www.guatemala.gob.gt/) and it will become the State’s portal, which will have even more relevant information on education, health, safety, investment, tourism, services, payments, State accountability and openness. | | | |
| **Compliance** | **Not begun** | **Limited** | **Considerable** | **Complete** |
|  |  |  | **X** |
| **Description of the results** | Work tables have been held during 2014 and 2015, to integrate the work of the 14 ministries in the Online Public Services Website. The portal is already in operation and the evidence of this is that all the institutional websites have a link that lead to this portal. | | | |
| **Completion Date** | December, 2014 | | | |
| **Next steps** | To turn this public service website into UNIPORTAL [www.guatemala.gob.gt](http://www.guatemala.gob.gt/) and so it becomes the State’s portal, which will have even more relevant information on education, health, safety, investment, tourism, services, payments, State accountability and openness. | | | |
| **Additional Information:** [**http://www.transparencia.gob.gt/catalogo-servicios/**](http://www.transparencia.gob.gt/catalogo-servicios/) | | | | |

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| 1. **Disclosing results through the media and institutional websites.** | | | | | |
| **Secretariat/Ministry**  **Responsible** | | Ministry of Public Finance | | | |
| **Name of the person responsible** | | José Antonio Menéndez | | | |
| **Position** | | Technical Adviser of the Tax Assessment Directorate | | | |
| **Email** | | [jmenende@minfin.gob.gt](mailto:jmenende@minfin.gob.gt) | | | |
| **Telephone** | | 23228888 Ext. 11378 | | | |
| **Other**  **actors**  **involved** | **Government** | Presidential Commission on Transparency and Electronic Government | | | |
| **Civil Society** |  | | | |
| **Main Objective** | | Inform citizens about the results achieved by the Government through the websites of institutions of the Executive Body and in the media. | | | |
| **Description of the**  **Commitment** | | Include in the web portals of the Executive Body institutions each institution’s results. And get the results of the institutions promoted through the press, radio and television. | | | |
| **Relevance** | | Following the basis of the three areas that have the concept of Open Government which are: transparency, collaboration and participation, relevance has been given to include the needs of the citizens for an adequate information channel. Achieving standards within the portals for the citizens to obtain information in an accurate, clear and concise way. | | | |
| **Ambition** | | Achieve inter-institutional team work with the Executive Body, fulfilling the commitment expectations with the aim of providing quality service according to the needs of the citizens. | | | |
| **Compliance** | | **Not begun** | **Limited** | **Considerable** | **Complete** |
|  |  |  | **X** |
| **Description of the results** | | Compliance was achieved with commitment No. 5 with the monthly monitoring of electronic portals, meetings with the Social Communication Coordinators of executive body institutions, and training and follow-up. | | | |
| **Completion Date** | | July 2015 | | | |
| **Next steps** | | Give monthly follow-up, training and tools for improving the commitment. | | | |
| **Additional Information:**  <http://gobiernoabierto.transparencia.gob.gt/compromisos/por-gobierno/compromiso-5-gob>  [**http://gobiernoabierto.transparencia.gob.gt/images/compromisos/gobierno/5/C5-MECANISMO-1.pdf**](http://gobiernoabierto.transparencia.gob.gt/images/compromisos/gobierno/5/C5-MECANISMO-1.pdf)  [**http://gobiernoabierto.transparencia.gob.gt/images/compromisos/gobierno/5/C5-MECANISMO-2.pdf**](http://gobiernoabierto.transparencia.gob.gt/images/compromisos/gobierno/5/C5-MECANISMO-2.pdf) | | | | | | |

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| 1. **Implementing the School of Transparency.** | | | | | |
| **Secretariat/Ministry**  **Responsible** | | Presidential Commission on Transparency and Electronic Government | | | |
| **Name of the person responsible** | | Olimpia Quiej | | | |
| **Position** | | Dean of the School of Transparency. | | | |
| **Email** | | [oquiej@transparencia.gob.gt](mailto:oquiej@transparencia.gob.gt) | | | |
| **Telephone** | | 2318-3400 | | | |
| **Other**  **actors**  **involved** | **Government** | Addressed to all the entities of the Executive Body | | | |
| **Civil Society, Private**  **Initiative** | As compliance control entities of the commitments | | | |
| **Main Objective** | | Promote, develop and coordinate permanent training for employees of the Executive Body with the goal of transforming state organizations to achieve greater effectiveness and efficiency in the delivery of services to the citizens and dignify the role of the officials as public servants, promoting personal development, through knowledge on Access to Public Information, Open Government and Transparency Laws and Initiatives. | | | |
| **Brief description of the**  **Commitment** | | In order to exercise its vision and mission there are three internal agreements within an action framework.  These are:   * Internal Agreement No. 25-2014, Creation of the School of Transparency Unit. * Internal Agreement No. 50-2014, to be a Substantive Area Office. * Internal Agreement No. 58-2014, Extension of duties of the School of Transparency. | | | |
| **Relevance** | | The School of Transparency will be able to train all the people responsible for public information access and its technical staff, with the Web 2.0 tools, as well as staff linked to:  Procurement, human resources, financial management, audit, project implementation, planning, and others.  In addition, all employees and officials of all the public institutions that belong to the structure of the Executive Body will have the opportunity to deepen their knowledge on the policy of transparency in a simple and innovative way, through virtual classrooms ­ and teaching material available from the web page of the School of Transparency. | | | |
| **Ambition** | | * To train public servants in the different hierarchy levels, on the rules of transparency. * Increase information about government activities. * Contribute to citizen participation. * Implementation of high standards of professional integrity in the administration. * Increase access to new technologies for accountability. * Develop virtual courses and diploma courses from a wide variety of topics related to ethics and transparency in the public sector. * Promote ethical conduct in the public servants through refresher courses. * Promote healthy, open and democratic discussion on issues related to transparency and ethics. * Know what the citizens think about different topics in the ethics, morality, and transparency fields. * Provide public servants with more and better knowledge to provide a better information service to the public. * Promote construction of objective and truthful expert opinion on the topic to be discussed, and open and thoughtful analysis. | | | |
| **Compliance** | | **Not begun** | **Limited** | **Considerable** | **Complete** |
|  |  |  | **X** |
| **Description of the results** | | **CLASSROOM COURSES**  Classroom courses under the theoretical, practical and participation mode. Made up of different topic areas and analysis of several case studies. A Considerable part of the time is used for masterly development and is completed with a group work following a specially prepared text by the instructor and the presentation of the content through multimedia technology. The course has a comprehensive and integrated approach with a multidisciplinary, conceptual development.  **ONLINE COURSES**  They are courses created in a virtual world to accommodate topics of interest that allow interaction between teacher, learner and content, with no schedule restriction and/or location. | | | |
| **Completion Date** | | **Guatemala, July 1, 2015** | | | |
| **Next steps** | | * Continue training everyone that provides his/her technical or professional services to the Executive Body. * Continue developing workshops and diploma courses both online and classroom courses, as well as training activities addressing the Culture of Transparency. * Continue to promote healthy, open and democratic participation on issues related to transparency and ethics. * Coordinate and plan seminars, forums, national and international congresses and any other activity with academic focus on the issues that promote the Culture of Transparency. * Designing curriculum model, plans, programs, projects and type of training, develop academic controls, logistic and impact assessment instruments of the results of the training programs for the year 2016. | | | |
| **Additional Information:**  [**http://escuela.transparencia.gob.gt/**](http://escuela.transparencia.gob.gt/) | | | | | |

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| 1. **Raising awareness in the population on transparency and fighting corruption; and creating spaces for citizen participation in decision-making.** | | | | | |
| **Secretariat/Ministry**  **Responsible** | | Presidential Commission on Transparency and Electronic Government | | | |
| **Name of the person responsible** | | Marlitt Lemus | | | |
| **Position** | | Director of Citizen Participation Promotion | | | |
| **Email** | | [mlemus@transparencia.gob.gt](mailto:mlemus@transparencia.gob.gt) | | | |
| **Telephone** | | 2318-3400 | | | |
| **Other**  **actors**  **involved** | **Government** |  | | | |
| **Civil Society, Private**  **Initiative** | Starting in 2015, the Citizen Participation Dialogs were implemented with the intervention of the Congreso Transparente Organization | | | |
| **Main Objective** | | Raise the knowledge of the population in terms of access to public information and complaints of corruption that come up in public administration. | | | |
| **Description of the**  **Commitment** | | Promote and raise awareness in the population on transparency and fighting corruption creating spaces for citizen participation in decision-making and social audit. | | | |
| **Relevance** | | Implementation of community spaces through Citizen Participation Dialogs and socialization of the transparency tools that COPRET has made available to the citizens, have allowed identifying actions by public officials and employees which are contrary to ethics, detecting and recommending actions to channel good practices and quality public service. | | | |
| **Ambition** | | That the population recognizes the tools implemented by COPRET as prevention instruments and becomes familiar with them, transmitting confidence and safety to the population, besides carrying out a responsible social audit based on evidence. | | | |
| **Compliance** | | **Not begun** | **Limited** | **Considerable** | **Complete** |
|  |  |  | **X** |
| **Description of the results** | | From 2014 to June 2015, 56% of the national territory was covered with the project "Citizen Participation Dialogs", by visiting the departments of: San Marcos, Alta Verapaz, Jutiapa, Chimaltenango, Izabal, El Progreso, Jalapa, Sacatepéquez, Santa Rosa, Totonicapán, Quetzaltenango, Sololá and Guatemala, with a total coverage of 4,095 beneficiaries, on the following topics: the Fight for Transparency, Fighting Corruption, Citizen Participation, Access to Public Information, Social Audit and Open Government, implementing the Guide for Citizen Participation Dialogs, with the objective of empowering the population on these topics.  100% of the complaints/grievances that came to COPRET during 2014 already have a final resolution.  From 2013 to 2014 the number of complaints/grievances increased by 960% with the system created by COPRET  <http://www.transparencia.gob.gt/mesas-tecnicas/item/178-mesa-tecnica-dialogos-de-participacion-ciudadana>  <http://www.transparencia.gob.gt/mesas-tecnicas/item/178-mesa-tecnica-dialogos-de-participacion-ciudadana#documentos-varios>  <http://atencionalciudadano.copret.gob.gt/estadisticas> | | | |

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| **8. Technical discussions with Civil Society, Academia, Business Sector and of Open Government.** | | | | | | | | |
| **Secretariat/Ministry**  **Responsible** | | Presidential Commission on Transparency and Electronic Government | | | | | | |
| **Name of the person responsible** | | Zaira Mejia | | | | | | |
| **Position** | | Director of the Transparency Initiative. | | | | | | |
| **Email** | | [zmejia@transparencia.gob.gt](mailto:zmejia@transparencia.gob.gt) | | | | | | |
| **Telephone** | | 23183400 | | | | | | |
| **Main Objective** | | Strengthening mechanisms to stimulate Civil Society and different sectors participation in the efforts to prevent corruption. | | | | | | |
| **Brief description of the**  **commitment (maximum 140 characters)** | | Through this commitment we also seek to strengthen dialog, participation and social audit of the different sectors of Guatemalan society, which are: civil society, academia, health sector, business sector and the inter-institutional sector. The compliance mechanisms are looking to be able to hold twelve technical discussions where they can generate proposals, agreements, commitments and products, endorsed by different sectors of the Guatemalan society and that are represented by the technical committees.  From June 2014 when the National Action Plan for Open Government was implemented till August, 2015, 25 technical tables have been carried out of the different sectors included in this commitment.  During January 2015 by instructions from higher COPRET’s authority, the civil society, business and inter-institutional sectors got together in a single technical committee, which was named Inter-sectorial Technical Committee, with the purpose of reaching agreements and strategies together. | | | | | | |
| **Relevance**  **Describe the way in which the commitment contributes to strengthening the Open Government Partnership OGP values of transparency and access to information, public accountability, civic participation and**  **technology and innovation for openness and accountability.** | | Commitment 8 "Technical discussions with the Civil Society Sector, Academia, Business and Inter-institutional Sectors and Open Government"; is oriented to compliance of the value of transparency and citizen participation, as well as promoting public integrity and accountability mainly for the Executive Body.  The participation of the different sectors is important to comply with the stipulations of the Inter-American Convention Against Corruption, specifically “Article III. Application of preventive measures through mechanisms to stimulate the participation of different sectors in the efforts to prevent corruption".  Citizen participation is a government priority, because we know that only with joint efforts can we achieve better results, and this can only be achieved based on the values of transparency, and access to public information OGP. | | | | | | |
| **Ambition**  **Briefly describe the expected policy results and the way in which**  **the commitment will make**  **government more open or will**  **improved it through greater**  **openness.** | | Due to the need to institutionalize the technical committees, Internal Agreements were created for their establishment and operation, so in August 2015, 25 technical discussions were held, distributed as follows: 7 Technical Committees for the Health Sector, 5 for the Civil Society Sector, 3 for the Business Sector, 4 for the Academic Sector, 5 for the Inter-institutional Sector, and 1 for the Multi-sectorial Technical Committee (civil society, inter-institutional and entrepreneurs) modality provided by instructions from higher authority.  The second phase of the implementation of the Action Plan for Open Government 2014-2016 is expected to generate greater results, outputs and indicators derived from the celebration of the different technical discussions, including inviting other social actors who are interested in joining, seeking to foster effective citizen participation at all levels on different topics of Transparency and Open Government. | | | | | | |
| **Compliance** | | **Not begun** | **Limited** | | **Substantial** | | **Complete** | |
|  |  | | **X** | |  | |
| **Description of the results. Include specific activities that were developed during the period covered by the report (first or second year of the Action Plan) and, when possible, please let us know if there is any evidence that the citizens used the commitment or that it had any effect.** | | It is important to point out that from the implementation of these technical committees, bilateral agreements between COPRET and the Ministry of Education, the National Institute of Strategic Studies in Safety INEES and the Pan-American University were subscribed to promote the culture of transparency; this has been followed up through technical meetings with the Ministry of Education for inclusion of the topic of Transparency and Open Government in the curriculum.  Another important achievement was reached by the Health Sector Technical Committee, having created a draft agreement of the National Health Accounts Unit that will be the entity to comply with the reports of the investment health indicator given to the World Health Organization.  The contribution of the different actors during the civil society technical committee is the evaluation and analysis that each one of the attending organizations submitted on the implementation of the 4 rounds of the compliance mechanism of the Inter-American Convention against Corruption, making proposals on what actions should be implemented in Guatemala to improve the implementation of such Convention. | | | | | | |
| **Completion Date** | | June, 2015 | | | | | | |
| **Next steps** | | Due to the political situation in the country since April 2015, it has not been possible to conduct meetings on a monthly basis as scheduled, however during September they will be resumed and it is expected that they will generate greater results in the coming months, before the end of the compliance period of the Action Plan of Open Government 2014-2016. | | | | | | |
| **Additional Information:**  **http://gobiernoabierto.transparencia.gob.gt/compromisos/por-gobierno/compromiso-8-gob**  **http://www.transparencia.gob.gt/mesas-tecnicas/item/179-mesa-sociedad-civil**  **http://gobiernoabierto.transparencia.gob.gt/images/compromisos/gobierno/cuadro\_mesa\_sociedad\_civil.pdf**  **http://www.transparencia.gob.gt/mesas-tecnicas/item/183-mesa-tecnica-sector-academico**  **http://gobiernoabierto.transparencia.gob.gt/images/compromisos/gobierno/cuadro\_mesa\_academico.pdf**  **http://gobiernoabierto.transparencia.gob.gt/images/compromisos/gobierno/CONVENIO\_COPRET-004-2014\_INEES.pdf**  **http://gobiernoabierto.transparencia.gob.gt/images/compromisos/gobierno/CONVENIO\_COPRET-002-2014\_MINEDUC.pdf**  **http://gobiernoabierto.transparencia.gob.gt/images/compromisos/gobierno/CONVENIO\_COPRET-005-2014\_UPANA.pdf**  **http://www.transparencia.gob.gt/mesas-tecnicas/item/173-mesa-tecnica-sector-salud**  **http://gobiernoabierto.transparencia.gob.gt/images/compromisos/gobierno/cuadro\_mesa\_salud.pdf**  **http://www.transparencia.gob.gt/mesas-tecnicas/item/180-mesa-tecnica-empresarial**  **http://gobiernoabierto.transparencia.gob.gt/images/cuadro\_mesa\_empresarial.pdf**  **http://www.transparencia.gob.gt/mesas-tecnicas**  **http://gobiernoabierto.transparencia.gob.gt/images/compromisos/gobierno/cuadro\_mesa\_interinstitucional.pdf**  **http://gobiernoabierto.transparencia.gob.gt/images/compromisos/gobierno/8/convenio\_mesa\_salud.pdf**  **http://mspas.gob.gt/index.php/en/subcuentas-nacionales-de-salud.html** | | | | | | | | |
|  | | | | | | | | |
| **9. Disclosing actions of the Action Plan for Open Government through forums, conferences and the technical committee.** | | | | | | | | |
| **Secretariat/Ministry**  **Responsible** | Presidential Commission on Transparency and Electronic Government | | | | | | | |
| **Name of the person responsible** | Zaira Mejia | | | | | | | |
| **Position** | Director of the Transparency Initiative. | | | | | | | |
| **Email** | [zmejia@transparencia.gob.gt](mailto:zmejia@transparencia.gob.gt) | | | | | | | |
| **Telephone** | 2318-3400 | | | | | | | |
| **Main Objective** | Promote the actions that the Government carries out as part of the implementation of the Open Government Transparency Initiative, with all sectors of society. | | | | | | | |
| **Description of the**  **Commitment** | This commitment seeks to improve the level of disclosure in relation to the progress made by the Government in the execution of the Action Plan for Open Government 2014-2016. | | | | | | | |
| **Relevance** | This commitment helps to highlight the actions that are carried out in partnership between the Government and Civil Society in relation to the improvement of the implementation of mechanisms of transparency focused on accountability, access to information and citizen participation in decision-making. | | | | | | | |
| **Ambition** | These actions will empower the citizens in general to know the tools that exist to strengthen transparency, collaboration and participation, resulting in a growth in the participation of different sectors of society in government actions. | | | | | | | |
| **Compliance** | **Not begun** | | | **Limited** | | **Considerable** | | **Complete** |
|  | | |  | |  | | **X** |
| **Description of the results** | The following was carried out for the implementation of the compliance mechanisms established by the present commitments:   * An international seminar was held for Open Government, with the support of the British Embassy and international experts from OECD, where several sectors of the Guatemalan society were invited to participate. (Civil Society, Business, Government and the press) * Trainings on the subject of open government in COPRET’s School of Transparency were held, where there was information on the history of open government at the international level and the progress made by Guatemala. * The follow-up committee was institutionalized of the Action Plan for Open Government 2014-2016, it is made up of the 14 Ministries of State represented at the highest level, Civil Society and autonomous entities that are part of the implementation of this Action Plan, 9 meetings have already been held. | | | | | | | |
| **Completion Date** | This commitment is already completed, however as a monitoring mechanism, the technical committee created by COPRET will continue working until finalization of the present Action Plan. | | | | | | | |
| **Additional Information:**  <http://gobiernoabierto.transparencia.gob.gt/compromisos/por-gobierno/compromiso-9-gob>  [**http://gobiernoabierto.transparencia.gob.gt/mesa-tecnica**](http://gobiernoabierto.transparencia.gob.gt/mesa-tecnica)  [**http://gobiernoabierto.transparencia.gob.gt/images/seminario\_gobierno\_abierto.pdf**](http://gobiernoabierto.transparencia.gob.gt/images/seminario_gobierno_abierto.pdf) | | | | | | | | |

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| 1. **Inter-operability Implementation** | | | | |
| **Secretariat/Ministry**  **Responsible** | Presidential Commission on Transparency and Electronic Government | | | |
| **Name of the person responsible** | Joel Peñate | | | |
| **Position** | Electronic Government Director | | | |
| **Email** | [jgiron@transparencia.gob.gt](mailto:jgiron@transparencia.gob.gt) | | | |
| **Telephone** | 2318-3400 | | | |
| **Main Objective** | Compliance with Decree 114-97 of the Congress of the Republic (Law of the Executive Body). Compliance with Decree 57-2008 (Law on Free Access to Public Information). | | | |
| **Description of the**  **Commitment** | Connect the State institutions to a private network that allows exchange of information between databases for the different services required by the citizens. | | | |
| **Relevance** | Strengthening transparency through interoperability has been achieved through the application of different technologies that are within the scope of more than 90 institutions that are already connected to the Private Network of the Government. It allows technological innovation in the exchange of information through protocols with reliable security levels; it gives way to update processes for the finances of the State faster and safer, and these updates allow better control of the budget’s execution. Implementation of the WEB files system through the Private Network, which allows the use of the universal processing number that involves a substantive savings in budget line 241 and group 24 that relate to stationery and office items. | | | |
| **Ambition** | The implementation of interoperability will allow exchange of information between different institutions through API and WEB SERVICES using the current ecosystem without having to make additional investments for the standardization of servers and databases allowing the coexistence of different existing State systems. This will allow faster processes, physical savings and a significant economic savings in the provision of services and procedures without duplication of information, reduction in response times to citizen’s requests; interoperable public services and opening Public Administration to the citizens. | | | |
| **Compliance** | **Not begun** | **Limited** | **Considerable** | **Complete** |
|  |  |  | **X** |
| **Description of the results** | An agreement was made between GUATEL, the MINISTRY OF PUBLIC FINANCE and COPRET. This Agreement sets out the different needs of the participating institutions; it establishes a timeline for the installation of the equipment that will be used for the interconnection and lays down times for compliance. | | | |
| **Completion Date** | March, 2015 | | | |
| **Next steps** | Create guidelines for interoperability standards; publishing API and WEB SERVICES that allow interconnection between the different IT platforms of the State. | | | |
| **Additional Information:**  [**http://gobiernoabierto.transparencia.gob.gt/images/CONVENIO\_GUATEL\_FINANZAS\_COPRET\_5.pdf**](http://gobiernoabierto.transparencia.gob.gt/images/CONVENIO_GUATEL_FINANZAS_COPRET_5.pdf)  [**http://gobiernoabierto.transparencia.gob.gt/images/compromisos/gobierno/MANUAL-EXP-WEB.pdf**](http://gobiernoabierto.transparencia.gob.gt/images/compromisos/gobierno/MANUAL-EXP-WEB.pdf)  [**http://gobiernoabierto.transparencia.gob.gt/images/compromisos/gobierno/BROCHURE\_DE\_ANILLO\_METROPOLITANO\_DE\_INTERCONEXION\_7.pdf**](http://gobiernoabierto.transparencia.gob.gt/images/compromisos/gobierno/BROCHURE_DE_ANILLO_METROPOLITANO_DE_INTERCONEXION_7.pdf)  [**http://gobiernoabierto.transparencia.gob.gt/images/AUTORIZACION\_DE\_LANZAMIENTO\_DE\_RED\_PRIVADA\_DE\_DATOS\_AUTORIZADA\_4.pdf**](http://gobiernoabierto.transparencia.gob.gt/images/AUTORIZACION_DE_LANZAMIENTO_DE_RED_PRIVADA_DE_DATOS_AUTORIZADA_4.pdf) | | | | |

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| 1. **Warnings in the Executive Body on possible acts of corruption.** | | | | |
| **Secretariat/Ministry**  **Responsible** | Presidential Commission on Transparency and Electronic Government | | | |
| **Name of the person responsible** | Hugo Boch | | | |
| **Position** | Director of Public Expenditure Management | | | |
| **Email** | [hboch@transparencia.gob.gt](mailto:hboch@transparencia.gob.gt) | | | |
| **Telephone** | 2318-3400 | | | |
| **Main Objective** | Strengthen the institutions of the Executive Body to prevent opacity and corruption. | | | |
| **Description of the**  **Commitment** | Issue alerts to Executive Body entities and institutions, to detect inconsistencies in the administrative and financial processes, related to the implementation of the budget, suggesting corrective actions and avoid in the future, possible acts of corruption. | | | |
| **Relevance** | Issuing warnings helps prevent and correct financial and administrative processes in the public administration of ministries and other units of the Executive Body, by monitoring information of public spending through different existing administrative financial systems. These warnings are made known to senior officials of each entity, suggesting the actions that must be implemented to correct them. | | | |
| **Ambition** | That authorities address the warnings issued, taking the necessary corrective actions to eliminate the situation detected, performing a transparent execution of its administrative and financial processes. | | | |
| **Compliance** | **Not begun** | **Limited** | **Considerable** | **Complete** |
|  |  |  | **X** |
| **Description of the results** | By monitoring carried out to administrative and financial systems (Management System [SIGES for its initials in Spanish], National Integrated Accounting System [SICOIN for its initials in Spanish], Guatecompras, GUATENÓMINAS and SNIP) possible cases of opacity of information are found, which could become acts of corruption. This information is given to senior authorities in each entity, so that they are aware of the deficiencies found as well as their possible correction, in order to avoid them and achieve efficiency, effectiveness and transparency of the processes being executed. | | | |
| **Completion Date** | July, 2015 | | | |
| **Next steps** | By issuing warnings the commitment has been 100% fulfilled; however, monitoring and issuing warnings continues. | | | |
| **Additional Information:**  [**http://gobiernoabierto.transparencia.gob.gt/compromisos/por-gobierno/compromiso-11-gob**](http://gobiernoabierto.transparencia.gob.gt/compromisos/por-gobierno/compromiso-11-gob)  [**http://gobiernoabierto.transparencia.gob.gt/images/1\_\_MONITOREO.pdf**](http://gobiernoabierto.transparencia.gob.gt/images/1__MONITOREO.pdf)  [**http://gobiernoabierto.transparencia.gob.gt/images/2\_INCONFORMIDADES.pdf**](http://gobiernoabierto.transparencia.gob.gt/images/2_INCONFORMIDADES.pdf)  [**http://gobiernoabierto.transparencia.gob.gt/images/3\_VISITAS\_DE\_CAMPO.pdf**](http://gobiernoabierto.transparencia.gob.gt/images/3_VISITAS_DE_CAMPO.pdf)  [**http://www.transparencia.gob.gt/alertivos/2015**](http://www.transparencia.gob.gt/alertivos/2015)  [**http://gobiernoabierto.transparencia.gob.gt/images/4\_EMISION\_DE\_ALERTAS.pdf**](http://gobiernoabierto.transparencia.gob.gt/images/4_EMISION_DE_ALERTAS.pdf)  [**http://gobiernoabierto.transparencia.gob.gt/images/5\_EMISION\_DE\_MECANISMOS\_DE\_PREVENCION.pdf**](http://gobiernoabierto.transparencia.gob.gt/images/5_EMISION_DE_MECANISMOS_DE_PREVENCION.pdf)  [**http://gobiernoabierto.transparencia.gob.gt/images/6\_SEGUIMIENTO\_AL\_CUMPLIMIENTO\_DE\_ALERTAS.pdf**](http://gobiernoabierto.transparencia.gob.gt/images/6_SEGUIMIENTO_AL_CUMPLIMIENTO_DE_ALERTAS.pdf) | | | | |

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| 1. **Progressive elimination of secrecy in the awards of goods, services and public works.** | | | | | |
| **Secretariat/Ministry**  **Responsible** | | Ministry of Public Finance | | | |
| **Name of the person responsible** | | José Antonio Menéndez | | | |
| **Position** | | Technical Adviser of the Tax Assessment Directorate | | | |
| **Email** | | [jmenende@minfin.gob.gt](mailto:jmenende@minfin.gob.gt) | | | |
| **Telephone** | | 23228888 Ext. 11378 | | | |
| **Other**  **actors**  **involved** | **Government** | Presidential Commission on Transparency and Electronic Government | | | |
| **Civil Society, Private**  **Initiative** |  | | | |
| **Main Objective** | | To have transparent State procurement and recruitment processes | | | |
| **Relevance** | | When the Procurement and Contracting State System, GUATECOMPRAS, shows broken down information of all the events published by different entities, it helps interpret information transparently. In addition, this information must be seen in a logical and comprehensive way by any citizen that consults it, as well as provide the data needed for social audit statistics. | | | |
| **Ambition** | | To link the Annual Purchase Plan entered in Guatecompras with the Administration System -SIGES-, to monitor the implementation of scheduled purchases. | | | |
| **Compliance** | | **Not begun** | **Limited** | **Substantial** | **Complete** |
|  |  |  | **X** |
| **Description of the results** | | Work tables were carried out with procurement technicians, to establish the shortcomings perceived, move them to the Ministry of Finance and achieve their implementation. Also, the development of reports that made it possible to separate the different procurement types, as well as improve their presentation has been coordinated with the Ministry of Public Finance.  Linking the Annual Procurement Plan with the Administration System has been required, so that purchase programming and implementation can be followed. | | | |
| **Completion Date** | | June, 2015 | | | |
| **Next steps** | | 100% Compliance to the indicator has been carried, even while continuing with the follow-up of other improvements that could be integrated to the system, so that the information entered contributes to make the acquisition processes more transparent by the entities. | | | |

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| **Additional Information:**  <http://gobiernoabierto.transparencia.gob.gt/compromisos/por-gobierno/compromiso-12>  <http://gobiernoabierto.transparencia.gob.gt/images/COMPROMISO_12.pdf>  <http://gobiernoabierto.transparencia.gob.gt/images/MESA_T%C3%89CNICA_16_5_14.pdf>  <http://gobiernoabierto.transparencia.gob.gt/images/MESA_T%C3%89CNICA_20_3_14_INFORME.pdf>  <http://www.guatecompras.gt/info/consultaDocumentosEstadisticos.aspx> |

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| 1. **Transparenting progress in the execution of loan funds and donations by executing unit, funding agency and economic sector.** | | | | |
| **Secretariat/Ministry**  **Responsible** | Presidential Commission on Transparency and Electronic Government | | | |
| **Name of the person responsible** | Ligia Vargas | | | |
| **Position** | Loans and Donations Implementation Monitoring Coordinator | | | |
| **Email** | [lvargas@transparencia.gob.gt](mailto:lvargas@transparencia.gob.gt) | | | |
| **Telephone** | 2318-3400 | | | |
| **Other actors involved** | Different executing units | | | |
| MINFIN’s Public Credit Department  Economic Planning and Programming Secretariat of the President’s Office SEGEPLAN  General Accounts Comptrollership | | | |
| **Main Objective** | Guarantee the goals and objectives of each operation, where as a consequence, there will be a positive impact in the country. | | | |
| **Description of the**  **Commitment** | COPRET has designed and implemented a monitoring system for the execution and management of loans and donations, which defines the compliance of this commitment: | | | |
| **Relevance** | 1. Generate integrated general execution reports of external operations 2. With the rankings you can see which operations are executed efficiently or in a regular or poor way 3. The inclusion of corrective measures is promoted, with the aim of overcoming limitations and problems, in order to achieve goals and impacts on the deadline 4. The executing monitoring and evaluation units are also strengthened. 5. Accountability and transparency is promoted. 6. Improvement in the execution and management of loans and grants. | | | |
| **Ambition** | **Evolution of the execution of loans from May, 2014 to May, 2015**    **Evolution of the execution of donations from May, 2014 to May, 2015** | | | |
| **Compliance** | **Not begun** | **Limited** | **Considerable** | **Complete** |
|  |  |  | **X** |
| **Description of the results** | 1. Develop diagnosis of how MINFIN and SEGEPLAN monitor. 2. Design a quick M&E system (for COPRET), overcoming the flaws detected 3. Coordinate with MINFIN to submit information of contractual terms and conditions and implementation of the budget on a monthly basis (contained in the SIGADE and SICOIN), of the loans and grants being executed. 4. Dissemination of methodology to be used with actors involved (executing units, MINFIN and SEGEPLAN). 5. Ranking implementation design and calculation for each operation, based on the progress percentages of the term, and accumulated financial indicators for each operation 6. Issue warnings for operations with deficient execution, so that corrective measures are implemented to guarantee reaching goals in the term provided. 7. Provide technical tools for the executing units to improve execution 8. In the case that any executing unit refuses to implement corrective measures to improve implementation and shows a lack of commitment and responsibility, the case goes to the General Comptroller's Office. | | | |
| **Completion Date** | Steps 1-4 of the previous item, were completed in May, 2014, steps 5 to 8 are being developed monthly as part of a constant monitoring and evaluation. | | | |
| **Next steps** | To continue developing steps from 5 to 8 on a monthly basis, with the aim of further strengthening executing units' monitoring, resulting in the improvement of execution and reaching the targets and impacts provided for the country | | | |
| **Additional Information:**  <http://gobiernoabierto.transparencia.gob.gt/compromisos/por-gobierno/compromiso-13-gob>  <http://gobiernoabierto.transparencia.gob.gt/images/diego_/ANEXO%201.pdf>  <http://gobiernoabierto.transparencia.gob.gt/images/compromisos/gobierno/13/ANEXO_2.pdf>  <http://gobiernoabierto.transparencia.gob.gt/images/compromisos/gobierno/13/ANEXO_3.pdf>  <http://gobiernoabierto.transparencia.gob.gt/images/compromisos/gobierno/13/ANEXO_4.pdf> | | | | |

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| 1. **Implementation and follow-up of CoST Initiative** | | | | | |
| **Secretariat/Ministry**  **Responsible** | | Presidential Commission on Transparency and Electronic Government | | | |
| **Name of the person responsible** | | Zaira Mejia | | | |
| **Position** | | Director of the Transparency Initiative. | | | |
| **Email** | | [zmejia@transparencia.gob.gt](mailto:zmejia@transparencia.gob.gt) | | | |
| **Telephone** | | 2318-3400 | | | |
| **Other**  **actors**  **involved** | **Government** | Ministry of Communications  Municipal Mayors | | | |
| **Society**  **Civil, Private**  **Initiative** | Non-Governmental Organization, Guatecívica  University of San Carlos of Guatemala  Guatemalan Construction Chamber  Guatemalan Chamber of Industry | | | |
| **Main Objective** | | Transparent contracting public works projects | | | |
| **Description of the**  **Commitment** | | Transparent contracting public works projects through the verification of the Procurement and Contracting System of the State of Guatemala and field inspections of the implementation of the 31 indicators of the Construction Sector Transparency Initiative. | | | |
| **Relevance** | | The Construction Sector Transparency Initiative -CoST-, is an international initiative that has as its fundamental objective, promoting transparency in contracting public work projects, through disclosing the most relevant aspects of the project’s key information, in different stages of their life cycle.  The Construction Sector Transparency Initiative, through impartial, active participation of the sectors that comprise the Multi-sectorial Group will continue to pursue transparency in contracting public works, so that the Guatemalan population can benefit with lasting high-quality, infrastructure, thus speeding up their development and their productivity levels. | | | |
| **Ambition** | | This multi-sectorial group allows the operation of a public spending control and transparency instance, specifically in the construction sector, impartial and objective, through the verification of the project’s key information, from the award of work contracts to their completion. An operation manual has been developed, implemented by a special assurance team, responsible for collecting and critically analyzing key information for each of the projects executed or that is being executed, which allow a real and objective verification of transparency and effectiveness of the works, from their award to their finalization. The information is then published through electronic media, print and mainly through a public event. | | | |
| **Compliance** | | **Not begun** | **Limited** | **Considerable** | **Complete** |
|  |  |  | **X** |
| **Description of the results** | | Adoption of international procedures and good practices, to exercise a more efficient control in contracting and executing public works, through detailed information of each of the projects, verified and published by an impartial entity such as the multi-sectorial group that represents CoST International in Guatemala.  The country was elected world leader in CoST transparency, promoting better governance in publishing information policies related to public construction projects.  Regional trainings for CoST indicators: June 24, 2014, September 9, 2014, October 10, 2014 and October 22, 2014. Joint work was done with the Procurement and Contracting Regulating Office of the State of Guatemala, Resolution number 01-2014 of the Ministry of Public Finance, which became effective on August 25, 2014, reforming Resolution number 11-2010 of the Ministry of Public Finance adding article 11 bis, which contains the mandatory requirement to publish in the Procurement and Contracting System of the State of Guatemala all kinds of information related to physical work contracts.  On December 9, 2014 the fourth assurance CoST report was submitted to the General Comptroller’s Office and the citizens in general.  On Wednesday, February 4, 2015 the Multi-sectorial Group approved assessment of 12 projects for this year.  Guatemala, as part of its achievements was selected to host a regional workshop organized by the International Secretariat on June 24 and 25 in the city of Antigua, Guatemala. | | | |
| **Completion Date** | | **May 30, 2015** | | | |
| **Next steps** | | **CoST** is an International Transparency Initiative looking for constant goal renewal, it also promotes the improvement of the quality of information, as a result CoST Chapter Guatemala is in constant evolution, an evolution in terms of the quality and type of information that is published, the frequency of the reports, and the generation of internal policies that will promote good governance of the information generated in the construction of public works in the country, is expected. | | | |
| **Additional Information:**  <http://gobiernoabierto.transparencia.gob.gt/compromisos/por-gobierno/compromiso-14-gob>  <http://cost-guatemala.org/>  <http://www.transparencia.gob.gt/docus/boletines/2014/octubre/boletin_capacitacion_costo_xela_27_10_2014.jpg>  <http://gobiernoabierto.transparencia.gob.gt/images/acta_01_2015_gms_cost_guatemala.pdf>  <http://cost-guatemala.org/media/Cuarto%20Informe%20de%20Aseguramiento%20CoST%20Guatemala%202014.pdf>  <http://cost-guatemala.org/pages/recursos/informes-de-monitoreo.php> | | | | | |

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| 1. **Implementation and follow-up of the EITI Initiative** | | | | | |
| **Secretariat/Ministry**  **Responsible** | | Presidential Commission on Transparency and Electronic Government | | | |
| **Name of the person responsible** | | Zaira Mejia | | | |
| **Position** | | Director of the Transparency Initiative. | | | |
| **Email** | | [zmejia@transparencia.gob.gt](mailto:zmejia@transparencia.gob.gt) | | | |
| **Telephone** | | 2318-3400 | | | |
| **Other**  **actors**  **involved**  **.** | **Government** | Ministry of Energy and Mines  Ministry of Public Finance | | | |
| **Civil Society, Private**  **Initiative** | Extended group “Action and Proposal”  Coordinator of non-governmental organizations of Alta Verapaz -CONGAV-(for its initials in Spanish)  National Civic Movement -MCN -(for its initials in Spanish) | | | |
| **Main Objective** | | Strengthening governance through increased transparency and accountability in the extractive sector. | | | |
| **Description of the**  **Commitment** | | The Executive Coordination (Ministry of Energy and Mines) and Chair of the National Work Commission -CNT- (Office of the Vice-president of the Republic) watch over compliance with the International Standard of the Extractive Industry Transparency Initiative -EITI-, and make observations on the plans made by the International Secretariat. | | | |
| **Relevance** | | Benefits for the countries that apply include a better investment climate, providing a clear signal to investors and international financial institutions that the government is committed to greater transparency. EITI also helps strengthen accountability and good governance, as well as promoting greater economic and political stability. This, in turn, can contribute to the prevention of conflicts around the oil, gas and mining sectors.  Benefits for companies and investors focus on the mitigation of political risks and reputation. Political instability caused by opaque governance is a clear threat to investments. In extractive industries, where investments are capital intensive and depend on long-term stability to generate yields, reducing that instability is beneficial for business. The transparency of payments made to a government can also help demonstrate the contribution of the investment to a country.  The benefits for civil society come from increasing the amount of information in the public domain on the income that governments manage on behalf of the citizens, therefore making governments more responsible. | | | |
| **Ambition** | | Give continuity to communicating and socializing results of the Number Reconciliation Report for the years 2010, 2011, 2012 and 2013 to the general population, with special emphasis on populations and social organizations in geographic areas with a greater presence of the extractive industry.  Guide analysis and discussion of the extractive industry in Guatemala, by informing the general population about transparency and accountability in this activity, promoting the transfer of knowledge and its multiplying effect.  Promote interest and participation, until it reaches all the companies engaged in the mining and hydrocarbon extractive industry sectors.  Promote interest and participation of a greater number of civil society organizations with recognized advocacy at the national and international level, including the academic sector.  Prepare the next 2014 Number Reconciliation Report with extensive data from contracts, projects, payments and income related to the extractive industry, with high participation of companies dedicated to this activity in the mining and hydrocarbon sectors.  Comply with the requirements set forth by EITI in order to keep recognition of the country as complying with international standards on transparency in accountability, related to the extractive industry. | | | |
| **Compliance** | | **Not begun** | **Limited** | **Considerable** | **Complete** |
|  |  |  | **X** |
| **Description of the results** | | 1. Analysis of the follow-up of the EITI-GUA Work Plan 2. Reforms of Government Agreement 96-2012, extension of its validity, on March 17, 2015, Government Agreement 104-2015 was published: 3. Regular and special meetings of the EITI-GUA National Work Commission (http://eitiguatemala.org.gt/category/actas-de-sesiones-2015/) 4. Publication of the Second EITI-GUA Reconciliation Report, which contains independent analysis of the 2012 and 2013 periods. | | | |
| **Completion Date** | | **June 26, 2015** | | | |
| **Next steps** | | Promote transparency through direct attack on the challenges exposed, because EITI, is an international initiative that looks for cyclical seeks, it is expected that each time the challenges are more oriented to the environmental issue, also to promote access to new technologies to achieve openness and accountability. | | | |
| **Additional Information:**  <http://eitiguatemala.org.gt/2013/12/10/420/>  <http://www.transparencia.gob.gt/ejes-de-accion/transparencia#eiti>  <https://vicepresidencia.gob.gt/archivos/2015/InformedeconciliacionEITI2012-2013.pdf> | | | | | |

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| 1. **To have a national catalog of electronic services published in the websites of the ministries and their corresponding executing units and consolidate them into a single source of information for a quick and effective search by the citizens.** | | | | |
| **Secretariat/Ministry**  **Responsible** | Presidential Commission on Transparency and Electronic Government | | | |
| **Name of the person responsible** | Joel Peñate | | | |
| **Position** | Electronic Government Director | | | |
| **Email** | [jgiron@transparencia.gob.gt](mailto:jgiron@transparencia.gob.gt) | | | |
| **Telephone** | 2318-3400 | | | |
| **Main Objective** | Compliance with Decree 114-97 of the Congress of the Republic (Law of the Executive Body). Compliance with Decree 57-2008 (Law on Free Access to Public Information). | | | |
| **Description of the**  **Commitment** | Website with information of each of the online services provided by the institutions to the citizens, classified by institution and according to the phases of UNDESA®. | | | |
| **Relevance** | Previous to publishing the Website, there was no website available to find information in a centralized manner. Currently the website allows people not only to know the services but also allows them to know how many offices a Ministry has, their addresses, telephone numbers and web pages. Through Regulation 1 of the commitment, the institutions must publish their services in their portals and report them to the Catalog to have them available in both places. The portal allows citizens to search by topics, according to their needs. In this portal it has been requested that the services be interoperable and transactional to provide higher quality services to citizens and businessmen. | | | |
| **Ambition** | The most obvious results are that the citizens who consult the portal find more useful information and constantly return to the site. Its implementation will allow a healthy competition between institutions to provide quality services. There is planning so that in 2015 this commitment brings UNIPORTAL to life [www.guatemala.gob.gt](http://www.guatemala.gob.gt/) and it becomes the State’s portal, which will have even more relevant information on education, health, safety, investment, tourism, services, payments, State accountability and openness. | | | |
| **Compliance** | **Not begun** | **Limited** | **Considerable** | **Complete** |
|  |  |  | **X** |
| **Description of the results** | Work groups have been held during 2014 and 2015, to integrate the work of the 14 ministries at the Online Public Services Website. The portal is already operating and the evidence of this is that all the institutional websites have a link that lead to this portal. | | | |
| **Completion Date** | December, 2014 | | | |
| **Next steps** | To turn this public service website into UNIPORTAL [www.guatemala.gob.gt](http://www.guatemala.gob.gt/) and so that it becomes the State’s portal, which will have even more relevant information on education, health, safety, investment, tourism, services, payments, State accountability and openness. | | | |
| **Additional Information:**  [**http://www.transparencia.gob.gt/catalogo-servicios/**](http://www.transparencia.gob.gt/catalogo-servicios/)  [**http://www.transparencia.gob.gt/catalogo-servicios/servicios/3**](http://www.transparencia.gob.gt/catalogo-servicios/servicios/3)  [**http://www.transparencia.gob.gt/docus/adjuntos\_sustantivas/egob/manual\_de\_normativas\_para\_web\_institucionales\_revisado\_18022015.pdf**](http://www.transparencia.gob.gt/docus/adjuntos_sustantivas/egob/manual_de_normativas_para_web_institucionales_revisado_18022015.pdf) | | | | |

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| 1. **Streamline value processes at each ministry by incorporating technology.** | | | | |
| **Secretariat/Ministry**  **Responsible** | Presidential Commission on Transparency and Electronic Government | | | |
| **Name of the person responsible** | Joel Peñate | | | |
| **Position** | Electronic Government Director | | | |
| **Email** | [jgiron@transparencia.gob.gt](mailto:jgiron@transparencia.gob.gt) | | | |
| **Telephone** | 2318-3400 | | | |
| **Main Objective** | Reducing red tape and streamlining the processes of bureaucratic excesses and operation in government institutions. | | | |
| **Description of the**  **Commitment** | Unit that allows verifying bureaucratic processes of different State offices and turns them into agile processes through technology. | | | |
| **Relevance** | Creation of the Validating Unit which allows identifying usually slow, bureaucratic and problematic processes, including those that might be cataloged as sources of corruption by their own nature. Once identified, the unit establishes processes and creates the roadmap to systematize these slow, bureaucratic and problematic processes. The operation of the unit implies strengthening transparency and accountability with the incorporation of technology and innovation processes. | | | |
| **Ambition** | The Validating Unit was established as an entity that allows constant identification of bureaucratic, slow and problematic processes; the transformation of these processes to efficient, effective, fast computer systems that will reduce corruption, and help users save economic resources. The result of the establishment of this unit shows in the results of prioritized named services. | | | |
| **Compliance** | **Not begun** | **Limited** | **Considerable** | **Complete** |
|  |  |  | **X** |
| **Description of the results** | In the implementation of the Validating Unit sources of corruption were identified in certain social impact programs managed by different State entities, which can be susceptible to corruption, as well as administrative proceeding processes that because of bureaucracy also promote corruption. The aim of the Unit is to be able to make processes more transparent and reduce the levels of corruption, for the benefit of the citizens. It was necessary to create a schedule of activities and develop plans for their implementation throughout the fiscal year. | | | |
| **Completion Date** | March, 2015 | | | |
| **Next steps** | Create generic diagnostic tools for the identification of potential sources of corruption and bureaucracy to eliminate these phenomena from the State. | | | |
| **Additional Information:**  <http://gobiernoabierto.transparencia.gob.gt/compromisos/por-gobierno/compromiso-17-gob>  <http://www.transparencia.gob.gt/catalogo-servicios/priorizados>  <http://gobiernoabierto.transparencia.gob.gt/images/unidad_validadora_de_procesos.pdf>  <http://gobiernoabierto.transparencia.gob.gt/images/compromisos/gobierno/metodologia_compromiso_17.pdf>  <http://www.transparencia.gob.gt/catalogo-servicios/> | | | | |

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| 1. **Identify sources of corruption in administrative and operation processes of the ministries and generate data opening of these processes as part of the accountability owed by the institutions.** | | | | |
| **Secretariat/Ministry**  **Responsible** | Presidential Commission on Transparency and Electronic Government | | | |
| **Name of the person responsible** | Joel Peñate | | | |
| **Position** | Electronic Government Director | | | |
| **Email** | [jgiron@transparencia.gob.gt](mailto:jgiron@transparencia.gob.gt) | | | |
| **Telephone** | 2318-3400 | | | |
| **Main Objective** | Compliance with Decree 57-2008 (Law on Free Access to Public Information). | | | |
| **Brief description of the**  **Commitment** | Through the Hackathon carried out in October 2014, the website, open data and service standardization manual, was implemented, where the progress on the subject of open data in the different institutions of the Executive Body was made public. | | | |
| **Relevance** | December, 2015 | | | |
| **Ambition** | Website Launch and Citizen Participation. | | | |
| **Compliance** | **Not begun** | **Limited** | **Substantial** | **Complete** |
|  |  | **X** |  |
| **Description of the results** | Reduce direct and indirect costs for each citizen in the procedures they carry out.  Streamlining delayed processes in the generation of information management. | | | |
| **Completion Date** | December, 2015 | | | |
| **Additional Information:**  [**http://gobiernoabierto.transparencia.gob.gt/compromisos/por-gobierno/compromiso-18-gob**](http://gobiernoabierto.transparencia.gob.gt/compromisos/por-gobierno/compromiso-18-gob)  [**http://www.transparencia.gob.gt/catalogo-servicios/priorizados**](http://www.transparencia.gob.gt/catalogo-servicios/priorizados) | | | | |

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| 1. **Promote technological talent through workshops with the academic and private sector, developing applications with geospatial data or techniques for open data for the benefit of the citizens and the government.** | | | | |
| **Secretariat/Ministry**  **Responsible** | Presidential Commission on Transparency and Electronic Government | | | |
| **Name of the person responsible** | Joel Peñate | | | |
| **Position** | Electronic Government Director | | | |
| **Email** | [jgiron@transparencia.gob.gt](mailto:jgiron@transparencia.gob.gt) | | | |
| **Telephone** | 2318-3400 | | | |
| **Main Objective** | Generate tools under open software standards by promoting free participation of young people for the co-creation of support instruments for the Government. | | | |
| **Description of the**  **Commitment** | Coordination of activities with civil society, education and business sectors to encourage correct data use and the adoption of OPEN-DATA for co-creating solutions. | | | |
| **Relevance** | Through the coordination of these technological development activities involving civil society, the business sector and education sector, enabling open data strengthens transparency and access to information; it also promotes technology and innovation in co-creating software products for State use. | | | |
| **Ambition** | It is expected that these activities have permanency and are carried out with greater citizen participation. Citizen participation allows the participative State to work with the delivery of open data, accountability, transparency and access to information for the benefit of the same State and the citizenship. | | | |
| **Compliance** | **Not begun** | **Limited** | **Considerable** | **Complete** |
|  |  |  | **X** |
| **Description of the results** | A HACKATHON was conducted in which different institutions of the Executive Body opened and shared their information to get all participants to develop transparent processes, promote public safety, education and health safety through the development of technological innovation in computer systems.  The evidence was captured in the results of the HACKATHON and the products developed were stored in COPRET’s website.  <http://hackathon.transparencia.gob.gt/> | | | |
| **Completion Date** | December, 2014 | | | |
| **Next steps** | Replicate the experience of the activity in State offices to open information and make it available to interested citizens. | | | |
| **Additional Information:**  <http://www.transparencia.gob.gt/hackathon/> | | | | |

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| 1. **Create a single portal (UNIPORTAL) where each Ministry concentrates its e-services provided in its institutional pages, with brief descriptions, for simple and easy understanding. This single portal does not intend to replace the institutional pages, but to concentrate all the services provided by the Government** | | | | |
| **Secretariat/Ministry**  **Responsible** | Presidential Commission on Transparency and Electronic Government | | | |
| **Name of the person responsible** | Joel Peñate | | | |
| **Position** | Electronic Government Director | | | |
| **Email** | [jgiron@transparencia.gob.gt](mailto:jgiron@transparencia.gob.gt) | | | |
| **Telephone** | 2318-3400 | | | |
| **Main Objective** | Compliance with Decree 57-2008 (Law on Free Access to Public Information). | | | |
| **Description of the**  **Commitment** | Website with information of each of the online services provided by the institutions to the citizens, classified by institution and according to the phases of UNDESA®. | | | |
| **Relevance** | Previous to publishing the Website, there was no website where to find information in a centralized manner. Currently the website allows people not only to know the services but also allows them to know how many offices a Ministry has, their addresses, telephone numbers and web pages. Through Regulation 1 of the commitment, the institutions must publish their services in their portals and report them to the Catalog to have them available in both places. The portal allows citizens to search by topics, according to their needs. In this portal it has been requested that the services be interoperable and transactional to provide higher quality services to citizens and businessmen. | | | |
| **Ambition** | The most obvious results are that the citizens who consult the portal find more useful information and constantly return to the site. Its implementation will allow a healthy competition between institutions to provide quality services. There is planning so that in 2015 this commitment brings UNIPORTAL to life [www.guatemala.gob.gt](http://www.guatemala.gob.gt/) and it will become the State’s portal, which will have even more relevant information on education, health, safety, investment, tourism, services, payments, State accountability and openness. | | | |
| **Compliance** | **Not begun** | **Limited** | **Considerable** | **Complete** |
|  |  |  | **X** |
| **Description of the results** | Work groups have been held during 2014 and 2015, to integrate the work of the 14 ministries at the Online Public Services Website. The portal is already operating and evidence of this is that all institutional websites have a link that lead to this portal. | | | |
| **Completion Date** | April, 2015 | | | |
| **Next steps** | To turn this public service website into UNIPORTAL [www.guatemala.gob.gt](http://www.guatemala.gob.gt/) and so that it becomes the State’s portal, which will have even more relevant information on education, health, safety, investment, tourism, services, payments, State accountability and openness. | | | |
| **Additional Information:**  <http://gobiernoabierto.transparencia.gob.gt/compromisos/por-gobierno/compromiso-20-gob>  <http://www.transparencia.gob.gt/catalogo-servicios/> | | | | |

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| 1. **Promote transparency and accountability in the management of natural resources to ensure that revenues from extractive industries are used to generate social welfare** | | | | | |
| **Secretariat/Ministry**  **Responsible** | | Presidential Commission on Transparency and Electronic Government | | | |
| **Name of the person responsible** | | Zaira Mejia | | | |
| **Position** | | Electronic Government Director | | | |
| **Email** | | [zmejia@transparencia.gob.gt](mailto:zmejia@transparencia.gob.gt) | | | |
| **Telephone** | | 23183400 | | | |
| **Other**  **actors**  **involved** | **Government** | Ministry of Public Finance  Presidential Commission on Transparency and Electronic Government  Ministry of Energy and Mines  Municipal Mayors | | | |
| **Civil Society, Private**  **Initiative** | National Work Commission, EITI Guatemala | | | |
| **Main Objective** | | Transparency and Accountability | | | |
| **Brief description of the**  **Commitment** | | Reports and publications on the amount of the proceeds from the extractive industries, and to which projects they are assigned. | | | |
| **Relevance** | | Efforts for disclosing the income and expenditures of the extractive sector have led the EITI’s Technical Secretariat, the Presidential Transparency Commission, the Ministry of Mines and Energy, and the Ministry of Public Finance to work on a computer system for transparency in the extractive sector which has been called SISTRAEITI, facilitating the flow of information, accountability, and an active citizen participation through monitoring what is reported and the destination of what is distributed. | | | |
| **Ambition** | | Facilitate citizens' flow of information, accountability, and an active participation through monitoring what is reported and the destination of what is distributed, promoting a greater degree of accuracy on the destination of resources from the extractive industry. | | | |
| **Compliance** | | **Not begun** | **Limited** | **Considerable** | **Complete** |
|  | **X** |  |  |
| **Description of the results** | | * There have been 19 regular meetings of the National EITI-GUA Work Commission, where the topics proposed by the parties have been covered, in order to promote greater transparency and guarantee that revenues from the extractive industries are used in a transparent manner. * The Presidential Commission on Transparency and Electronic Government -COPRET - has an electronic portal where all the relative information concerning the progress of the initiative, as well as a record of the regular and special meetings in the framework of the commitments of Open Government and EITI standards, can be found. * Trainings have been conducted with the support of international donors for all the sectors that comprise the National EITI-GUA Work Commission as well as organizations that might be interested in joining the initiative | | | |
| **Completion Date** | | December, 2015 | | | |
| **Next steps** | | Keep the information up-to-date;  Agree with the Ministry of Public Finance to post in their websites. | | | |
| **Additional Information:**  [**http://eitiguatemala.org.gt/category/actas-de-sesiones-2014/**](http://eitiguatemala.org.gt/category/actas-de-sesiones-2014/)  [**http://www.transparencia.gob.gt/ejes-de-accion/transparencia#eiti**](http://www.transparencia.gob.gt/ejes-de-accion/transparencia#eiti)  [**http://www.transparencia.gob.gt/ejes-de-accion/transparencia#eiti**](http://www.transparencia.gob.gt/ejes-de-accion/transparencia#eiti)  [**http://www.transparencia.gob.gt/docus/boletines/2015/febrero/boletin\_eiti\_23\_de\_febrero\_2015\_vt.jpg**](http://www.transparencia.gob.gt/docus/boletines/2015/febrero/boletin_eiti_23_de_febrero_2015_vt.jpg)  [**http://www.transparencia.gob.gt/docus/boletines/2014/noviembre/boletin\_eiti\_6\_11\_2014.jpg**](http://www.transparencia.gob.gt/docus/boletines/2014/noviembre/boletin_eiti_6_11_2014.jpg)  [**http://www.transparencia.gob.gt/ejes-de-accion/transparencia#logros-alcanzados-4**](http://www.transparencia.gob.gt/ejes-de-accion/transparencia#logros-alcanzados-4)  [**http://gobiernoabierto.transparencia.gob.gt/images/resumen\_ejecutivo\_taller\_giz.pdf**](http://gobiernoabierto.transparencia.gob.gt/images/resumen_ejecutivo_taller_giz.pdf)  [**http://gobiernoabierto.transparencia.gob.gt/images/compromisos/gobierno/22/oficio\_scep.pdf**](http://gobiernoabierto.transparencia.gob.gt/images/compromisos/gobierno/22/oficio_scep.pdf) | | | | | |

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| 1. **Make sure that the resources allocated to the attention of damages caused by natural disasters are used in accordance with strict transparency and accountability criteria.** | | | | | |
| **Secretariat/Ministry**  **Responsible** | | National Coordinator for Disaster Reduction -CONRED- | | | |
| **Name of the person responsible** | | Alexandra Flores | | | |
| **Position** | | Planning and Institutional Development Technician | | | |
| **Email** | | [aflores@conred.org.gt](mailto:aflores@conred.org.gt) | | | |
| **Telephone** | | 2324 0800 ext. 1011 | | | |
| **Other**  **actors**  **involved** | **Ministry of Public Finance** |  | | | |
|  |  | | | |
| **Main Objective** | | Make the management and execution of resources used before, during and after natural disasters, transparent. | | | |
| **Brief description of the**  **Commitment** | | Disclose expenses and resources used for disaster prevention, publishing amounts and destination of resources and emergency statistics, which imply showing actions and results. | | | |
| **Relevance** | | This commitment contributes to strengthen OGP values, making the management of expenses and execution of the resources used before, during and after natural disasters, transparent; generating access to public information through the web page of the Institution when publishing mechanisms to implement commitment No. 22 of which CONRED is directly responsible. Also in accountability showing actions and expenses to ensure the destination of resources for prevention, mitigation, and participation in the rehabilitation of damage resulting from natural disasters, promoting civil participation to make the population aware of the publication of the expenses and get constructive feedback from Civil Society and private institutions, and working groups that involve the Guatemalan population. Technology and innovation are linked to the other values by using the institutional web page for the publication of the commitment acquired. | | | |
| **Ambition** | | Transparent the actions taken to promote prevention, mitigation, care and participation in rehabilitation to help fight against corruption, leveraging technology to inform the Guatemalan population. | | | |
| **Compliance** | | **Not begun** | **Limited** | **Substantial** | **Complete** |
|  |  |  | **X** |
| **Description of the results** | | Publishing the compliance mechanisms in the institutional web page beginning on June, 2015:  1) Expenses and resources used for the prevention of natural disasters. Information obtained through the Budget Execution to June 2015, by program, by expenses group and subgroup provided by the Financial Direction of CONRED.  2) Publication of amounts and destinations of resources. Information obtained through the Shipping Supply Report from January to June 2015, provided by the Logistics Directorate of CONRED.  3) Publication of Emergency Statistics reported (semiannually). Information obtained through the Emergency Statistics Report to June 2015, provided by the Logistics Directorate of CONRED. | | | |
| **Completion Date** | | June 30, 2015 | | | |
| **Next steps** | | Monthly and yearly follow-up, according to a timetable of activities by commitment to comply with the Action Plan for Open Government 2014-2016, to update the information available to the population. | | | |
| **Additional Information:**  [**http://gobiernoabierto.transparencia.gob.gt/compromisos/por-gobierno/compromiso-22-gob**](http://gobiernoabierto.transparencia.gob.gt/compromisos/por-gobierno/compromiso-22-gob)  [**http://www.conred.gob.gt/www/index.php?option=com\_content&view=article&id=4429&Itemid=802#comisión-presidencial-de-transparencia-copret**](http://www.conred.gob.gt/www/index.php?option=com_content&view=article&id=4429&Itemid=802#comisión-presidencial-de-transparencia-copret) | | | | | |

# COMMITMENTS PROPOSED BY CIVIL SOCIETY

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| 1. **Improve the levels of transparency and access to information** | | | | | |
| **Secretariat/Ministry**  **Responsible** | | Presidential Commission on Transparency and Electronic Government | | | |
| **Name of the person responsible** | | Marlitt Lemus | | | |
| **Position** | | Director of Citizen Participation Promotion | | | |
| **Email** | | [mlemus@transparencia.gob.gt](mailto:mlemus@transparencia.gob.gt) | | | |
| **Telephone** | | 2318-3400 | | | |
| **Other**  **actors**  **involved** | **Government** |  | | | |
| **Civil Society, Private**  **Initiative** | Office of the Ombudsman for Human Rights | | | |
| **Main Objective** | | Engage parties required to comply with the laws of transparency and access to information. | | | |
| **Brief description of the**  **Commitment** | | Strengthen the capacities of subjects bound by obligation to give effective compliance to the Law on Access to Public Information supporting the Regulatory Authority. | | | |
| **Relevance** | | This commitment encourages and promotes the Right of Access to Public Information, from strengthening and the joint work of subjects bound by obligation in accordance with the Regulatory Authority designated by law on the subject, by creating inter-institutional commitments where the actors are responsible to provide the best care to the active subjects and comply with their commitments as subjects bound by obligation. | | | |
| **Ambition** | | Unify criteria with the Office of the Ombudsman for Human Rights, so that the Presidential Transparency Commission is an ally for the verification of compliance with and respect for the Right of Access to Public Information by the Executive Body, and that the commitments acquired are also adopted by other autonomous and decentralized units. | | | |
| **Compliance** | | **Not begun** | **Limited** | **Considerable** | **Complete** |
|  | **X** |  |  |
| **Description of the results** | | We have had meetings with authorities of the Office of the Ombudsman for Human Rights to implement activities that will allow inter-institutional support between the Regulatory Authority and the Presidential Commission on Transparency.  The respective authorities are reviewing a transparency agreement that includes mechanisms that strengthen and encourage respect for the right to public information. | | | |
| **Completion Date** | | June, 2016 | | | |
| **Next steps** | | Signature of the Transparency Agreement, joint work with the Office of the Ombudsman for Human Rights. | | | |
| **Additional Information:**  [**http://www.transparencia.gob.gt/mesas-tecnicas/item/175-mesa-tecnica-acceso-info-publica**](http://www.transparencia.gob.gt/mesas-tecnicas/item/175-mesa-tecnica-acceso-info-publica)  [**http://www.transparencia.gob.gt/docus/adjuntos\_sustantivas/fomento/monitoreo\_laip\_copret\_ejecutivo\_2014.pdf**](http://www.transparencia.gob.gt/docus/adjuntos_sustantivas/fomento/monitoreo_laip_copret_ejecutivo_2014.pdf) | | | | | |

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| 1. **Promote informed citizen participation, surveillance and alert** | | | | | |
| **Secretariat/Ministry**  **Responsible** | | Presidential Commission on Transparency and Electronic Government | | | |
| **Name of the person responsible** | | Marlitt Lemus | | | |
| **Position** | | Director of Citizen Participation Promotion | | | |
| **Email** | | [mlemus@transparencia.gob.gt](mailto:mlemus@transparencia.gob.gt) | | | |
| **Telephone** | | 2318-3400 | | | |
| **Other**  **actors**  **involved**  **.** | **Government** |  | | | |
| **Society**  **Civil, Private**  **Initiative** | The intervention of the Congreso Transparente Organization began in 2015 in the Citizen Participation Dialog activities. | | | |
| **Main Objective** | | Give information on open government, to all the citizens in the existing languages. | | | |
| **Brief description of the commitment** | | This commitment seeks to reach the Guatemalan population to promote and promulgate its participation in decision-making as well as promoting a social monitoring that would allow making a duly informed social audit. | | | |
| **Relevance** | | During the Citizen Participation Dialogs copies of the Law on Access to Public Information have been handed out in the corresponding language for each territory, the friendly procedures for the request for information have been published, and the Law on Access to Public Information has been translated to all the Mayan languages and printed in the four most important ones. | | | |
| **Ambition** | | That with the implementation of the Law on Access to Public Information in the different languages of the national territory and with the knowledge of the processes and procedures that are shared in the Citizen Participation Dialog activities, the population will be able to increase its participation in requesting information and socially responsible audits. | | | |
| **Compliance** | | **Not begun** | **Limited** | **Considerable** | **Complete** |
|  |  |  | **X** |
| **Description of the results** | | Translation of the Law on Access to Public Information to all the Mayan languages and implementation of a children's version.  From 2014 to June 2015, 56% of the national territory was covered with the project "Citizen Participation Dialogs", by visiting the departments of: San Marcos, Alta Verapaz, Jutiapa, Chimaltenango, Izabal, El Progreso, Jalapa, Sacatepéquez, Santa Rosa, Totonicapán, Quetzaltenango, Sololá and Guatemala, with a total coverage of 4,095 beneficiaries, on the following topics: the Fight for Transparency, Fighting Corruption, Citizen Participation, Access to Public Information, Social Audit and Open Government, implementing the Guide for Citizen Participation Dialogs, with the objective of empowering the population on these topics. | | | |
| **Completion Date** | |  | | | |
| **Next steps** | |  | | | |
| **Additional Information:**  [**http://www.transparencia.gob.gt/mesas-tecnicas/item/178-mesa-tecnica-dialogos-de-participacion-ciudadana**](http://www.transparencia.gob.gt/mesas-tecnicas/item/178-mesa-tecnica-dialogos-de-participacion-ciudadana)  [**http://www.transparencia.gob.gt/quienes-somos-2/biblioteca-virtual**](http://www.transparencia.gob.gt/quienes-somos-2/biblioteca-virtual)  [**http://www.transparencia.gob.gt/docus/biblioteca/leyes/ley\_acceso\_a\_la\_informacion\_publica\_comentada.pdf**](http://www.transparencia.gob.gt/docus/biblioteca/leyes/ley_acceso_a_la_informacion_publica_comentada.pdf)  [**http://gobiernoabierto.transparencia.gob.gt/compromisos/por-sociedad-civil/compromiso-civil-2**](http://gobiernoabierto.transparencia.gob.gt/compromisos/por-sociedad-civil/compromiso-civil-2)  [**http://www.transparencia.gob.gt/docus/adjuntos\_sustantivas/fomento/guia\_para\_dialogos\_participativos\_actualizada\_dic\_2014.pdf**](http://www.transparencia.gob.gt/docus/adjuntos_sustantivas/fomento/guia_para_dialogos_participativos_actualizada_dic_2014.pdf) | | | | | |

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| 1. **Increasing public integrity and accountability:** | | | | | |
| **Secretariat/Ministry**  **Responsible** | | Presidential Commission on Transparency and Electronic Government | | | |
| **Name of the person responsible** | | Olimpia Quiej | | | |
| **Position** | | Dean of the School of Transparency. | | | |
| **Email** | | [oquiej@transparencia.gob.gt](mailto:oquiej@transparencia.gob.gt) | | | |
| **Telephone** | | 23183400 ext. 122 | | | |
| **Other**  **actors**  **involved** | **Government** | Executive Body  General Accounts Comptrollership  Judicial Body | | | |
| **Civil Society, Private**  **Initiative** | As compliance control entities for the commitments | | | |
| **Main Objective** | | Give accountability on a monthly basis to the citizens through reports or their information websites especially managing the budget, procurement and contracts and the judicial sector. | | | |
| **Brief description of the**  **Commitment** | | * Strengthen the institutional framework to improve public integrity * Strengthen public information offices or units and give training to all public officials in particular to those who exercise high and medium level management positions, including all the Ministries * Strengthen accountability mechanisms and promote them * Promote and create control mechanisms on the integrity of the judicial sector | | | |
| **Relevance** | | The School of Transparency will ­be able to train all the people responsible for public information access and its technical staff, with the Web 2.0 tools, as well as staff linked to: procurement, human resources, financial management, audit, project implementation, planning, and others.  In addition, all employees and officials of all the public institutions that belong to the structure of the Executive Body will have the opportunity to deepen their knowledge on the policy of transparency in a simple and innovative way, through virtual classrooms ­ and teaching material available from the web page of the School of Transparency. | | | |
| **Ambition** | | * To train public servants in the different hierarchy levels, on the rules of transparency. * Increase information about government activities. * Contribute to citizen participation. * Implementation of high standards of professional integrity in the administration. * Increase access to new technologies for accountability. * Develop virtual courses and diploma courses from a wide variety of topics related to ethics and transparency in the public sector. * Promote ethical conduct in the public servants through refresher courses. * Promote healthy, open and democratic discussion on issues related to transparency and ethics. * Know what the citizens thinks about different topics in the ethics, morality, and transparency fields. * Provide public servants with more and better knowledge to provide a better information service to the public. * Promote construction of objective and truthful expert opinion on the topic to be discussed, and open and thoughtful analysis.   . | | | |
| **Compliance** | | **Not begun** | **Limited** | **Substantial** | **Complete** |
|  |  | **X** |  |
| **Description of the results** | | **CLASSROOM -LED COURSES**  Classroom-led courses under the theoretical, practical and participation mode. Made up of different topic areas and analysis of several case studies. A Considerable part of the time is used for masterly development and is completed with a group work following a specially prepared text by the instructor and the presentation of the content through multimedia technology. The course has a comprehensive and integrated approach with a multidisciplinary, conceptual development.  **ONLINE COURSES**  They are courses created in a virtual world to accommodate topics of interest that allow interaction between teacher, learner and content, with no schedule restriction and/or location. | | | |
| **Completion Date** | | July 1, 2015 | | | |
| **Next steps** | | * Complete the remaining 25% missing in the judicial sector and in this way completely reach each area in the connection between transparency and accountability. * Continue training everyone that provides his/her technical or professional services to the Executive Body. * Continue developing workshops and diploma courses both online and classroom-led courses, as well as training activities addressing the Culture of Transparency. * Continue to promote healthy, open and democratic participation on issues related to transparency and ethics. * Coordinate and plan seminars, forums, national and international congresses and any other activity with academic focus on the issues that promote the Culture of Transparency.   Designing curriculum model, plans, programs, projects and type of training, develop academic controls, logistic and impact assessment instruments of the results of the training programs for the year 2016. | | | |
| **Additional Information:**  [**http://escuela.transparencia.gob.gt/**](http://escuela.transparencia.gob.gt/)  [**http://gobiernoabierto.transparencia.gob.gt/compromisos/por-sociedad-civil/compromiso-civil-3**](http://gobiernoabierto.transparencia.gob.gt/compromisos/por-sociedad-civil/compromiso-civil-3)  [**http://escuela.transparencia.gob.gt/course/index.php?categoryid=19**](http://escuela.transparencia.gob.gt/course/index.php?categoryid=19) | | | | | |

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| 1. **Standardizing the publication of public data** | | | | |
| **Secretariat/Ministry**  **Responsible** | Presidential Commission on Transparency and Electronic Government | | | |
| **Name of the person responsible** | Joel Peñate | | | |
| **Position** | Electronic Government Director | | | |
| **Email** | [jgiron@transparencia.gob.gt](mailto:jgiron@transparencia.gob.gt) | | | |
| **Telephone** | 2318-3400 | | | |
| **Main Objective** | Standardization of the publication of public data and improving its accessibility | | | |
| **Brief description of the**  **Commitment** | Creation and approval of agreements and standards for quality improvement of public data pages. | | | |
| **Relevance** | Creation and approval of a government decree on open data standards so that data are accessible, reusable interoperable with no restriction but above all, free for the use of all citizens. | | | |
| **Ambition** | Carry out a national level audit whose sole purpose will be to evaluate how each entity stores public information and verification of necessary implementation measures to ensure perpetual data storage that Guatemalan citizens have access to. | | | |
| **Compliance** | **Not begun** | **Limited** | **Substantial** | **Complete** |
|  |  | **X** |  |
| **Description of the results** | Through the adoption of the standards, a pilot plan for openness and data standardization was developed, taking into consideration the needs and competencies as well as our country's multilingual culture for duly sustaining that platform. | | | |
| **Completion Date** | December, 2015 | | | |
| **Next steps** |  | | | |
| **Additional Information:**  [**http://gobiernoabierto.transparencia.gob.gt/images/plan\_hackaton\_v3.pdf**](http://gobiernoabierto.transparencia.gob.gt/images/plan_hackaton_v3.pdf)  [**http://gobiernoabierto.transparencia.gob.gt/images/MINISTERIOS\_MONITOREO\_EVAL\_D\_A\_y\_I\_P.pdf**](http://gobiernoabierto.transparencia.gob.gt/images/MINISTERIOS_MONITOREO_EVAL_D_A_y_I_P.pdf)  [**http://gobiernoabierto.transparencia.gob.gt/images/compromisos/informe\_de\_red\_Iberoamericana\_de\_proteccin\_datos.pdf**](http://gobiernoabierto.transparencia.gob.gt/images/compromisos/informe_de_red_Iberoamericana_de_proteccin_datos.pdf) | | | | |

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| 1. **Strengthening the institution responsible for the coordination of the National Plan for Open Government** | | | | | |
| **Secretariat/Ministry**  **Responsible** | | Presidential Commission on Transparency and Electronic Government | | | |
| **Name of the person responsible** | | Zaira Mejia | | | |
| **Position** | | Director of the Transparency Initiative. | | | |
| **Email** | | [zmejia@transparencia.gob.gt](mailto:zmejia@transparencia.gob.gt) | | | |
| **Telephone** | | 2318-3400 | | | |
| **Other**  **actors**  **involved** | **Government** | Ministry of Education | | | |
| **Civil Society, Private**  **Initiative** | University of San Carlos of Guatemala | | | |
| **Main Objective** | | Create a "task force" for open government. | | | |
| **Brief description of the**  **Commitment** | | This commitment will propose improvements in the education of transparency and encourage citizen participation at all levels, which will help strengthen an open government. | | | |
| **Relevance** | | This commitment contributes directly to several OGP values such as citizen participation, access to information, transparency and accountability, since the main objective is to stimulate the integration of the various sectors of the Guatemalan society in the public administration work. | | | |
| **Ambition** | | It seeks to make public administration management transparent because the Government must implement a methodology for accountability, under which the citizens who are empowered of the topic will be carrying out a constant social audit. | | | |
| **Compliance** | | **Not begun** | **Limited** | **Substantial** | **Complete** |
|  | **x** |  |  |
| **Description of the results** | | As part of compliance with enforcement mechanisms contained in this commitment, the following actions have been taken:   * The Open Government topic has been included in the National Base Curriculum (CNB for its initials in Spanish). * Good experiences are documented as it is vital to learn from them for the design of future national plans for Open Government. | | | |
| **Completion Date** | | June, 2016 | | | |
| **Next steps** | | There will be a project of 12 scholarships (fellowship) for the implementation of open government in Guatemala | | | |
| **Additional Information:**  [**http://gobiernoabierto.transparencia.gob.gt/compromisos/por-sociedad-civil/compromiso-civil-5**](http://gobiernoabierto.transparencia.gob.gt/compromisos/por-sociedad-civil/compromiso-civil-5)  [**http://gobiernoabierto.transparencia.gob.gt/images/compromisos/tema\_de\_transparencia\_en\_el\_CNB.pdf**](http://gobiernoabierto.transparencia.gob.gt/images/compromisos/tema_de_transparencia_en_el_CNB.pdf) | | | | | |

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| 1. **Supplementary legislation to ensure the Right of Access to Public Information** | | | | | |
| **Secretariat/Ministry**  **Responsible** | | Presidential Commission on Transparency and Electronic Government | | | |
| **Name of the person responsible** | | Marlitt Lemus | | | |
| **Position** | | Director of Citizen Participation Promotion | | | |
| **Email** | | [mlemus@transparencia.gob.gt](mailto:mlemus@transparencia.gob.gt) | | | |
| **Telephone** | | 2318-3400 | | | |
| **Other**  **actors**  **involved**  **.** | **Government** | Congress of the Republic | | | |
| **Society**  **Civil, Private**  **Initiative** |  | | | |
| **Main Objective** | | Submit bills to the Congress of the Republic for issuing a Law of the Public Archives System and the Personal Data Protection Law, which complement the provisions contained in the Law on Access to Public Information. | | | |
| **Brief description of the**  **Commitment** | | This commitment seeks to strengthen, supplement and enrich the national legal system related to the Right of Access to Public Information, the Law of the Public Archive System as well as the Personal Data Protection Law. | | | |
| **Relevance** | | The implementation and creation of a supplementary legislation that guarantees and contributes to strengthen the right to access of public information, allowing an extension in the legal framework that protects and watches over the respect to this important right. | | | |
| **Ambition** | | That the Law of Public Archives is approved and becomes valid, and allows modernization and giving priority to such an important topic, which enable us to modernize and prioritize this important topic, in virtue of the fact that the current law is so backward, also, modifying the Law of Access to Public Information will allow a better implementation of the same and an efficient exercise of this right  . | | | |
| **Compliance** | | **Not begun** | **Limited** | **Considerable** | **Complete** |
|  |  | **X** |  |
| **Description of the results** | | With the support of the General Archive of Central America, COPRET has developed and has been part of meetings where the content of the bills to update the Law of Public Archives has been discussed, and amend certain provisions of the Law of Access to Public Information, while this is happening, technical commissions with those responsible for the Public Archives of the Executive Body offices have been carried out.  In September 2014 an agreement between COPRET and the Federal Institute of Access to Public Information Data (IFAI for its initials in Spanish) of Mexico was signed, a project to promote the regulatory framework for Personal Data Protection. | | | |
| **Completion Date** | | June, 2016 | | | |
| **Next steps** | | That the Congress of the Republic approves the amendments to the Law of Public Archives. | | | |
| **Additional Information:**  [**http://gobiernoabierto.transparencia.gob.gt/compromisos/por-sociedad-civil/compromiso-civil-6**](http://gobiernoabierto.transparencia.gob.gt/compromisos/por-sociedad-civil/compromiso-civil-6)  [**http://www.transparencia.gob.gt/mesas-tecnicas/item/185-mesa-tecnica-fortalecimiento-archivos-institucionales**](http://www.transparencia.gob.gt/mesas-tecnicas/item/185-mesa-tecnica-fortalecimiento-archivos-institucionales) | | | | | |

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| 1. **Design and implement manuals, guidelines and technical provisions for accountability** | | | | | |
| **Secretariat/Ministry**  **Responsible** | | Ministry of Public Finance | | | |
| **Name of the person responsible** | | José Antonio Menéndez Letona | | | |
| **Position** | | Technical Adviser of the Tax Assessment Directorate | | | |
| **Email** | | [jmenende@minfin.gob.gt](mailto:jmenende@minfin.gob.gt) | | | |
| **Telephone** | | 23228888 Ext. 11378 | | | |
| **Other**  **actors**  **involved** | **Government** | Presidential Commission on Transparency and Electronic Government | | | |
| **Main Objective** | | Comply with Articles 4, 17Bis and 17Ter of Decree No. 101-97 of the Congress of the Republic, Organic Budget Law, as well as other supplementary provisions of accountability that are included in the Annual Budget Law. | | | |
| **Description of the**  **Commitment** | | Develop, approve, publish and disclose the guidelines and technical provisions that will help ensure and monitor the implementation of Articles 4, 17 Bis and 17Ter of the Organic Budget Law. | | | |
| **Relevance** | | The guidelines referred to in the commitment, are useful for the public sector institutions, by virtue of the fact that they allow having a standardized format for the presentation of the information set out in the articles of the Organic Budget Law indicated in the commitment; it also helps provide those responsible, the consolidation and presentation of such information. | | | |
| **Ambition** | | It is expected that the institutions responsible for submitting the information that this commitment refers to, comply with the one defined in the guides, which will allow compliance with the Organic Budget Law. Also the elaboration of formats is expected, allowing standardizing the information submitted and facilitate monitoring those responsible for the verification. | | | |
| **Compliance** | | **Not begun** | **Limited** | **Considerable** | **Complete** |
|  |  |  | **X** |
| **Description of the results** | | Phase 1 included developing the guides that contain the guidelines for the presentation of the information referred to in Article 4 of the Organic Budget Law, which was developed during March and April of 2014. It is important to indicate that prior to defining the commitments, the Ministry of Public Finance, had already developed the Guide that meets part of what this commitment requested.  In relation to the other part of the commitment, the Guide which establishes the guidelines for Articles 17Bis and 17Ter, was developed during June and July, 2015. This guide was validated by the relevant authorities, prior to its release. | | | |
| **Completion Date** | | July 2015 | | | |
| **Next steps** | | Among the steps to follow, is to keep the guides updated, due to changes that may arise in the reports included in the same, which can be modified in the systems by external factors. | | | |
| **Additional Information:**  The current situation of the country causes institutional changes, which come from having new authorities, so the challenge for all institutions is to comply with the guidelines drawn up and to keep the pace of work and the current coordination. You can see this information at:  <http://www.minfin.gob.gt/index.php/?option=com_content&view=article&id=1755&Itemid=195> | | | | | |

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| 1. **Expanding informed participation in existing instances through community mapping** | | | | | |
| **Secretariat/Ministry**  **Responsible** | | SEGEPLAN | | | |
| **Name of the person responsible** | | Lourdes Monsoon | | | |
| **Position** | | Director of Territorial Planning | | | |
| **Email** | | [lourdes.monzon@segeplan.gob.gt](mailto:lourdes.monzon@segeplan.gob.gt) | | | |
| **Telephone** | | 2504-4420 | | | |
| **Other**  **actors**  **involved** | **Government** | Central Government through the: Economic Planning and Programming Secretariat of the President’s Office, and accredited institutions in the COMUDE. Local Government through: accredited representatives in the Comude. | | | |
| **Civil Society, Private**  **Initiative** | Representatives of civil society, private sector, among others, Comude participants. | | | |
| **Main Objective** | | Strengthen the Development Councils System by promoting citizen participation through data collection and community mapping. | | | |
| **Brief description of the**  **Commitment** | | The commitment was developed through 3 compliance mechanisms, which were the following:   1. Inclusion of a project to create community databases based on mapping urgent community problems and local potential within the Development Councils System (SCDUR for its initials in Spanish). 2. Develop a pilot plan for mapping urgent community problems and local potential in priority municipalities, through the SCDUR. 3. Implement the mapping project of urgent community problems and local potential to the national level. | | | |
| **Relevance** | | The commitment contributes to the OGP values derived very closely from the fact that the process of elaboration of the Municipal Development Plans (PDM for its initials in Spanish), that SEGEPLAN accompanied in the framework of the National Planning System, was carried out within the Comude, the citizen participation area legally recognized. In this regard, the different sectors grouped in the Comude had the opportunity to analyze and geographically represent territorial dynamics expressed in problems and potentials.  On the other hand, it is understood that these guiding instruments are property of the municipality, however, through the publication of the planning tools on SEGEPLAN’s website, the feedback of the products obtained from these participatory processes is promoted, which facilitates the access of the general population to public information. | | | |
| **Ambition** | | As a result of the commitment, citizenship, by means of the central government, can have access to the database and the mapping of the situation in their territories, which include their problems and potential identified; product of a previous participatory process of all the citizens represented in the different Comude.  Planning is an essential element of the axis for strategic strengthening of the Municipality Strengthening Policy, which aims to bring the Central Government closer through the institutions that have jurisdiction in the municipal area, with the citizens through improving municipal management of local governments. | | | |
| **Compliance** | | **Not begun** | **Limited** | **Considerable** | **Complete** |
|  |  |  | **X** |
| **Description of the results** | | * Preparation of the database with INE codes in relation to mapping urgent community problems and local potential contained in the Municipal Development Plans (PDM).   + Identification of the municipalities prioritized in the Zero Hunger Agreement in the database of the citizen demands contained in the PDM, 163 municipalities.   + Classification by function of the project ideas or citizen demands in the database contained in the PDM.   + Quantitative Database of the potentials of the municipalities, based on the PDM.   + 163 Municipalities prioritized by the Zero Hunger Agreement analyzed on the basis of qualitative data from the potentials and problems of the municipalities, based on the PDM that was developed with a broad participation.   + 327 Municipalities prioritized by the Zero Hunger Agreement analyzed on qualitative data base of the potentials and problems of the municipalities, based on the PDM.   + 8 Maps of urgent community problems and local potential in prioritized municipalities by the Zero Hunger Agreement (163 municipalities), through the SCDUR, in the framework of the dimensions for education, safety, health and food security. * 8 Maps of urgent community problems and local potential in prioritized municipalities by the Zero Hunger Agreement (327 municipalities), through the SCDUR, in the framework of the dimensions for education, safety, health and food security. | | | |
| **Completion Date** | | **13 August, 2015** | | | |
| **Next steps** | | Strengthening of the Municipal Development Councils through technical support within the framework of results-oriented planning.  Civil society organizations will follow-up the citizen demands identified in the Municipal Development Plans, especially with the new government from 2016 onwards, because the validity of such plans is until 2020. | | | |
| **Additional Information:**    According to SEGEPLAN’s institutional role, its duty is to accompany, guide, train and provide technical assistance to municipalities and development councils on short, medium and long term planning processes, however, it is important to indicate that it is the municipality and civil society who are responsible for following-up of these plans.  Development plans as its name indicates, guides the development of the whole municipality and gives guidelines to intervene, not only the municipality but international cooperation, non-governmental organizations and other entities. From this development plan as guiding framework, inputs are generated for annual and multi-year operation plans, where the projects that the local government plans to execute can be seen and it is where through social audit there can be a follow-up of citizen demands that have been identified in a participatory manner.  The challenge for the general population is that the new government commits itself to give priority to these demands as its local government plan.  [**http://gobiernoabierto.transparencia.gob.gt/compromisos/por-sociedad-civil/8-ampliacion-de-la-participacion-informada-en-las-instancias-existentes-por-medio-de-mapeos-comunitarios**](http://gobiernoabierto.transparencia.gob.gt/compromisos/por-sociedad-civil/8-ampliacion-de-la-participacion-informada-en-las-instancias-existentes-por-medio-de-mapeos-comunitarios)  [**http://gobiernoabierto.transparencia.gob.gt/images/Municipios\_Pacto\_Hambre\_Cero.pdf**](http://gobiernoabierto.transparencia.gob.gt/images/Municipios_Pacto_Hambre_Cero.pdf)  [**http://gobiernoabierto.transparencia.gob.gt/images/compromisos/gobierno/informe\_final\_COPRET\_20\_agosto\_2015.pdf**](http://gobiernoabierto.transparencia.gob.gt/images/compromisos/gobierno/informe_final_COPRET_20_agosto_2015.pdf) | | | | | |

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| **9. Active promotion of digital inclusion at the national level** | | | | |
| **Secretariat/Ministry**  **Responsible** | Presidential Commission on Transparency and Electronic Government | | | |
| **Name of the person responsible** | Joel Peñate | | | |
| **Position** | Electronic Government Director | | | |
| **Email** | [jgiron@transparencia.gob.gt](mailto:jgiron@transparencia.gob.gt) | | | |
| **Telephone** | 2318-3400 | | | |
| **Main Objective** | Give information on open government, to all the citizens in the existing languages. | | | |
| **Description of the**  **Commitment** | Make significant advances in the use and dissemination of information and communication technologies | | | |
| **Relevance** | Strengthening transparency through interoperability has been achieved through the application of different technologies that are within the scope of more than 90 institutions that are already connected to the Private Network of the Government. It allows technological innovation in the exchange of information through protocols with reliable security levels; it gives way to update processes for the finances of the State faster and safer, and these updates allow better control of the budget’s execution. Implementation of the WEB files system through the Private Network, allows the use of the universal processing number that involves Considerable savings in budget line 241 and group 24 that relate to stationery and office items. | | | |
| **Ambition** | The implementation of interoperability will allow exchange of information between different institutions through API and WEB SERVICES using the current ecosystem without having to make additional investments for the standardization of servers and databases allowing the coexistence of different existing State systems. This will allow faster processes, physical savings and significant economic savings in the provision of services and procedures without duplication of information, reducing response times to citizen’s requests; interoperable public services and opening Public Administration to the citizens. | | | |
| **Compliance** | **Not begun** | **Limited** | **Considerable** | **Complete** |
|  |  |  | **X** |
| **Description of the results** | An agreement was made between GUATEL, the MINISTRY OF PUBLIC FINANCE and COPRET. This Agreement sets out the different needs of the participating institutions; it establishes a timeline for the installation of the equipment that will be used for the interconnection and lays down times for compliance. | | | |
| **Completion Date** | March, 2015 | | | |
| **Next steps** | Create guidelines for interoperability standards; publishing API and WEB SERVICES that allow interconnection between the different IT platforms of the State. | | | |
| **Additional Information:**  [**http://gobiernoabierto.transparencia.gob.gt/images/CONVENIO\_GUATEL\_FINANZAS\_COPRET\_5.pdf**](http://gobiernoabierto.transparencia.gob.gt/images/CONVENIO_GUATEL_FINANZAS_COPRET_5.pdf)  [**http://gobiernoabierto.transparencia.gob.gt/images/compromisos/gobierno/MANUAL-EXP-WEB.pdf**](http://gobiernoabierto.transparencia.gob.gt/images/compromisos/gobierno/MANUAL-EXP-WEB.pdf)  [**http://gobiernoabierto.transparencia.gob.gt/images/compromisos/gobierno/BROCHURE\_DE\_ANILLO\_METROPOLITANO\_DE\_INTERCONEXION\_7.pdf**](http://gobiernoabierto.transparencia.gob.gt/images/compromisos/gobierno/BROCHURE_DE_ANILLO_METROPOLITANO_DE_INTERCONEXION_7.pdf)  [**http://gobiernoabierto.transparencia.gob.gt/images/AUTORIZACION\_DE\_LANZAMIENTO\_DE\_RED\_PRIVADA\_DE\_DATOS\_AUTORIZADA\_4.pdf**](http://gobiernoabierto.transparencia.gob.gt/images/AUTORIZACION_DE_LANZAMIENTO_DE_RED_PRIVADA_DE_DATOS_AUTORIZADA_4.pdf) | | | | |

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| **10. Institutionalizing the first level of health care** | | | | |
| **Secretariat/Ministry**  **Responsible** | Ministry of Public Health and Social Assistance | | | |
| **Name of the person responsible** | Roberto Aldana Garcia | | | |
| **Position** | General Director General of SIAS | | | |
| **Email** | [raldanag@gmail.com](mailto:raldanag@gmail.com) | | | |
| **Telephone** | 30027470 | | | |
| **Main Objective** | Fully comply with the second paragraph of Article 33 Bis of the General Budget Law, Decree 101-97 of the Congress of the Republic, regarding the prohibition of signing agreements with non-government organizations. In addition, comply with the time limit laid down in Articles 76 and 77 of Decree Number 13-2013 of the Congress of the Republic (according to this rule, the deadline is in 2017). | | | |
| **Brief description of the**  **Commitment** | Socialize the strengthening model for the First Level of Care in the 29 Health Areas in a progressive way, implementing actions in health and nutrition to achieve the success of the Zero Hunger Agreement, the 1000 Day Window of Opportunities, with comprehensive care at the individual, family and community level. | | | |
| **Relevance** | The strategy of institutional strengthening and development of the first level of health care again allows the MOH to manage and directly execute resources, which is intended to ensure all the country’s inhabitants the right to health care, under the principles of universal coverage that requires quality, equity, efficiency and effectiveness of the services through a Benefit Plan and new forms of investment. This includes health promotion activities, prevention of risks and damage to health, epidemiological surveillance and attention to the demand with a focus on gender and cultural relevance with community participation through its own actors.  This approach emphasizes the paradigm shift to move from the traditional curative care to preventive care, with a strong component in "extramural” care.  The main base of the strategy is territorial reorganization making services more accessible to the population, forming institutional work teams that are directly linked to community teams for each territory, who develop extramural actions jointly, promoting community participation through its own actors, who, in a local dynamics, develop a Benefit plan. This process allows the population itself to define its priorities and know the resources they need for the prevention and solution of the problems and jointly they can follow through on those plans.  The strategy has in its plan incorporating the use of telemedicine through a mobile phone network, which links community facilitators with the MOH’s service network. | | | |
| **Ambition** | The strategy makes the MOH take back the first level of care, besides expanding and strengthening the network of institutional services, strongly linking organized community participation and implementing modern tools such as telecommunications for health and nutrition.  The benefit plans drawn up from the community base allow local actors to participate from the diagnostic, planning, implementation, monitoring and evaluation phase of the activities. These plans are consolidated in a scaled way from the communities, municipalities and departments. All the national and international partners are also involved in the construction of the plans to complement the resources for the implementation, monitoring and evaluation of the same. | | | |
| **Compliance** | **Not begun** | **Limited** | **Considerable** | **Complete** |
|  |  | **X** |  |
| **Description of the results** | * Socialization of the strategy in 29 health areas (100 %) and 345 of 350 of the Municipal Health Districts (99 %) in the country. * Making up Strategy Implementation Teams in 100% of the health areas and 333 DMS (95 %) * Dividing by territories and zoning in 98% of the DMS. * 31% of institutional and community teams hired for the territories (human resource: 2 auxiliary nurses, 6 community facilitators and 10 midwives by territory for 2907 territories in the whole country, or so in order to cover the entire country with EIS the following are needed: 13,269 midwives; 8,064 community facilitators; 30 data entry operators; 1,600 nursing assistants and 26 nurse supervisors). | | | |
| **Completion Date** | **2016** | | | |
| **Next steps** | Complete the diagnosis of human resources, infrastructure and equipment gaps in the new territories.  Give institutional and community teams mobile phones.  Reshape the new service network in the Health Management Information System. | | | |
| **Additional Information:** It is required to complete the recruitment of human resources to cover all the territories, however one of the major limitations has been the availability of financial resources (requires that the budget of each Health Area is fed promptly with the financial quota to ensure the payment of salaries and stipends for institutional and community personnel).  The MOH continues with the process of closing contracts with organizations that were providing health services (PSS), in these closings , the PSS have to hand back all the property that belongs to the MOH, including furniture, equipment, medicines, supplies, files, etc.  <http://www.mspas.gob.gt/index.php/en/primer-nivel-de-atencion.html>  <http://gobiernoabierto.transparencia.gob.gt/images/plan_de_implemenraci%C3%B3n_modelo.pdf> | | | | |

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| **11. Full compliance of the International Monetary Fund’s New Code and Manual on Fiscal Transparency** | | | | | |
| **Secretariat/Ministry**  **Responsible** | | Ministry of Public Finance | | | |
| **Name of the person responsible** | | José Antonio Menéndez Letona | | | |
| **Position** | | Technical Adviser of the Tax Assessment Directorate | | | |
| **Email** | | [jmenende@minfin.gob.gt](mailto:jmenende@minfin.gob.gt) | | | |
| **Telephone** | | 23228888 Ext. 11378 | | | |
| **Other**  **actors**  **involved** | **Government** | Bank of Guatemala  Bank Superintendence  Tax Administration Superintendence  Presidential Commission on Transparency and Electronic Government | | | |
| **Society**  **Civil, Private**  **Initiative** |  | | | |
| **Main Objective** | | Adopt and implement a work program to ensure full compliance with the International Monetary Fund’s New Code and Manual on Fiscal Transparency. | | | |
| **Description of the**  **Commitment** | | Implement a series of actions, primarily in the management of public finances to ensure transparency, efficiency and effectiveness, taking international parameters, standards and best practices so that Guatemala is in a position to request the application of the International Monetary Fund’s Assessment of Fiscal Transparency. | | | |
| **Relevance** | | Provides a coordinated effort by public entities that participate in the compliance of the International Monetary Fund’s New Code and Manual on Fiscal Transparency, whose objective is to ensure that authorities, legislators, business sector, civil society, international bodies and other stakeholders, have a more complete picture of the situation of public finances, considered under the principles of fiscal transparency, processing and publication of fiscal reports, open budget and analysis of fiscal risks management. | | | |
| **Ambition** | | It is expected to be able to implement most of the actions contained in the International Monetary Fund’s New Code and Manual on Fiscal Transparency, but there are some technical and legal limitations that make full compliance impossible, as proposed by this commitment. | | | |
| **Compliance** | | **Not begun** | **Limited** | **Substantial** | **Complete** |
|  | **X** |  |  |
| **Description of the results** | | According to the functions of the Ministry of Public Finance contained in its Internal Regulations, the provisions in the Organic Budget Act and the Law on Access to Public Information, this entity already executes different actions contained in the Manual on Fiscal Transparency, among which may be mentioned: gradual implementation of the International Public Sector Accounting Standards (IPSAS), Public Expenditure and Financial Accountability Management Report (PEFA), update of Budget Classifications, mapping for Analysis of Fiscal Risk Management, Medium-term Fiscal Framework, among others. | | | |
| **Completion Date** | | June, 2016 | | | |
| **Next steps** | | The adoption of the Public Finance Statistics Manual is required for compliance with the IMF Code and Manual on Fiscal Transparency. | | | |
| **Additional Information:**  The results already achieved can be found at:  <http://www.minfin.gob.gt/index.php/?option=com_content&view=article&id=1805&Itemid=740>  <http://www.minfin.gob.gt/archivos/pefa/2doInforme2013.pdf>  <http://www.minfin.gob.gt/downloads/leyes_acuerdos/acuerdomin384_200814.pdf> | | | | | |

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| **12. Publishing all documents related to the budget** | | | | | |
| **Secretariat/Ministry**  **Responsible** | | Ministry of Public Finance | | | |
| **Name of the person responsible** | | José Antonio Menéndez Letona | | | |
| **Position** | | Technical Adviser of the Tax Assessment Directorate | | | |
| **Email** | | [jmenende@minfin.gob.gt](mailto:jmenende@minfin.gob.gt) | | | |
| **Telephone** | | 23228888 Ext. 11378 | | | |
| **Other**  **actors**  **involved** | **Government** | Presidential Commission on Transparency and Electronic Government | | | |
| **Society**  **Civil, Private**  **Initiative** |  | | | |
| **Main Objective** | | Develop, publish and disseminate documents that suggest international good practices, including but not limited to: (a) Preliminary Report, in the month prior to the submission of the draft budget to the Legislative Body; (b) Citizen budget, no later than January 31 of each fiscal year; and, (c) Half-year Report, at the latest by August 31 of each fiscal year. | | | |
| **Description of the**  **Commitment** | | The Open Budget index recommends the development and publication of eight key documents of the budget cycle so that citizens have access to the same, these are: Preliminary Report, Budget Project, Approved Budget, Citizen Budget, Periodic Reports, Mid-year Report, Annual Liquidation and Audit Reports. | | | |
| **Relevance** | | The publication of these documents contributes to transparency and accountability, as well as citizen participation in the budget process. This helps ensure the publication of information, according to the provisions of the Law on Access to Public Information and the Organic Budget Law. | | | |
| **Ambition** | | It is expected that the citizens who consult this information in the web page of the Ministry of Finances will find the data they are looking for and be able to participate proactively by formulating concrete proposals to improve each of the stages of the budget cycle. | | | |
| **Compliance** | | **Not begun** | **Limited** | **Substantial** | **Complete** |
|  |  | **X** |  |
| **Description of the results** | | Even though the Ministry of Public Finance already develops and publishes the eight key documents of the budget cycle, the emission of a Ministerial Agreement that institutionalizes the process is required. | | | |
| **Completion Date** | | October, 2015 | | | |
| **Next steps** | | There remains only the approval of the relevant Ministerial Agreement from the higher authorities of the MOF, and ensure that the eight documents mentioned are published timely on the established dates. | | | |
| **Additional Information:** The information already published can be consulted at:  [**http://www.minfin.gob.gt/downloads/presupuesto\_preliminar/informe\_preliminar12\_14.pdf**](http://www.minfin.gob.gt/downloads/presupuesto_preliminar/informe_preliminar12_14.pdf)  [**http://www.minfin.gob.gt/index.php/proyecto-de-presupuesto**](http://www.minfin.gob.gt/index.php/proyecto-de-presupuesto)  [**http://www.minfin.gob.gt/index.php/presupuestos-aprobados**](http://www.minfin.gob.gt/index.php/presupuestos-aprobados)  [**http://www.minfin.gob.gt/index.php/presupuesto-ciudadano**](http://www.minfin.gob.gt/index.php/presupuesto-ciudadano)  [**http://www.minfin.gob.gt/index.php/informe-de-medio-ano**](http://www.minfin.gob.gt/index.php/informe-de-medio-ano)  [**http://www.minfin.gob.gt/index.php/ejecucion-y-liquidacion-presupuestaria**](http://www.minfin.gob.gt/index.php/ejecucion-y-liquidacion-presupuestaria) | | | | | |

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| **13. Open and institutionalize spaces for citizen participation in the budget preparation process** | | | | | |
| **Secretariat/Ministry**  **Responsible** | | Ministry of Public Finance | | | |
| **Name of the person responsible** | | José Antonio Menéndez Letona | | | |
| **Position** | | Technical Adviser of the Tax Assessment Directorate | | | |
| **Email** | | [jmenende@minfin.gob.gt](mailto:jmenende@minfin.gob.gt) | | | |
| **Telephone** | | 23228888 Ext. 11378 | | | |
| **Other**  **actors**  **involved** | **Government** | Presidential Commission on Transparency and Electronic Government  Planning and Programming Secretariat of the President’s Office  Bank of Guatemala | | | |
| **Civil Society, Private Initiative** |  | | | |
| **Main Objective** | | Institutionalize channels for citizen participation during the formulation of the General Budget of Income and Expenditure of the State. | | | |
| **Description of the**  **Commitment** | | Approve, disseminate and apply the legal instrument to institutionalize the mechanisms for citizen participation that could be implemented during the formulation of the General Budget of Income and Expenditure of the State. | | | |
| **Relevance** | | The legal instrument developed contributes to opening spaces for citizen participation in the budget formulation stage, which is regulated by Article 23, Government Agreement Number 540-2013, Organic Budget Law Regulation. | | | |
| **Ambition** | | Creating the Budget and Fiscal Policy Analysis Committee, allows opening spaces so that entrepreneurs and civil society representatives participate in the formulation of the project of the General Budget of Income and Expenditure of the State, this space is open for each fiscal year for the elaboration of the Budget Project. | | | |
| **Compliance** | | **Not begun** | **Limited** | **Considerable** | **Complete** |
|  |  |  | **X** |
| **Description of the results** | | In September, 2014 Resolution No. 06-2014 of the National Council of Urban and Rural Development (CONADUR for its initials in Spanish), was issued, establishing the Budget and Fiscal Policy Analysis Commission on a permanent basis, made up by the public sector, entrepreneurs and representatives of civil society with various functions, among them, develop proposals for recommendations to be considered in the formulation of the General Budget of Income and Expenditure of the State. | | | |
| **Completion Date** | | September, 2014 | | | |
| **Next steps** | | Due to the fact that the Resolution mentioned has a permanent nature, it is expected to have greater participation of the members of the Commission, mainly the representatives of civil society, in order to achieve a greater involvement in the formulation stage of the project of the General Budget of Income and Expenditure of the State for each fiscal year. | | | |
| **Additional Information:** You can see this information at:  <http://gobiernoabierto.transparencia.gob.gt/images/compromisos/acuerdo_conadur.pdf> | | | | | |

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| **14. Reforming the Integrated Accounting System -SICOIN (for its initials in Spanish)-, which is a component of the Integrated Financial Management System -SIAF (for its initials in Spanish)-** | | | | | |
| **Secretariat/Ministry**  **Responsible** | | Ministry of Public Finance | | | |
| **Name of the person responsible** | | José Antonio Menéndez Letona | | | |
| **Position** | | Technical Adviser of the Tax Assessment Directorate | | | |
| **Email** | | [jmenende@minfin.gob.gt](mailto:jmenende@minfin.gob.gt) | | | |
| **Telephone** | | 23228888 Ext. 11378 | | | |
| **Other**  **actors**  **involved** | **Government** | Presidential Commission on Transparency and Electronic Government | | | |
| **Society**  **Civil, Private**  **Initiative** |  | | | |
| **Main Objective** | | Reform the Integrated Accounting System -SICOIN -, which is a component of the Integrated Financial Management System -SIAF - | | | |
| **Description of the**  **Commitment** | | The aim is to incorporate reforms to the Integrated Accounting System -SICOIN - with the purpose of contributing to the improvement of fiscal transparency and accountability, as well as easy access for the citizens in their search for public information. | | | |
| **Relevance** | | The reforms to the Organic Budget Law approved by Decree No. 13-2013, establish the obligation of public entities to publish physical and financial execution reports and a body of information related to the management of public finances. For this purpose, it is necessary that the Integrated Accounting System -SICOIN - responds to the data processing and report generation demands that will enable citizens to make appropriate inquiries. | | | |
| **Ambition** | | It is expected that the Integrated Accounting System -SICOIN - presents the consolidated accounting records of the non-financial public sector accounts, for which a working committee composed of the following offices was formed: Analysis and Fiscal Policy, Tax Assessment and IT. | | | |
| **Compliance** | | **Not begun** | **Limited** | **Considerable** | **Complete** |
|  |  | **X** |  |
| **Description of the results** | | This commitment raises various compliance mechanisms most of which have already been implemented, some of them are: updating budget classifiers, access to the registry of real direct investment, and linking financial performance with the physical targets. In addition, the SIAF Technical Committee which analyzes, evaluates and implements the changes requested to the referred system, is already functioning. | | | |
| **Completion Date** | | March, 2016 | | | |
| **Next steps** | | The consolidation of the accounting records of the non-financial public sector accounts must be finished. Besides, because the SICOIN is a dynamic system, the SIAF Technical Committee constantly analyzes and evaluates the various requests for reforms, and if approved, proceeds to its conceptualization, development, and implementation. | | | |
| **Additional Information:** The already implemented mechanisms can be consulted at:  [**http://www.minfin.gob.gt/downloads/leyes\_manuales/manuales\_dtp/clasificaciones\_presup\_sector\_publico.pdf**](http://www.minfin.gob.gt/downloads/leyes_manuales/manuales_dtp/clasificaciones_presup_sector_publico.pdf)  [**http://www.minfin.gob.gt/archivos/presua2015/inicio.htm**](http://www.minfin.gob.gt/archivos/presua2015/inicio.htm)  [**https://sicoin.minfin.gob.gt/sicoinweb/menu/index.html**](https://sicoin.minfin.gob.gt/sicoinweb/menu/index.html) | | | | | |

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| **15. Reform the Local Government Portal -Portal GL-, which is a component of the Integrated Financial Management System -SIAF -** | | | | | |
| **Secretariat/Ministry**  **Responsible** | | Ministry of Public Finance | | | |
| **Name of the person responsible** | | José Antonio Menéndez Letona | | | |
| **Position** | | Technical Adviser of the Tax Assessment Directorate | | | |
| **Email** | | [jmenende@minfin.gob.gt](mailto:jmenende@minfin.gob.gt) | | | |
| **Telephone** | | 23228888 Ext. 11378 | | | |
| **Other**  **actors**  **involved** | **Government** | Presidential Commission on Transparency and Electronic Government | | | |
| **Society**  **Civil, Private**  **Initiative** |  | | | |
| **Main Objective** | | Improve the content and budget structure of the databases of municipal financial information which can be downloaded from the Local Governments Portal. | | | |
| **Description of the**  **Commitment** | | The Local Governments Portal (SICOIN-GL) is a system that contains information on budget formulation and execution of the country's municipalities (subnational governments), and because it's implementation is more recent than the Central SICOIN, has suffered a series of reforms to make it work in real time and with all the applications of the second of the above-mentioned systems. | | | |
| **Relevance** | | The resources for the country's municipalities are programed in the Central Administration as current and capital transfers (expenditure groups 4 and 5, respectively), so it is necessary that the citizen knows how these resources are invested, along with those generated by the municipalities themselves, proceeds from the sale of goods and services, taxes, fees, fines, and others. In addition, Article 257 of the Political Constitution of the Republic stipulates that the municipalities must allocate at least 90% of the government contribution, to education, health, infrastructure and public services programs and projects to improve the quality of life of the inhabitants, and the remaining 10% for operating costs. | | | |
| **Ambition** | | It is expected that with the publication of the budget implementation of the country's municipalities in the SICOIN-GL, the citizen will be able to verify the implementation by object of expenditure of the resources received by the municipalities and the ones they generate, and verify that it meets the above-mentioned constitutional provision, a situation that contributes to transparency, accountability and citizen participation. | | | |
| **Compliance** | | **Not begun** | **Limited** | **Considerable** | **Complete** |
|  |  |  | **X** |
| **Description of the results** | | The Committee of Changes for the SICOIN-GL was created in December, 2014 and its purpose is to understand, analyze, evaluate and authorize any reform raised to the system, which involve conceptual aspects, systems development and use of IT tools. Also, a Work Plan was developed and implemented to define the actions to perform in any reform requested to the Committee. | | | |
| **Completion Date** | | January, 2015 | | | |
| **Next steps** | | According to the Work Plan, there are aspects that must be incorporated gradually to the SICOIN-GL; for example, updated information of the municipal debt, establishment of the municipal ranking, implementation of a virtual library with municipal law, expansion and improvement of information reports, among others. | | | |
| **Additional Information:** You can see this information at:  <http://www.minfin.gob.gt/archivos/gobierno_abierto/15_comite_cambios_gl.pdf>  <http://www.minfin.gob.gt/archivos/gobierno_abierto/15_plan_trabajo.pdf>  <http://portalgl.minfin.gob.gt/Paginas/PortalGobiernosLocales.aspx> | | | | | |

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| **16. Integrating and linking systems and subsystems associated with financial administration** | | | | | |
| **Secretariat/Ministry**  **Responsible** | | Ministry of Public Finance | | | |
| **Name of the person responsible** | | José Antonio Menéndez Letona | | | |
| **Position** | | Technical Adviser of the Tax Assessment Directorate | | | |
| **Email** | | [jmenende@minfin.gob.gt](mailto:jmenende@minfin.gob.gt) | | | |
| **Telephone** | | 23228888 Ext. 11378 | | | |
| **Other**  **actors**  **involved** | **Government** | Planning and Programming Secretariat of the President’s Office  Ministry of Public Health and Social Assistance  Presidential Commission on Transparency and Electronic Government | | | |
| **Society**  **Civil, Private**  **Initiative** |  | | | |
| **Main Objective** | | Promote links, with priority of the following subsystems:   * National System of Public Investment (SNIP for its initials in Spanish). * Health Information Management System (SIGSA). * Procurement and Contracting System of the State (Guatecompras). * Payroll and Personnel Records System (Guatenóminas) * Management System (SIGES). * Fiscal Transparency Portal. | | | |
| **Description of the**  **Commitment** | | The objective is to have comprehensive information and links between the systems, so that they don’t have different or scattered information. In general, the information recorded in these subsystems becomes an input for SICOIN’s records. | | | |
| **Relevance** | | The systems and subsystems mentioned are already integrated, which promotes transparency and access to public information, accountability, as well as citizen participation through the consultations carried out in these systems. | | | |
| **Ambition** | | Because the systems are not static, it is expected that when changes are made or new ones are designed, they keep the comprehensive information and links between them. The governing entities should request the corresponding changes to the Technical Committee. | | | |
| **Compliance** | | **Not begun** | **Limited** | **Substantial** | **Complete** |
|  |  |  | **X** |
| **Description of the results** | | The SIAF Technical Committee created by Ministerial Agreement No. 68-2011, whose purpose is to define rules and procedures and institutionalize the model of the Integrated Financial Management System of the Ministry of Finance, as well as analyze and authorize new modules and applications operates since 2011. Besides, a Work Plan to define the actions to perform in the conceptualization and development stages for comprehensive information and linking up with new systems, was developed and implemented. | | | |
| **Completion Date** | | December, 2014 | | | |
| **Next steps** | | Changes to systems and subsystems, mainly at the request of the governing entities are constantly evaluated and authorized, therefore this dynamic process is carried out throughout the fiscal year aimed at improving and modernizing the Integrated Financial Management System (SIAF) and other subsystems. | | | |
| **Additional Information:** You can see this information at:  [**http://www.minfin.gob.gt/archivos/gobierno\_abierto/14\_16\_comite\_cambios\_siaf.pdf**](http://www.minfin.gob.gt/archivos/gobierno_abierto/14_16_comite_cambios_siaf.pdf)  [**http://www.minfin.gob.gt/archivos/gobierno\_abierto/14\_16\_plan\_trabajo.pdf**](http://www.minfin.gob.gt/archivos/gobierno_abierto/14_16_plan_trabajo.pdf)  [**http://www.minfin.gob.gt/index.php/?option=com\_content&view=article&id=2412&Itemid=318**](http://www.minfin.gob.gt/index.php/?option=com_content&view=article&id=2412&Itemid=318) | | | | | |

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| **17. Fully comply with the standard of the Global Forum of Transparency Taxation of the Organization for Economic Cooperation and Development - OECD-** | | | | | |
| **Secretariat/Ministry**  **Responsible** | | Ministry of Public Finance | | | |
| **Name of the person responsible** | | José Antonio Menéndez Letona | | | |
| **Position** | | Technical Adviser of the Tax Assessment Directorate | | | |
| **Email** | | [jmenende@minfin.gob.gt](mailto:jmenende@minfin.gob.gt) | | | |
| **Telephone** | | 23228888 Ext. 11378 | | | |
| **Other**  **actors**  **involved** | **Government** | Presidential Commission on Transparency and Electronic Government | | | |
| **Civil Society, Private**  **Initiative** |  | | | |
| **Main Objective** | | Promote and accompany the legislative efforts to pass legislation allowing regulation of the banking secrecy for tax control purposes. In addition, performing administrative processes under the responsibility of the Executive Body so that the agreements on exchange of tax information signed by Guatemala in recent years can become valid. | | | |
| **Description of the**  **Commitment** | | The aim is to support efforts for the Congress of the Republic to discuss, analyze and approve Bills 4326 and 4537, which among other things pretends to reform Tax Code Decree No. 6-91 and the Law on Banks and Financial Groups, Decree No. 19-2002, a situation that will allow Guatemala to overcome the setbacks it has in relation to the compliance of the Global Forum of Tax Transparency of the OECD. | | | |
| **Relevance** | | The adoption of such amendments is very important because it will eliminate the banking secrecy and sign agreements for the exchange of tax information between Guatemala and other countries, so that Guatemala is not included in the "gray" list of tax havens and can overcome the three evaluations considered by the Global Forum of Tax Transparency of the OECD. | | | |
| **Ambition** | | It is expected that the Congress of the Republic discusses the adoption of these initiatives, because it will enable the Tax Administration Superintendence (SAT) to see banking information for taxpayers and check that everyone is complying with their tax obligations. | | | |
| **Compliance** | | **Not begun** | **Limited** | **Considerable** | **Complete** |
|  |  | **X** |  |
| **Description of the results** | | The bills have already been prepared and sent to the Congress of the Republic for their analysis, discussion and approval. In addition, the board of the Tax Administration Superintendence (SAT), chaired by the Minister of Finance has already sent a pronouncement to the Congress of the Republic to highlight the importance of approving these reforms for the existing legal framework. | | | |
| **Completion Date** | | June, 2016 | | | |
| **Next steps** | | The commitment establishes spaces for discussion and debate, seminars, workshops, forums, etc., to learn about and highlight the importance of the approval of such amendments, before key actors of the civil society, business sector, academic bodies, and others. | | | |
| **Additional Information:** You can see this information at:  [http://www.publinews.gt/nacionales/directorio-de-la-sat-pide-aprobar-cambios-en-codigo-tributario-y-ley-de-bancos/TetogA---v7hm4oSOUDY](http://www.publinews.gt/nacionales/directorio-de-la-sat-pide-aprobar-cambios-en-codigo-tributario-y-ley-de-bancos/TetogA---v7hm4oSOUDY/) | | | | | |

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| **18. Publishing tax collection targets and the annual collection, control and inspection plan** | | | | | |
| **Secretariat/Ministry**  **Responsible** | | Ministry of Public Finance | | | |
| **Name of the person responsible** | | José Antonio Menéndez Letona | | | |
| **Position** | | Technical Adviser of the Tax Assessment Directorate | | | |
| **Email** | | [jmenende@minfin.gob.gt](mailto:jmenende@minfin.gob.gt) | | | |
| **Telephone** | | 23228888 Ext. 11378 | | | |
| **Other**  **actors**  **involved** | **Government** | Tax Administration Superintendence (SAT)  Presidential Commission on Transparency and Electronic Government | | | |
| **Civil Society, Private Initiative** |  | | | |
| **Main Objective** | | Ensure publishing tax collection and annual collection, control and supervision plan goals approved by the board of the Tax Administration Superintendence (SAT), as well as its updates, as established in Clause i) of Article 7 of the Organic SAT Law Decree 1-98 of the Congress of the Republic (as amended by Article 72 of Decree 13-2013 of the Congress of the Republic). | | | |
| **Description of the**  **Commitment** | | Publish in the web page of the Tax Administration Superintendence (SAT), the tax collection and annual collection, control and inspection plan goals, as well as their updates. | | | |
| **Relevance** | | It is important that the population be aware of the financial capacity of the State, and may refer to the publication of the annual tax collection goals in order to know how much the Government of the Republic estimates it will receive in taxes during the fiscal year. The ideal situation is that the collection goals match the amount of tax revenues in the General Budget of Income and Expenditure of the State approved for the same period, for consistency with projections made by the Technical Finance Committee, which come from the macroeconomic environment submitted by the Bank of Guatemala. | | | |
| **Ambition** | | It is expected that the tax revenue really collected matches the level of the collection goals and the approved budget, a situation that will allow the Government of the Republic to finance all the measures provided for in the annual operating plan of public institutions. Also, these results must be made known to the population so that every citizen knows in what the State is investing their taxes, a situation that will contribute to the development of a tax culture. It is also hoped that when the citizen knows the financial capacity of the State, he can channel his demands more prudently to the State without causing social unrest (strikes and stoppages, demonstrations, blocking roads, taking public buildings, etc.) | | | |
| **Compliance** | | **Not begun** | **Limited** | **Considerable** | **Complete** |
|  |  |  | **X** |
| **Description of the results** | | In February, 2015, the "Agreement for the enforcement of tax collection targets 2015 “was published on the webpages of the Ministry of Public Finance and the Tax Administration Superintendence (SAT), signed by the President of the Republic and the Tax Administration Superintendent. Also published was the 2015 Tax Revenues Monthly Schedule, to ensure that people are aware of the monthly amounts estimated to be received of the Q. 54,701.1 million programmed. With these publications the enforcement mechanisms defined for this commitment become effective. | | | |
| **Completion Date** | | February, 2015 | | | |
| **Next steps** | | If there are updates to the program of the tax collection targets, they should be published on the web page of the aforementioned entities. | | | |
| **Additional Information:**    It is expected that during 2015, the gap between the effective collection and the program of tax goals will be closed. To counteract the reduction in tax collection, the Government of the Republic has had to implement stringent austerity measures and cost containment, situation that has affected the good performance of public institutions.  <http://www.minfin.gob.gt/archivos/estadisticas/convenio2015.pdf>  <http://www.minfin.gob.gt/archivos/estadisticas/metas2015.pdf> | | | | | |

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| 1. **Transparenting tax exemptions and exonerations** | | | | | |
| **Secretariat/Ministry**  **Responsible** | | Tax Administration Superintendence | | | |
| **Name of the person responsible** | | Sandra Elizabeth Román Sandoval | | | |
| **Position** | | Head of the Department of External Entities Control | | | |
| **Email** | | [seromans@sat.gob.gt](mailto:seromans@sat.gob.gt) | | | |
| **Telephone** | | 23297070 ext. 4306 | | | |
| **Other**  **actors**  **involved** | **Government** | Ministry of Public Finance  Ministry of Economics  Ministry of Energy and Mines  Guatemalan Tourism Institute  Presidential Commission on Transparency and Electronic Government | | | |
| **Society**  **Civil, Private**  **Initiative, groups**  **Workgroups or**  **Multilateral** |  | | | |
| **Main Objective** | | Design and implement an electronic system of transparency, and public access, on exemptions and exonerations and all differentiated tax treatment. This system must publish details such as the recipients, amounts of unpaid taxes, economic activity, jobs generated (where appropriate), and others. | | | |
| **Brief description of the**  **Commitment** | | Implementation of an electronic consultation of public character where detail information of taxpayers who enjoy exemptions and tax benefits can be shown, showing their Taxpayer’s ID Number (NIT for its initials in Spanish), name of the contributor, exempt type and category, and jobs generated when applicable. Any consultation made will be able to be exported to an open data format. | | | |
| **Relevance** | | The fulfillment of the commitment fosters and promotes accountability of the Administration by the citizens, providing information on the beneficiaries of tax exemptions or tax incentives which allows citizens to carry out a control or follow-up of the government action, as well as create economic or social value from the public data offered freely by the Administration. | | | |
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| **Compliance** | | **Not begun** | **Limited** | **Considerable** | **Complete** |
|  |  | **X** |  |
| **Description of the results** | | From October 2014 to August 2015, the following activities were carried out:   * Classification by categories of the database of exempt contributors. * A functional design of the electronic consultation system was developed. * A document with an open data format was published in the SAT portal, showing the beneficiaries by exempt type and category. * The opinion of the Legal Affairs Intendance was requested on the information to be disclosed and permission to publish at "Open Government" * Information was required from the Ministry of Economics for jobs generated by taxpayers enjoying temporary tax incentives. * Information was required from the Ministry of Mines and Energy with respect to taxpayers who enjoy tax incentives for renewable energy projects. * The electronic system of public consultation was developed. * Tests for the operation of the electronic system were carried out. * The electronic system, which contains information about the exempt permanent and temporary entities and the jobs generated was published and disclosed to the citizens. | | | |
| **Completion Date** | | **August 31, 2015** | | | |
| **Next steps** | | Publication and disclosure of the tax expenditure. | | | |
| **Additional Information:**  In a next version of the system, catalogs to consult the tax expenditure by exempt type and category will be included.  <http://gobiernoabierto.transparencia.gob.gt/compromisos/por-sociedad-civil/compromiso-civil-19>  <http://gobiernoabierto.transparencia.gob.gt/images/cronograma_compromiso_sat.pdf>  <http://gobiernoabierto.transparencia.gob.gt/images/dise%C3%B1o_funcional_de_sistema.pdf>  <http://gobiernoabierto.transparencia.gob.gt/images/informe_de_avances_ofi_sat_irg_043_2015.pdf>  <http://gobiernoabierto.transparencia.gob.gt/images/requerimiento_de_informaci%C3%B3n_ministerio_de_economia_ofi_sat_irg_026_2015.pdf> | | | | | |

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| **20. Standardizing the basis for State procurement** | | | | | |
| **Secretariat/Ministry**  **Responsible** | | Ministry of Public Finance | | | |
| **Name of the person responsible** | | José Antonio Menéndez Letona | | | |
| **Position** | | Technical Adviser of the Tax Assessment Directorate | | | |
| **Email** | | [jmenende@minfin.gob.gt](mailto:jmenende@minfin.gob.gt) | | | |
| **Telephone** | | 23228888 Ext. 11378 | | | |
| **Other**  **actors**  **involved** | **Government** | Presidential Commission on Transparency and Electronic Government | | | |
| **Civil Society, Private**  **Initiative** |  | | | |
| **Main Objective** | | Develop standard documents (agreements, manuals or guidelines) that establish homogeneous formats, so that all of the executing units follow the same general criteria for their acquisitions. | | | |
| **Description of the**  **Commitment** | | The rules and standard documents will help decrease the levels of discretion and opacity in the procurement area of the State, because in the absence of the same there is a tendency to interpret and apply the Procurement Law of the State in a wrong way. | | | |
| **Relevance** | | The standardization of rules and documentation will contribute to transparency in the procurement processes of the State, by allowing the correct application of the Contracting Law of the State and avoid unfettered discretions. | | | |
| **Ambition** | | It is expected that through a Ministerial Agreement or Resolution the standardization of documents involving the procurement processes of the State is approved. It is also expected that the authorities of the Ministry of Finance approve the Work Plan developed to guide the process. | | | |
| **Compliance** | | **Not begun** | **Limited** | **Considerable** | **Complete** |
|  |  | **X** |  |
| **Description of the results** | | The corresponding Work Plan was developed, pending approval by senior officials of the Ministry of Public Finance. Besides, projects of standard documents were developed, that will be subject to the approval of the appropriate authorities. | | | |
| **Completion Date** | | January, 2016 | | | |
| **Next steps** | | A series of proposals to reform the Contracting Law of the State that allow its correct application are being currently discussed in the technical committee of the Congress of the Republic of Guatemala. | | | |
| **Additional Information:**  <http://gobiernoabierto.transparencia.gob.gt/compromisos/por-sociedad-civil/compromiso-civil-20> | | | | | |

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| **21. Regulating and transparenting direct purchases and cases of exception for State procurement** | | | | | |
| **Secretariat/Ministry**  **Responsible** | | Ministry of Public Finance | | | |
| **Name of the person responsible** | | José Antonio Menéndez Letona | | | |
| **Position** | | Technical Adviser of the Tax Assessment Directorate | | | |
| **Email** | | [jmenende@minfin.gob.gt](mailto:jmenende@minfin.gob.gt) | | | |
| **Telephone** | | 23228888 Ext. 11378 | | | |
| **Other**  **actors**  **involved** | **Government** | Presidential Commission on Transparency and Electronic Government | | | |
| **Society**  **Civil, Private**  **Initiative** |  | | | |
| **Main Objective** | | The standards to be issued, shall include but are not limited to, the obligatory use of the electronic offer, for which all entities will be forced to use the module that is already operating in the Procurement and Contracting System of Guatemala (Guatecompras). | | | |
| **Description of the**  **Commitment** | | The intention is to have an emission of regulations that restrict the abuse in the use of direct purchases and cases of exceptions, which are regulated by the State Contracting Law. | | | |
| **Relevance** | | The large amount of resources delivered through direct purchases and/or cases of exceptions has been sharply criticized. The argument is that many institutions make use of these acquisition mechanisms to evade the State Contracting Law. The emission of rules to regulate and restrict these practices is a great contribution to achieve transparency and fight corruption. | | | |
| **Ambition** | | It is expected that the volume of purchases and acquisitions through the mechanisms of direct purchase and cases of exception decrease significantly. The ideal situation is that all institutions use competitive mechanisms of contracting and procurement, for which it is imperative to adopt regulatory standards. | | | |
| **Compliance** | | **Not begun** | **Limited** | **Substantial** | **Complete** |
|  |  | **X** |  |
| **Description of the results** | | There is Resolution No. 11-2010 which contains the rules for the use of the system of Guatecompras, but it is necessary to review it and update it. | | | |
| **Completion Date** | | January, 2015 | | | |
| **Next steps** | | A series of proposals are in analysis and discussion in the Congress of the Republic for the State Contract Law, to regulate and limit excessive use of mechanisms of acquisitions that ignore such Law. For this, the staff of the Regulating Office of State Procurement and Contracts of the Ministry of Public Finance participate in this technical committee evaluating such proposals. | | | |
| **Additional Information:**  [**http://gobiernoabierto.transparencia.gob.gt/compromisos/por-sociedad-civil/compromiso-civil-21**](http://gobiernoabierto.transparencia.gob.gt/compromisos/por-sociedad-civil/compromiso-civil-21)  [**http://gobiernoabierto.transparencia.gob.gt/images/Copret-\_Resoluci%C3%B3n\_11-2010.pdf**](http://gobiernoabierto.transparencia.gob.gt/images/Copret-_Resoluci%C3%B3n_11-2010.pdf) | | | | | |

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| 1. **Strengthening the generation of reference prices for acquisitions in the form of open contract** | | | | | | |
| **Secretariat/Ministry**  **Responsible** | | National Statistics Institute | | | | |
| **Name of the person responsible** | | Orlando Monzón | | | | |
| **Position** | | Deputy Manager | | | | |
| **Email** | | [omozon@ine.gob.gt](mailto:omozon@ine.gob.gt) | | | | |
| **Telephone** | |  | | | | |
| **Other**  **actors**  **involved** | **Government** | * Ministry of Economics * Ministry of Public Finance   Presidential Commission on Transparency and Electronic Government | | | | |
| **Society**  **Civil, Private**  **Initiative** |  | | | | |
| **Main Objective** | | Create in the organizational structure of the National Statistics Institute, the specific unit responsible for the generation of reference prices for acquisitions in the form of open contract. | | | | |
| **Brief description of the**  **Commitment** | | The commitment requires establishing the Reference Price Unit in the INE. The creation of the Unit is a responsibility of INE’s Board of Directors, through a resolution. | | | | |
| **Relevance** | | The reference price system that INE would make available for the executing units, will facilitate the Open Contract processes, avoiding the discretion in prices that are established for the case. | | | | |
| **Ambition** | | The availability of reference prices will allow transparency when acquiring goods and services in the form of Open Contract. The reference price system that is to be implemented, shall apply to price comparison processes and bidding. | | | | |
| **Compliance** | | **Not begun** | **Limited** | **Substantial** | **Complete** | |
|  |  | **X** |  | |
| **Description of the results** | | The legal and organizational aspects related to the commitment were analyzed, a project for the establishment of the Unit was developed by the Institute’s management, to be raised to the Board of Directors, and the file has the request for the establishment of the Reference Price Unit. From the Resolution of the Board of Directors, Management proceeded to carry out the corresponding appointments to assign officials to such Unit. | | | | |
| **Completion Date** | | The operation of the reference price system is planned for June 2016, due to the need to determine elements related to the reference prices, among them, seasonality. | | | | |
| **Next steps** | | Development of procedures for the collection of information and estimate of reference prices. | | | | |
| **Additional Information:**  The generation of reference prices requires the participation of all executing units of the State. INE participated in the recent Congress Committee for the amendments proposed to the State Contracting Law, the procedure worked jointly with COPRET allowed INE to submit a proposal that makes Article 8 of the Law simpler.  <http://gobiernoabierto.transparencia.gob.gt/compromisos/por-sociedad-civil/compromiso-22-civil>  <http://gobiernoabierto.transparencia.gob.gt/images/compromisos/gobierno/3/Creacion_de_la_Unidad_de_precios_de_referencia.pdf>  <http://gobiernoabierto.transparencia.gob.gt/images/Certificacion_de_Unidad_de_Precios_y_Salarios_de_Referencia.pdf> | | | | | | |
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| 1. **Strengthening the units or offices responsible for public procurement planning** | | | | | |
| **Secretariat/Ministry**  **Responsible** | | Presidential Commission on Transparency and Electronic Government | | | |
| **Name of the person responsible** | | Giovanni Jerez | | | |
| **Position** | | Advisor | | | |
| **Email** | | [ajerez@transparencia.gob.gt](mailto:ajerez@transparencia.gob.gt) | | | |
| **Telephone** | | 22391500 | | | |
| **Other**  **actors**  **involved**  **.** | **Government** | Addressed to all the entities of the Executive Body. | | | |
| **Society**  **Civil, Private**  **Initiative** | Planning and Programming Secretariat of the President’s Office  National Institute of Public Administration | | | |
| **Main Objective** | | Promote, develop and coordinate Executive Body employee training for State contracting, with the aim of disclosing the procurement of goods and/or services to achieve a budget implementation that meets standards of efficiency, effectiveness and optimization of resources that reflect the quality of spending in all procurement and contracting activities of the Executive Body. | | | |
| **Description of the**  **Commitment** | | To build capacity of those responsible for carrying out the planning of public procurement, COPRET’s School of Transparency teaches the State Contracting Law course. | | | |
| **Relevance** | | This commitment is of vital importance in the entities of the Executive Body, because its compliance directly affects proper implementation of the allocated budget, because if there is a properly designed procurement plan, the entities will be able to meet their goals in a transparent and efficient manner, and in this way reduce all the emerging acquisitions, because all the needs of each of the units of the Executive Body units will be known while the plan is being prepared. | | | |
| **Ambition** | | * Train public servants, especially all those who are directly involved in the various procurement processes regulated by Decree Number 57-92 of the State Contracting Law. * Increase transparency in procurement and contracting activities. * Implement higher integrity standards among those involved in these contracting processes. * Promote ethical conduct in public servants for contracting activities. * Reduce acts of corruption in procurement of goods and/or services. | | | |
| **Compliance** | | **Not begun** | **Limited** | **Substantial** | **Complete** |
|  |  |  | **X** |
| **Description of the results** | | Classroom-led courses under the theoretical, practical and participation mode. Made up of different topic areas and analysis of several case studies. A Considerable part of the time is used for masterly development and is completed with group work following a specially prepared text by the instructor and the presentation of the content through multimedia technology. The course has a comprehensive and integrated approach with a multidisciplinary, conceptual development.  An online course has also been virtually created that allows interaction with the teacher, to solve cases of interest without restrictions of time and location.  On the other hand, daily monitoring to the entities of the Executive Body are carried out to determine that the procurement processes are performed in accordance with the State Contracting Law. | | | |
| **Completion Date** | | Guatemala, July 2, 2015 | | | |
| **Next steps** | | * Continue training all public servants that provide their services in the various areas of procurement and contracting. * Continue developing courses, workshops and diploma courses both online and classroom-led courses, as well as training activities addressing the Culture of Transparency. * Continue to promote healthy, open and democratic participation on issues related to transparency and ethics. * Designing curricula, plans, programs, projects and types of training, developing academic and logistic controls and evaluation instruments. * Continue monitoring the entities that are part of the Executive Body, to determine if they are working on the preparation of the Annual Procurement Program, and if indeed the budgetary implementation is being carried out according to the previously prepared planning. | | | |
| **Additional Information:**  <http://gobiernoabierto.transparencia.gob.gt/compromisos/por-sociedad-civil/compromiso-23-civil>  <http://gobiernoabierto.transparencia.gob.gt/images/MECANISMO_1_COMPROMISO_23.pdf>  <http://gobiernoabierto.transparencia.gob.gt/images/MECANISMO_1_Y_2_COMPROMISO_23.pdf>  <http://gobiernoabierto.transparencia.gob.gt/images/MECANISMO_3_COMPROMISO_23.pdf> | | | | | |

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| **24. Strengthening internal audit units** | | | | |
| **Secretariat/Ministry**  **Responsible** | Presidential Commission on Transparency and Electronic Government | | | |
| **Name of the person responsible** | Carlos Tobal | | | |
| **Position** | Advisor of the Internal Audit Unit | | | |
| **Email** | [ctobal@transparencia.gob.gt](mailto:ctobal@transparencia.gob.gt) | | | |
| **Telephone** | 2318-3400 Ext. 126, 153 | | | |
| **Main Objective** | Strengthen training programs targeting internal audit units to contribute to an *ex ante assessment across* public administration. COPRET will look for support from the international community for programs of technical assistance and training according to the best international standards and practices. | | | |
| **Brief description of the**  **Commitment** | Provide support to directors and heads of each of the internal audit units of the Executive Body, through training designed to strengthen internal control, regulation and audit systems. | | | |
| **Relevance** | That through training provided to directors and heads of internal audit units, it contributes to strengthen ethical values, and that their acts in performing their work are transparent according to the regulations and making them aware of the implications of the lack of diligent work. | | | |
| **Ambition** | That each of the directors and heads of internal audit units, get the skills needed to provide efficient and effective results in performing their work; and that with the use of the tools and IT systems, they issue recommendations in the audit reports to strengthen internal control of each institution. | | | |
| **Compliance** | **Not begun** | **Limited** | **Considerable** | **Complete** |
|  | **X** |  |  |
| **Description of the results** | To develop a training project approved by the highest authority of the Presidential Commission on Transparency and Electronic Government -COPRET-, for a 6 month period where the directors and heads of the internal audit units of the Executive Body will be trained. | | | |
| **Completion Date** | June, 2016 | | | |
| **Next steps** | Start and follow-up of the training to the directors and heads of internal audit units. | | | |
| **Additional Information:**  [**http://gobiernoabierto.transparencia.gob.gt/compromisos/por-sociedad-civil/compromiso-24-civil**](http://gobiernoabierto.transparencia.gob.gt/compromisos/por-sociedad-civil/compromiso-24-civil)  [**http://gobiernoabierto.transparencia.gob.gt/images/planificacion\_\_2015\_capacitaciones\_auditoria\_1.pdf**](http://gobiernoabierto.transparencia.gob.gt/images/planificacion__2015_capacitaciones_auditoria_1.pdf)  **http://gobiernoabierto.transparencia.gob.gt/images/aprobacion\_validadcion\_del\_plan\_de\_fortalecimiento\_auditoria.pdf** | | | | |

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| **25. Updating internet trusts and non-governmental organizations portals and budget modifications** | | | | | |
| **Secretariat/Ministry**  **Responsible** | | Ministry of Public Finance | | | |
| **Name of the person responsible** | | José Antonio Menéndez Letona | | | |
| **Position** | | Technical Adviser of the Tax Assessment Directorate | | | |
| **Email** | | [jmenende@minfin.gob.gt](mailto:jmenende@minfin.gob.gt) | | | |
| **Telephone** | | 23228888 Ext. 11378 | | | |
| **Other**  **actors**  **involved** | **Government** | Presidential Commission on Transparency and Electronic Government | | | |
| **Civil Society, Private**  **Initiative** |  | | | |
| **Main Objective** | | Keep up to date information available in the information units on trusts, non-governmental organizations and budget modifications of the Ministry of Public Finance. | | | |
| **Description of the**  **Commitment** | | Information on budget implementation through trusts and non-governmental organizations is published in the Web portal of the Ministry of Public Finance; also, monthly information related to approved budget modifications. | | | |
| **Relevance** | | Regardless of the fact that the publication of this information was considered in this commitment, it is worth mentioning that the Organic Budget Law and the Law on Access to Public Information already regulate this field and the Ministry of Public Finance has monthly publications on its website of the information required so that the population has access to the public expenditure under these implementation modes and on the regime of budget modifications. | | | |
| **Ambition** | | It is expected that the citizens who consult this information in the webpage of the Ministry of Finances find the data they are looking for and are able to participate proactively by formulating concrete proposals to improve each of the stages of the budget cycle. The topic of the trusts has been greatly questioned by public opinion, so that when publishing extensive information about it, it is expected that the citizen finds out the way these public resources are executed under this modality. | | | |
| **Compliance** | | **Not begun** | **Limited** | **Considerable** | **Complete** |
|  |  |  | **X** |
| **Description of the results** | | This is information on the trust budget execution and contributions through non-governmental organizations, according to figures reported by SICOIN and that are published every year on a monthly basis on the webpage of the MOF, according to the Organic Budget Act and the Law on Access to Public Information. | | | |
| **Completion Date** | | January, 2015 | | | |
| **Next steps** | | Special care must be taken to monthly publish such information according to the data reported by the Integrated Accounting System (SICOIN). | | | |
| **Additional Information:** You can see this information at:  [http://transparencia.minfin.gob.gt/transparencia/FideicomisosActualizado.aspx?p1=1&amp;id\_menu=132&amp;id\_pagina=135&amp;nombre=Ejecución%20Presupuestaria%20de%20Fideicomisos%20Actual](http://transparencia.minfin.gob.gt/transparencia/FideicomisosActualizado.aspx?p1=1&id_menu=132&id_pagina=135&nombre=Ejecuci%C3%B3n%20Presupuestaria%20de%20Fideicomisos%20Actual)  <http://www.minfin.gob.gt/archivos/estadisticas/doc301.pdf>  <http://www.minfin.gob.gt/index.php/2012-07-20-01-26-02/2marcolegal?id=2288>  <http://www.minfin.gob.gt/downloads/informe_medio_anio/informes_230215.pdf> | | | | | |

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| 1. **Electronic government and improvements in the quality of public services** | | | | |
| **Secretariat/Ministry**  **Responsible** | Presidential Commission on Transparency and Electronic Government | | | |
| **Name of the person responsible** | Joel Peñate | | | |
| **Position** | Electronic Government Director | | | |
| **Email** | [jgiron@transparencia.gob.gt](mailto:jgiron@transparencia.gob.gt) | | | |
| **Telephone** | 2318-3400 | | | |
| **Main Objective** | Standardize all the portals of the subjects bound by obligation for their better functioning and performing audits on them | | | |
| **Brief description of the**  **Commitment** | Creation of Open Government, Transparency and Access to Information portals of the subjects bound by obligation and their monthly update. | | | |
| **Relevance** | Standardization and proper functioning of each website of the institutions of the public sector to publish information they manage in a transparent and reasonable manner for society. | | | |
| **Ambition** | Standardization and strengthening of the Web portals of the governmental institutions involved. | | | |
| **Compliance** | **Not begun** | **Limited** | **Considerable** | **Complete** |
|  |  | **X** |  |
| **Description of the results** | Creation of portals in public institutions. | | | |
| **Completion Date** | June, 2016 | | | |
| **Next steps** | Meeting with 3 institutions (Ministry of Labor and Social Welfare, Ministry of Economics and Ministry of Culture and Sports) for the creation of an open data portal. | | | |
| **Additional Information:**  [**http://gobiernoabierto.transparencia.gob.gt/images/compromisos/gobierno/compromiso\_26\_de\_sociedad\_civil.pdf**](http://gobiernoabierto.transparencia.gob.gt/images/compromisos/gobierno/compromiso_26_de_sociedad_civil.pdf)  [**http://www.transparencia.gob.gt/docus/adjuntos\_sustantivas/egob/manual\_de\_normativas\_para\_web\_institucionales\_revisado\_18022015.pdf**](http://www.transparencia.gob.gt/docus/adjuntos_sustantivas/egob/manual_de_normativas_para_web_institucionales_revisado_18022015.pdf) | | | | |

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# PROGRESS IN RELATION TO THE ELIGIBILITY CRITERIA

The Presidential Commission on Transparency and Electronic Government, point of contact of the Open Government Partnership and coordinating entity of the implementation of the National Action Plan for Open Government 2014-2016, created the Open Government Website with the purpose that the entire population, analysts and researchers have access to the progress and level of compliance of each of the commitments.

Link: <http://gobiernoabierto.transparencia.gob.gt/>

# LEARNING AND COLLABORATION AMONG COUNTRIES

In March, 2014, Guatemala participated in the "Latin American Regional Seminar on Open Government, Achievements and Challenges", held in Bogotá, Colombia; where the achievements and challenges facing governments in the region were discussed, to place Latin American practices and institutions in a global perspective. The seminar also included the presence of numerous civil society organizations and multilateral organizations.

The countries also discussed the preliminary results of the OECD report on Open Government in Latin America, which has helped develop its main conclusions. In the sessions on the strategies of open government, open data and access to information, the common challenges were identified and the next steps to be taken to improve regional dialog were agreed upon.

Guatemala participated in the exchange of best practices and challenges of Open Government during the Ibero-American Symposium of Open Government, which was held on September 4 and 5, 2014 in Asuncion, Paraguay.

A seminar on open government for officials and representatives of the Guatemalan civil society, organized at the request of the Government of Guatemala, by a delegation of experts from the OECD and representatives of the Governments of Costa Rica and Brazil was conducted in the city of Guatemala from January 19 to 21, 2015. The seminar was held with financial support from the Embassy of the United Kingdom in Guatemala and jointly organized with the Presidential Commission on Transparency and Electronic Government (COPRET). The objective of the event was to share OECD principles and best practices on the elaboration and implementation of open government policies for the public sector and the Guatemalan civil society, as well as build capacities of public officials and civil society organizations to implement the action plan of the OGP.

The event attracted a large audience, there were around 80 participants between officials and members of civil society.

On February 27, 2015, during the on-site visit of the Inter-American Convention against Corruption and the Follow-Up Mechanism for its Implementation (MESICIC), Guatemala provided collaboration to the Republic of Panama through Licenciado Antonio Lam, about the way the public consultation and participation in the elaboration of the National Action Plan for Open Government must be carried out.

On July 16, 2015, a teleconference was held with the participation of representatives of COPRET and representatives of the Youth Student Twenty-first Century Program of Mexico, with the aim of exchanging experiences regarding the participation on issues of open government with children and young people; it also had the participation of representatives from local government, the Mayor of the Municipality of Tecámac, a public elementary school principal, and the President of the Local Entrepreneurs Association of Mexico.

# CONCLUSIONS, OTHER INITIATIVES AND FOLLOWING STEPS

Open Government during the implementation of the Second National Action Plan of Guatemala 2014-2016, shows that it is the necessary means to promote transparency, collaboration and participation.

Transparency is understood as proactive where the citizen is the owner and final consumer of the information of public services. Collaboration between the Government and civil society organizations, in which the commitment of the citizens and other agents involved is evident to participate and work together with the Administration for improving public services, as well as the whole process in designing and implementing public policies, and the transversal nature and interoperability that must exist internally and between the different entities and State bodies, has been shown. This not only includes the entities that are directly responsible, but also the 14 State Ministries.

There are important elements that have been crosscutting in compliance with the commitments in the Action Plan for Open Government 2014-2016, which consist of:

Opening public data and electronic government, looking for transparency, efficiency and improvement in the quality of public services, allowing to reuse public data, portal standardization and accountability to the citizens.

It was unthinkable that these data could be within the citizen’s reach, today they are of easy access, as is the degree of implementation of loans and grants by the Executive Body, that are published in the Open Government page. In the same way the updated information of resources used for damage caused by natural disasters that is published and updated in the web portals of CONRED and N7 and J7 Systems, the community mappings under the responsibility of SEGEPLAN; in addition there is a great step forward in ensuring transparency of tax exemptions and exonerations by SAT, the institutionalization of the first health level to comply with Articles 76 and 77 of the Decree Number 13-2013.

Also, according to reports from the Ministry of Public Finance, there is considerable progress, having described a complete breakthrough in the publication of annual tax collection targets and annual audit; updating trust and NGOs websites, internet, and budget modifications; likewise, the representative of the Ministry of Public Finance in the technical committee of open government, Licenciado José Antonio Menéndez pointed out in the last meeting on September 21, 2015 that they are open to see if there are some additional actions that are deemed necessary to incorporate in the commitments that they described as complete, they would be in a position to consider if they can incorporated.

Undoubtedly, during the first year of implementation of the National Action Plan for Open Government 2014-2016, some **drawbacks and** **challenges** for the achievement of compliance with the commitments were detected, these are: a) the regulatory framework and b) institutional capacity; it is also important to note that the political will is of utmost importance to sustain the Plan during the change of government.

It should be noted that, to date, of the 48 commitments of Open Government, 6 have a limited compliance advance, which is 12.50 % of the total commitments, 14 have considerable progress, which is 29.16 % of the total commitments and 28 have full compliance, which corresponds to 58.33 % of the 48 Plan commitments.

All the Plan’s directly responsible institutions are committed to continue working in compliance of the 20 commitments that still have to be completed, so it is expected that during the first half of 2016, the 20 commitments achieve considerable or complete progress.

# GUATEMALA’s POLITICAL SITUATION FROM APRIL TO SEPTEMBER 2015

During the monthly technical committee meeting of Open Government that took place on September 21, 2015, civil society organizations indicated that it was essential to include in the self-assessment report, a summary of Guatemala’s political situation from April to September 2015; below is the following description:

In Guatemala a political crisis occurred, stemming from a case of corruption in the Government, when on April 16,    [2015](https://es.wikipedia.org/wiki/2015) the International Commission against Impunity in Guatemala -[CICIG) for its initials in Spanish)](https://es.wikipedia.org/wiki/CICIG)- gave information to the Guatemalan society that revealed the participation of high-level public officials, and legally acted even against former president [Otto Perez Molina](https://es.wikipedia.org/wiki/Otto_P%C3%A9rez_Molina), former vice-president Ingrid Roxana Baldetti, former private secretary of the Office of the Vice-President, Juan Carlos Monzón and two former superintendents of the  Tax Administration Superintendence-[SAT](https://es.wikipedia.org/wiki/Superintendencia_de_Administraci%C3%B3n_Tributaria_de_Guatemala)-, all this by reporting that it was a sophisticated customs smuggling network called "The Line".  The situation became difficult for the administration of former President Pérez Molina, especially after the former vice-president Roxana [Baldetti](https://es.wikipedia.org/wiki/Roxana_Baldetti) resigned from her position on May 8, 2015, together with peaceful public demonstrations conducted every Saturday for six consecutive weeks, with the intervention of Guatemalans from different sectors demanding the resignation of the president and vice-president.

On May 20, 2015, in a press conference, the [CICIG](https://es.wikipedia.org/wiki/CICIG) and the Public Ministry disclosed a new case of large-scale corruption, this time, in the [Guatemalan Social Security Institute](https://es.wikipedia.org/wiki/Instituto_Guatemalteco_de_Seguridad_Social) -IGSS for its initials in Spanish); the members of the Board of Directors of the IGSS were accused of having abnormally awarded a contract to the Mexican company Pisa, which had agreed to provide peritoneal dialysis treatment  [to](https://es.wikipedia.org/wiki/Di%C3%A1lisis_peritoneal)  patients from that institution, without having the necessary infrastructure to provide the service, which was associated with dozens of cases of patients with peritonitis, as well as with at least ten deceased, causing the IGSS to rescind the contract on May 13, 2015. Later another case known as the "[Network Case](https://es.wikipedia.org/wiki/Caso_Redes_en_Guatemala) ", was made public, where former private secretary of the President's Office, Gustavo Martinez, the son-in-law of former President Pérez Molina was involved.

Following the resignation of former vice-president Baldetti Elias, former President Pérez Molina proposed a shortlist of three candidates to the Congress of the Republic  to designate a substitute; the members of the [short list](https://es.wikipedia.org/w/index.php?title=Ternas_vicepresidenciales_en_Guatemala_en_2015&action=edit&redlink=1) were [Adela Camacho de Torrebiarte](https://es.wikipedia.org/wiki/Adela_de_Torrebiarte), the then Minister of Labor  Carlos Contreras and Adrian Zapata. Congress rejected the shortlist because Contreras had constitutional prohibition since he was the Minister of Labor; in view of this, the former president sent a second shortlist, which included congressman Oliverio García Rodas instead of Contreras, but this was also rejected by Congress. Finally, the former president sent a third shortlist, including this time magistrate Alejandro Maldonado Aguirre, who was elected by Congress, and sworn into office for the vice-presidency on May 14, 2015.

On August 21, 2015 the CICIG and the Public Ministry requested an arrest warrant against former vice president [Roxana Baldetti](https://es.wikipedia.org/wiki/Roxana_Baldetti) and promoted a preliminary hearing against former president  [Otto Perez Molina](https://es.wikipedia.org/wiki/Otto_P%C3%A9rez_Molina), to take away his immunity in order to prosecute him for criminal offenses of passive bribery, conspiracy and special case of customs fraud. In a press conference both entities informed that from evidence obtained during the operations of April 16, 2015 showed that Juan Carlos Monzón was not the leader of (The Line), but the former president and former vice-president, who was linked to process and sent to detention.

Finally, on September 2, 2015 former President Pérez Molina submitted his resignation to the Congress of the Republic, which was accepted on September 3, having been linked to criminal procedure and sent to preventive prison; for this reason, the Congress of the Republic took the oath from Attorney  [Alejandro Maldonado Aguirre as](https://es.wikipedia.org/wiki/Alejandro_Maldonado_Aguirre) the President of the Republic of Guatemala.

Since the resignation of Roxana Baldetti, the cry of the citizens did not wait long, each Saturday they would meet in the Plaza of the Constitution to express their discontent and call for the resignation of the president. Attorney Alejandro Maldonado was sworn into office as President of Guatemala on September 3 after the Congress’s plenary knew and approved the resignation of Otto Perez. According to the Constitution of the Republic of Guatemala, the situation dictated that a new vice-president be appointed, so that the new president had to submit a shortlist of three candidates to Congress to elect the person from it.

On September 16, the Congress of the Republic elected as vice president Dr. Alfonso [Fuentes Soria](https://es.wikipedia.org/wiki/Juan_Alfonso_Fuentes), and President Maldonado Aguirre began to decide the replacement of the Ministers of Pérez Molina’s government by appointing substitutes to finish the 2012-2016 period.

It is also important to note that during this period of political instability, several government officials presented their letters of resignation to former Otto Perez Molina and others were separated from their positions, as is the case of the former Commissioner of Transparency, Veronica Taracena, who was replaced by Licenciado Marlon Joshua Barahona Catalan, who currently holds the position and was appointed on the proposal of the Constitutional President of the Republic, Attorney Alejandro Maldonado Aguirre.

It is worth mentioning that from May to July 2015, the Presidential Commission on Transparency, coordinating institution of the National Action Plan for Open Government 2014-2016, did not have higher authority, because of the removal from office of the former commissioner, however COPRET’s Initiative Transparency Direction had the opportunity to inform Vice-President Alejandro Maldonado Aguirre on the international and national commitments that have been acquired in the area of transparency and that it was necessary to continue with the technical work to be able to comply with them. In the case of Open Government several technical meetings were held attended by representatives of the various institutions responsible for the 48 commitments and civil society, in order to make progress in the medium-term self-assessment process that Guatemala must submit to the Open Government Partnership on September, 2015. Therefore when the new Commissioner of Transparency was appointed it was possible to submit the first version of the report to him and, with its momentum, there was a call to hold technical committee’s meetings for Open Government, having been able to continue to report on the progress of implementation of the commitments of Open Government and the Mid-term Self-Evaluation report of the 48 commitments that make up the National Action Plan for Open Government 2014-2016.

# VALIDATION PROCESS FOR THE SELF-ASSESSMENT REPORT

As part of the preparation of the self-assessment report of the Second National Action Plan for Open Government of Guatemala 2014-20116, there was a process of consultation on the draft of the self-assessment report prepared by the Presidential Commission on Transparency and Electronic Government (COPRET), which was published on the Open Government website for a 3 week period from September 4-25, 2015, and that, to date, the space remains open for any comments or suggestions that citizens may want to make in this regard, the link where it is published is the following: <http://gobiernoabierto.transparencia.gob.gt/seguimiento/informe-autoevaluacion-2014-2016?start=4>, this was made known to the civil society organizations and government institutions involved in the Technical Committee for Open Government by e-mail, as a consultation process of the Self-Assessment.

In addition, a space for comments and contributions from the general public has been created as part of the consultation process, and to date 10 public comments have been received, which highlight the fact that one of the main challenges is to create more mechanisms for promotion and advertising for this type of document, because it is extremely important at the national level.



After handing over the Open Government Partnership self-assessment, it will be published through a specific button/access in the website tools of the Executive Body, so that the general population can see and read the document and continue making comments.

There follow the detail of the comments received, which can also be consulted in the space destined for the Self-Assessment Report in the Transparency portal:

|  |  |
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| **1** | **Friday, September 25, 2015 12:08 Sent by: Josue David Palacios** |
|  | Mid-term self-assessment report of the Second Action Plan. The document is very good but I am curious about those that have a 100% compliance. Why haven’t you advertised this? Little or nothing is known of these advances. You must make them with more time for evaluation and then publish it by all possible means. I want to see less of the president and have more of these important issues. |
|  |  |
|  |  |
| **2** | **Friday, September 25, 2015 12:03 Sent by: Ovidia Reyes** |
|  | Interesting to know that in spite of the situation in the country with regard to corruption, where trust has been lost, there are public officials and employees who are working with transparency, and even though I don’t know a lot about the subject only with what I could read, I would dare say that I can be confident that there are many honest people who fight for a better country, and this document shows the effort of citizens with good principles. |
|  |  |
|  |  |
| **3** | **Friday, September 25, 2015 11:36 Sent by: Enter your name...jorge luis ramirez sanchez** |
|  | Guatemala is taking huge steps and it is good that people are interested and we must spread the proposed initiatives |
|  |  |
|  |  |
| **4** | **Friday, September 25, 2015 10:40 Sent by: Florencia García** |
|  | I’m really glad to know that there are people who work pro-transparency as a way to fight corruption.  I understand that this is a work that shows the effort of several entities of the State of Guatemala and Civil Society, so congratulations to all and thank you for the effort that can be seen in this document. As a suggestion, you should publish this work at the national level, with the situation Guatemala is living, it would be an incentive for all. However, I think that the common citizen should be involved, like young people, give them participation in the proposals, if it is no longer possible in this plan you might consider it for the next. Go ahead and don’t forget to publish it not only on your page. Go ahead. |
|  |  |
| **5** | **Thursday, September 24, 2015 23:43 Sent by: Julio Cancinos** |
|  | I have read part of the document, it has a lot of technical information, it is important to know that there is an Open Government Plan, particularly I was unaware of this topic, nevertheless I would like to know more. I have noticed that there is no commitment focused to work with youth on issues of transparency, ethics, citizen participation; educating youth is an issue that can’t be put aside. In addition, I believe that if you talk about an open government, you must also think about municipal governments, which must be open to the demands of the population. |
|  |  |
| **6** | **Thursday, September 24, 2015 23:24 Sent by: Carlos Tenes** |
|  | It is very good to be able to see that in Guatemala there are people who are truly fighting to make a better country, with this document one can see that in the deepest part of the State, there are people that really work, lately we have seen all the cases of corruption in the country, but unfortunately transparency(COPRET) has not been touched, the importance of COPRET and all the work that it does should be known by a large number of the population, this work is evidence that in Guatemala people want to make something that makes a difference, this self-assessment, where it is possible to see the commitments proposed, another point to highlight is that this is a public document, highlighting the central topic of COPRET, transparency. In conclusion it is for me a great pleasure and relief to know that Guatemala is on track, I do not like to compare Guatemala with other countries because our country is unique, it deserves such treatment and transparency and COPRET is achieving this. |
|  |  |
| **7** | **Tuesday, September 8, 2015 10:08 Sent by: Javier Oliva** |
|  | It pleases me to know that Guatemala is taking the first steps to have the Guatemala that we deserve, we must disclose this type of initiatives so that the general population knows and they know that there are entities in the government working for the common good, I applaud them, and go ahead. |
|  |  |
| **8** | **Friday, September 4, 2015 23:12 Sent by: Ariel Rivera** |
|  | I had no idea about this work, till somebody mentioned it and invited me to visit the website. Please advertise it, it is necessary for Guatemalans to know that the government is fighting corruption. It is so great that you are involving civil society. Courage and keep on. |
|  |  |
| **9** | **Friday, September 4, 2015 23:04 Sent by: Dora de Rivera** |
|  | First I would like to congratulate everyone involved in this great work and so important for Guatemala and all its citizens. I didn’t manage to read the whole report but I realized that it touches many different topics that I didn’t know and contribute to the much needed transparency in the time we Guatemalans are living. As a suggestion, you must disclose this work to the entire population because many of us don’t know this important work and its results. You need more disclosure. Thank you very much for all this, there are still those who work in the government in favor of transparency to fight corruption. |
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|  |  |
| **10** | **Friday, September 4, 2015 17:13 Sent by: Jorge** |
|  | Very good document. |

In addition, on September 21, a Technical Committee of Open Government, in which the Self-Assessment Report of the National Action Plan of Open Government 2014-2016 containing the progress made in the implementation of the 48 commitments was presented, specifying to date, the level of compliance presented by each commitment.

In this activity members representing civil society who were present received comments and suggestions, indicating that it is important to establish in this report the political situation which the central government Guatemala is going through, as the political situation placed the continuity of the open government initiative at risk, because for a period of approximately three months it had no authority for decision-making and thus for authorization of the agenda of the Technical Committee on Open Government; however on arrival of the Commissioner on Transparency, Licenciado Marlon Barahona, we were immediately able to return to the issue in order to meet national and international commitments.



During this activity, representatives of civil society and government entities gave their opinions and suggestions about the results of the Midterm Self-Assessment of the National Plan for Open Government 2012-2014, which are described below:

1. The Independent Review Mechanism investigator, Mr. Diego Maza said that we are midterm for work carried out over 2014 and 2015, the public institutions directly responsible have made good work in completing this document, of course in the analysis performed internally by civil society, there are clear differences between the degree of progress that COPRET, civil society and IRM see, which is reasonable in this series of studies; the work made by COPRET is as coordinating body of the Action Plan for Open Government 2014-2016, with other organizations, this is an advance that had not been achieved in the previous Action Plan. It is known that this is the second report, however this is the first time joint work between civil society and government has been managed, logically in the presentation that will be made there will be aspects for improvement in this midterm report, and the reasons to determine why a commitment is being considered considerable, I think has been one of the main differences we have.
2. The Executive Director of the Civil Organization Congreso Transparente, Mr. Angel Ramirez, added that the turning point came in the measuring mechanisms, because there is no degradation table, so sadly the political situation that Guatemala was going through affected the work being done. The Open Government Partnership sets macro indicators, but we should not have indicators next to objectives, all human acts can be perfected, there is a Considerable difference in the progress of Electronic Government, as these are faster and of effective compliance, however transparency commitments, such as considerable and intangible commitments make measuring progress difficult, so now we must find a way to create a degradation table, also now the plan should be to make the State of Transparency, a state policy.
3. The Executive Director of the Civil Organization Guatecívica, Mr. Rafael Poitevin, recognized and stated that this year the interest in fulfilling the Open Government commitments can be seen, besides stating that civil society is willing to cooperate, giving ideas for fulfilling the commitments, which have not reached full compliance yet.
4. The civil organization, Central American Institute for Fiscal Studies -ICEFI- investigator, Mr. Carlos Melgar said that the report he has reviewed must have the context or political situation of the country, and what progress has been made; in February, ICEFI published a report in which a delay in fourteen of the eighteen commitments that interest us as an organization were evident, so it must be highlighted that COPRET through its technical work promoted progress to date; however they are recognizing the work they consider necessary to keep technical support and the support of the Deputy Ministers; and again as ICEFI did a few months ago, we will give a press release where we express our appreciation on the Self-Assessment Report of the Action Plan for Open Government 2014-2016.
5. Deputy Manager National Statistics Institute, Engineer Orlando Roberto Monzón Giron, requested adding items to the agenda for their solution in the next meeting "aspects of financing for commitments that are partially unfunded" because this has been one of the limitations of the Institute for the total advance of the commitment for which INE is responsible for.
6. The Deputy Minister of the Interior, Licenciada Gladys Zeline Delgado Minera, stated that the Ministry of the Interior has the will to reach full compliance in the commitments which they are party of, but the commitment is not only to reach full compliance, but to keep innovating to strengthen each of the commitments, more and more.

Guatemala, September 30, 2015.