



OPEN GOVERNMENT FOUNDATIONS

Mainstreaming Participation

Giving the public opportunities to provide input into government decision-making leads to more effective governance, improved public service delivery, and more equitable outcomes. In the context of open government, these deliberations allow the public to provide feedback on the actions of governments through organized discussions. Deliberative democratic processes have seen considerable innovation in the past few decades, ranging in formality, size, and permanence.

Open Gov Challenge

Public Participation

With OGP's <u>2023-2028 Strategy</u>, OGP members are set to work toward a number of aspirational thematic reforms through the <u>Open Gov Challenge</u>. This section of the *Open Gov Guide* addresses Public Participation.

Challenge prompt: Mainstream or embed fit-for-purpose, high-quality and inclusive public participation practices across key government sectors, processes, and institutions.

Actions and reforms to ensure that people are involved in policy-making could include:

- Passing and implementing laws and policies that create the expectation of a higher level of public participation within the policymaking process, or establishing new mechanisms for participation.
- Creating participation teams or centers of best practice that are responsible for improving public participation.
- Institutionalizing platforms or mechanisms to promote participation within specific sectoral reforms.

Key Terms

- **Civic participation:** Civic participation occurs when governments seek to mobilize members of the public to engage in public debate, provide input, and make contributions that lead to more responsive, innovative, and effective governance.
- Deliberative democracy: Deliberative democracy is a democratic approach that
 emphasizes the importance of inclusive and reasoned deliberation among the
 public in shaping public decisions and policies. It is based on the belief that a wellfunctioning democracy requires active civic engagement, open dialogue, and
 rational deliberation to reach collectively just and informed outcomes.

The Evidence

A growing body of evidence <u>suggests</u> that deliberative approaches can enhance decisions in a democracy.

- Achieving greater consensus on an issue can increase the legitimacy of decisions.
- Broad-based consultations can improve solutions by increasing their relevance and creativity.
- Creating a space for people with different interests and backgrounds can depolarize difficult or politicized decisions by allowing participants to discuss issues more fully and to see new perspectives.

Reform Guidance

Recommended Reforms

The recommendations below represent reforms that national and local governments, representatives of civil society organizations, and others can consider for their action plans and the Open Gov Challenge. The reforms are categorized according to OGP's principal values: transparency, civic participation, and public accountability. Reforms should be adapted to fit the domestic context, and involve and coordinate with other levels and branches of government.

Reforms across policy areas are also tagged by the estimated degree of difficulty in implementation. Though progress is often not linear, the recommendations have been categorized using these labels to give the reader a sense of how different reforms can work together to raise the ambition of open government approaches.

- **Foundational:** This tag is used for reforms that are the essential building blocks of a policy area. "Foundational" does not mean low ambition or low impact. These recommendations often establish basic legal and institutional structures.
- **Intermediate:** This tag is used for reforms that are more complex and often involve more coordination and outreach, such as with the public, between branches, agencies, and levels of government, or between countries.
- Advanced: This tag is used for reforms that close important loopholes to make
 existing work more effective and impactful. They are often about linking multiple
 databases or ensuring that oversight authorities can receive complaints from
 members of the public.

STANDARDS AND PROCESSES			Transparency	
	Establish a basic framework for participation: Establish a legal right to participation and access to information through common mechanisms, such as notice-and-comment periods in regulations.	Civic Participa	ation	
		Legislature	Foundational	
	Improve access to decision-making processes: Establish that the public has a right to observe and inform public decisions through open meeting laws, <u>right to information</u> , and <u>lobbying rules</u> .	Transparency		
		Civic Participation		
		Legislature	Foundational	
	Develop minimum standards on deliberative practice: Develop			
	government standards that govern participation practice, either to elaborate on existing laws or to provide guidance for innovations in deliberative processes already being implemented by administrations or legislatures.	Civic Participa	ation	
		Executive	Intermediate	
	Create oversight bodies: Establish multi-stakeholder bodies at the agency or whole-of-government level that design, mainstream, and monitor inclusion and participation practices in agency decision-making. These may also be housed in legislative oversight committees.	Public Account	tability	
		Legislature		
		Inclusion		
	These multi-stakeholder bodies should also be diverse, inclusive, and appointed using transparent, well-publicized processes.	Intermediate		

MAINSTREAMING PARTICIPATION

NEW MODELS Civic Participation Design pilots: Carry out pilots of deliberative processes on key issues with Executive Inclusion the general public, paying special attention to ensure diversity across and within groups of the selected sample. Examples such as citizen assemblies Foundational have found success particularly at the local level, as research on public participation suggests. Civic Participation Establish rules for how deliberative processes interact with policy-Executive making: Explicitly explain how deliberative processes will interact with Intermediate constitutional, legislative, and regulatory processes, such as clarifying when they will occur and how they will inform final decisions. For example, establish processes for deliberative polling and discussion around politically difficult issues. **CAPACITY BUILDING** Civic Participation Conduct targeted outreach to identify new actors: Conduct targeted Executive Legislature outreach for new actors who will join participation initiatives to ensure that groups are as inclusive and representative as possible. Intermediate Train public officials: Carry out training and capacity building for public Civic Participation officials on public participation to ensure that high-quality, meaningful Intermediate Executive dialogue takes place. Train members of the public: Carry out training and capacity building for Civic Participation members of the public who join participation initiatives. This is especially important to build capacity for representatives from groups that are not as Inclusion likely to be involved in decision-making, such as young people, indigenous Intermediate people, and women, to ensure they have the tools to participate fully. Create institutional knowledge teams: Establish participation teams or Civic Participation centers of best practice to maintain institutional knowledge and increase Executive Advanced the quality of participation mechanisms.

Examples of Reforms from OGP and Beyond

The following examples are commitments previously made within or beyond OGP that demonstrate elements of the recommendations made above. Commitments in this area have become increasingly popular, with almost all OGP members undertaking some kind of reform to improve or expand public dialogue.

- Argentina Public Participation in Environmental Decision-Making: Committed to
 creating the conditions for broad, inclusive, informed, and accessible public
 participation in environmental decision-making in line with the Escazú Agreement.
 This can build on the success of its Federal Program of Open Government, which
 engaged diverse groups at the national and local levels to implement open
 government policies.
- Australia New Framework to Increase Participation in Policy-Making: <u>Created</u> a
 framework to increase public participation in policy-making based on a review of
 current engagement practices and workshops with members of the public to
 identify new strategies, with strong early results.
- Estonia Online Platform for Policy Co-Creation: <u>Committed</u> to developing a centralized platform to promote a <u>legislative and regulatory co-creation</u> process, where the public can access the edit history, meeting records, and reasoned government responses to feedback related to policies.
- Latvia Commitment to Promote Multi-Stakeholder Collaboration: <u>Committed</u> to strengthening multi-stakeholder decision-making by requiring ministries to identify and maintain regular dialogue with CSOs, experts, industry representatives, and others, in addition to its <u>council</u> promoting collaboration between CSOs and the Cabinet.
- New Zealand Community Engagement Tool for Policy-Making: <u>Committed</u> to requiring the use of the Policy Community Engagement Tool, with the goal of increasing public participation in designing policies, particularly for those most affected by an issue, such as Māori communities.
- Republic of Korea Public Engagement Platform for Policy-Making: Launched a
 platform to create opportunities for public engagement on policy-making that was
 then expanded. Also committed to improving the platform to increase public
 engagement.
- Scotland, United Kingdom Framework for Public Participation in Policy-Making:
 <u>Created</u> a <u>participation framework</u> to guide policy makers on which kinds of participatory methods to use to involve the public in decision-making.
- Sweden Consultation Model to Engage CSOs: <u>Developed</u> a dialogue model (sakråd) to engage with CSOs on issue-specific consultations, which is now used <u>regularly</u> to reach consensus on difficult topics.

BEYOND OGP ACTION PLANS

- France Platform to Coordinate Public Participation: <u>Established</u> an Interdepartmental Centre for Citizen Participation, which coordinates the <u>platform</u> used for all public consultations by the State.
- Philippines Participation in the Cabinet: <u>Created</u> a cluster at the Cabinet level for participatory governance, which consults civil society members in its work. The cluster also coordinates with the <u>OGP multi-stakeholder forum</u>.
- Spain Open Government Forum for Dialogue: <u>Established</u> an Open Government Forum, a group meeting once a year that is composed of government representatives from the national and regional level, as well as civil society actors.

The Role of Local Governments

Local governments have spearheaded participatory decision-making in many countries. They are often leaders in deliberative approaches, as they are closer to the people they serve, which allows for more direct participation in government. Local officials also may be the most well-positioned to understand the particular needs and concerns of their constituents.

Critically, local governments serve as laboratories for experimentation and innovation in governance. They have led new approaches, policies, and programs, such as:

- Local referendums,
- Participatory budgeting,
- Rules for open meetings,
- Citizens assemblies and juries, and
- Online platforms, such as complaint mechanisms.

For more examples of how <u>cities</u> are mainstreaming participation, the Bloomberg Harvard City Leader Initiative published a <u>guide</u> and <u>workbook</u> on this topic as part of its City Leader Guides series.

Active OGP Partners

The following organizations have recently worked on this issue in the context of OGP at the national or international level. They may have additional insights on the topic. Please note that this list is not exhaustive. If you are interested in national-level initiatives, please contact research@opengovpartnership.org.

- International Center for Not-for-Profit Law (ICNL)
- Involve
- Organisation for Economic Co-operation and Development (OECD)
- People Powered
- The Democratic Society

Benchmarking Data

The <u>OGP 2023-2028 Strategy</u> sets out the <u>Open Gov Challenge</u> and aims to provide clear benchmarks for performance through reliable data. At the current time, it is unclear if there is third party data to match this challenge across OGP membership.

Guidance and Standards

While the list below is not exhaustive, it aims to provide a range of recommendations, standards, and analysis to guide reform in this policy area.

- The OGP Support Unit included a chapter on consultation in the 2020 <u>Regulatory</u>
 <u>Governance</u> report. The report provides an overview of regulatory openness,
 including potential commitments and examples from innovators worldwide.
- The OECD has produced several resources on this topic. Examples include the
 <u>Guidelines for Citizen Participation Processes</u>, a <u>guide</u> on public engagement for
 better policies and services, <u>recommendations</u> related to regulatory policy and
 governance (specifically Principle 8), and <u>guidance</u> on innovations related to
 public participation and new democratic institutions.
- **Involve**, a UK-based organization, created a <u>guide</u> on designing and implementing public participation processes in decision-making.
- The Council of Europe's <u>Code of Good Practice for Civil Participation in the</u>
 <u>Decision-Making Process</u> defines practices and guidelines to bring CSOs into
 decision-making at the national and local levels.
- The Organization for Security and Co-operation for Europe published recommendations on enhancing the participation of associations in public decision-making processes.
- **ICNL** published <u>guidance</u> on how to develop agreements between government and civil society in Central and Eastern Europe.
- The European Center for Not-for-Profit Law (ECNL) created an <u>overview of civil participation</u> in decision-making in Council of Europe member states as well as <u>research</u> on participation methods to enhance engagement in the European Union. ECNL also published <u>guidance</u> on how governments can strengthen mechanisms for CSOs to participate in EU-related policy-making, as well as a <u>brief</u> on the rule of law in the EU and its role in strengthening civic space generally.
- The Morris J. Wosk Centre for Dialogue, based at Simon Fraser University in Canada, created a <u>guide</u> for global practitioners on how to ensure equity in public engagement.